

Eternal Adiabaticity and Long-Term Stability of Perturbed Quantum Symmetries

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with

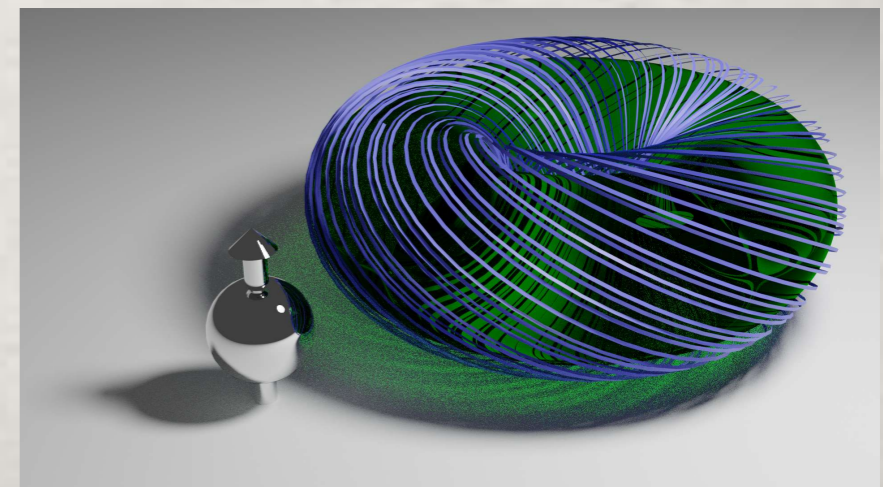
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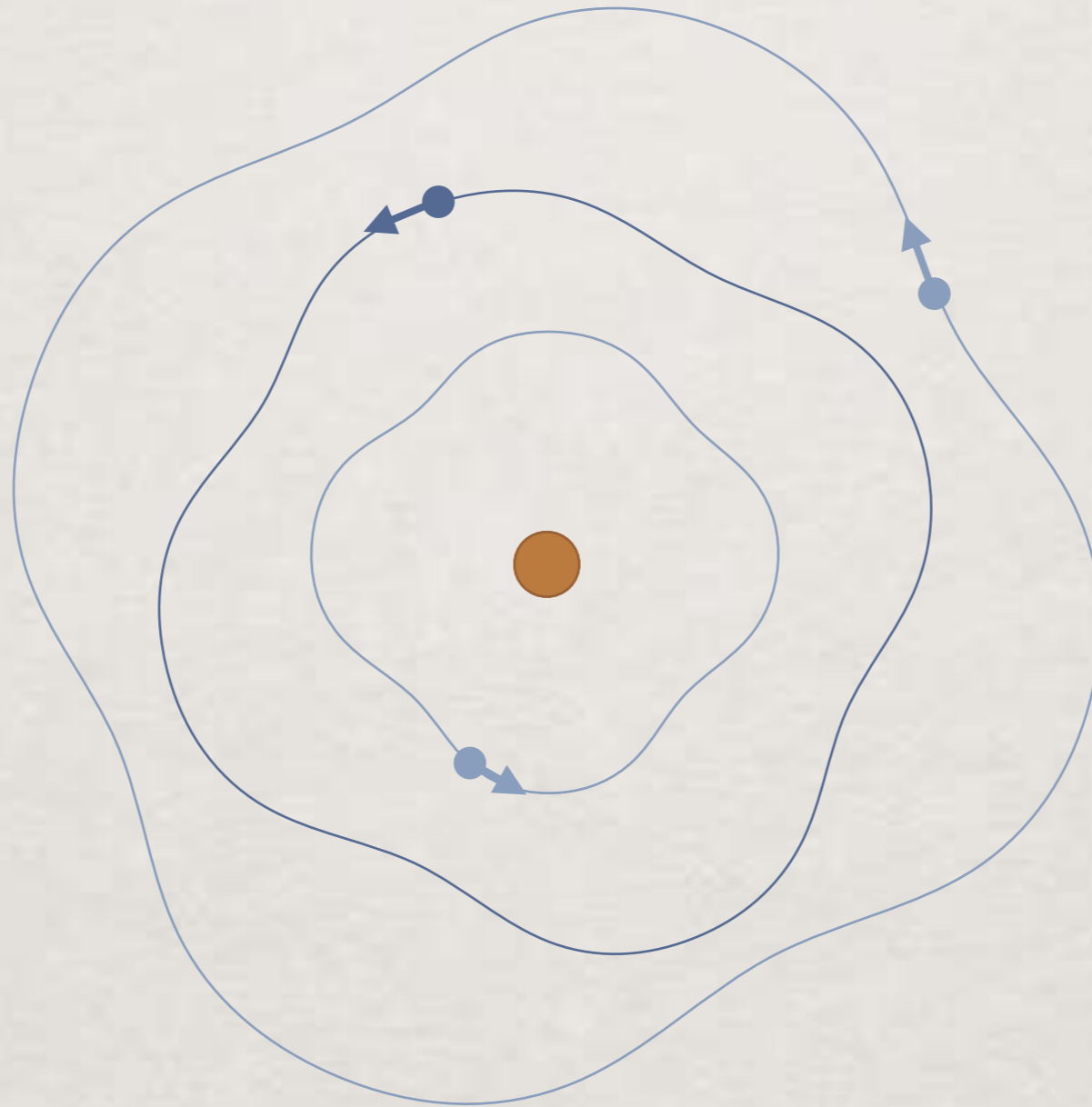
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Phys. Rev. A **103**, 032214 (2021)



Long-Term Stability against Small Perturbations



stability of the solar system

Long-Term Stability against Small Perturbations



stability of the solar system

KAM Theorem in Classical Mechanics

Kolmogorov, Arnold, and Moser, 1950s-1960s

long-term stability against small perturbations

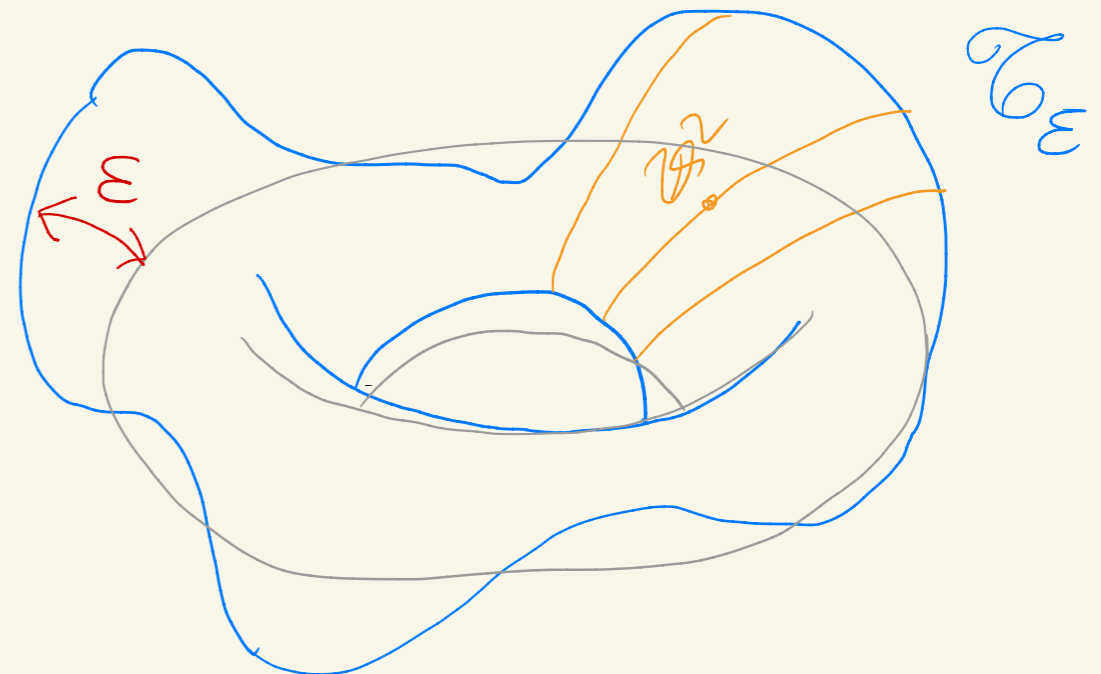
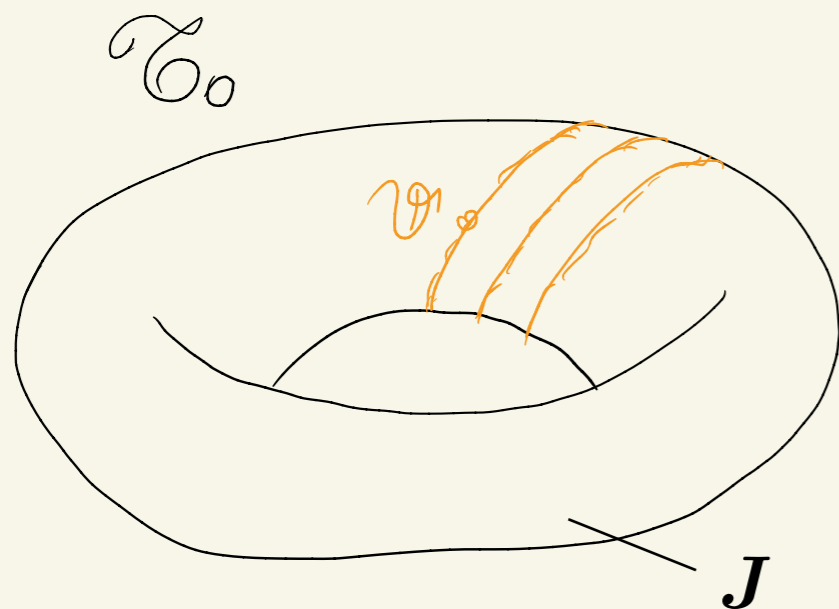
integrable system $H_0(\mathbf{J})$

perturbed system $H_0(\mathbf{J}) + \varepsilon V(\boldsymbol{\theta}, \mathbf{J})$

$$\begin{cases} \dot{\mathbf{J}} = -\nabla_{\boldsymbol{\theta}} H_0(\mathbf{J}) = 0 \\ \dot{\boldsymbol{\theta}} = \nabla_{\mathbf{J}} H_0(\mathbf{J}) = \boldsymbol{\omega}(\mathbf{J}) \end{cases}$$



$$\begin{cases} \dot{\mathbf{J}} = -\nabla_{\boldsymbol{\theta}} H(\boldsymbol{\theta}, \mathbf{J}) = -\varepsilon \nabla_{\boldsymbol{\theta}} V(\boldsymbol{\theta}, \mathbf{J}) \neq 0 \\ \dot{\boldsymbol{\theta}} = \nabla_{\mathbf{J}} H(\boldsymbol{\theta}, \mathbf{J}) = \boldsymbol{\omega}(\mathbf{J}) + \varepsilon \nabla_{\mathbf{J}} V(\boldsymbol{\theta}, \mathbf{J}) \end{cases}$$



Outline

- **KAM-like stability** for finite-dimensional quantum systems
- **robust symmetries vs fragile symmetries**
*All symmetries are conserved,
but some symmetries are more conserved than others.*
- **eternal adiabaticity** of the evolutions of
perturbed finite-dimensional quantum systems

Adiabatic Theorem

Adiabatic Theorem

A. Messiah, *Quantum Mechanics* (Dover, New York, 2017).

- slow driving

$$\frac{d}{dt}U_\epsilon(t) = -iH(\epsilon t)U_\epsilon(t)$$

- instantaneous eigenstates

$$H(t) = \sum_k E_k(t)P_k(t)$$

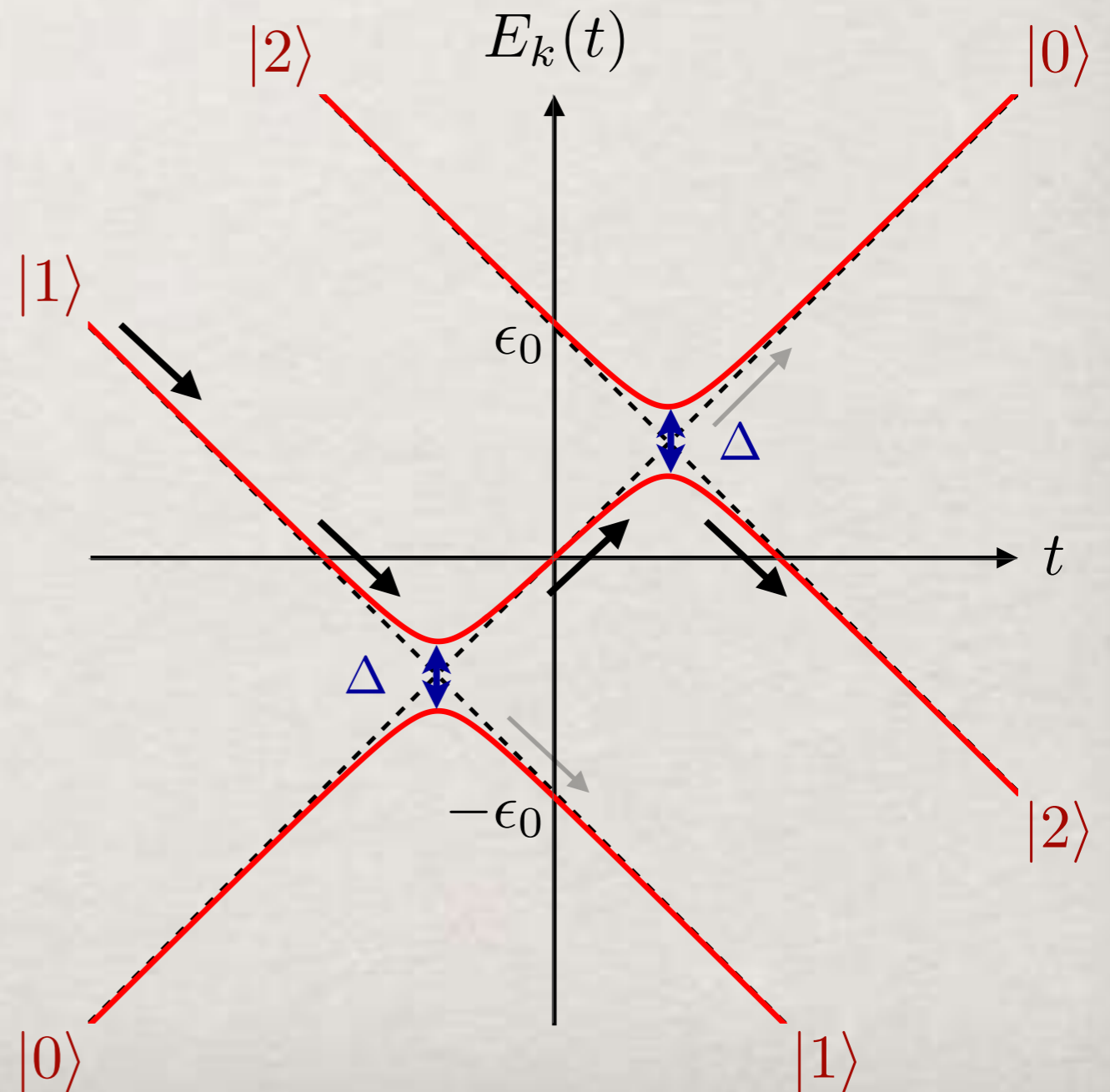
- adiabatic evolution

$$U_\epsilon(t)P_\ell(0) = P_\ell(\epsilon t)U_\epsilon(t) + O(\epsilon)$$

$$(0 \leq t \leq T/\epsilon)$$

- example

$$H(t) = \begin{pmatrix} \alpha t & \Delta & \Delta \\ \Delta & -\alpha t - \epsilon_0 & 0 \\ \Delta & 0 & -\alpha t + \epsilon_0 \end{pmatrix}$$



Perturbed Evolution and Adiabaticity

perturbed evolution

$$\frac{d}{dt}U_{\varepsilon}(t) = -i(H + \varepsilon V)U_{\varepsilon}(t)$$



$$\tilde{U}_{\varepsilon}(t) = e^{it\varepsilon V}U_{\varepsilon}(t)$$

$$\frac{d}{dt}\tilde{U}_{\varepsilon}(t) = -iH(\varepsilon t)\tilde{U}_{\varepsilon}(t), \quad H(t) = e^{itV}He^{-itV}$$

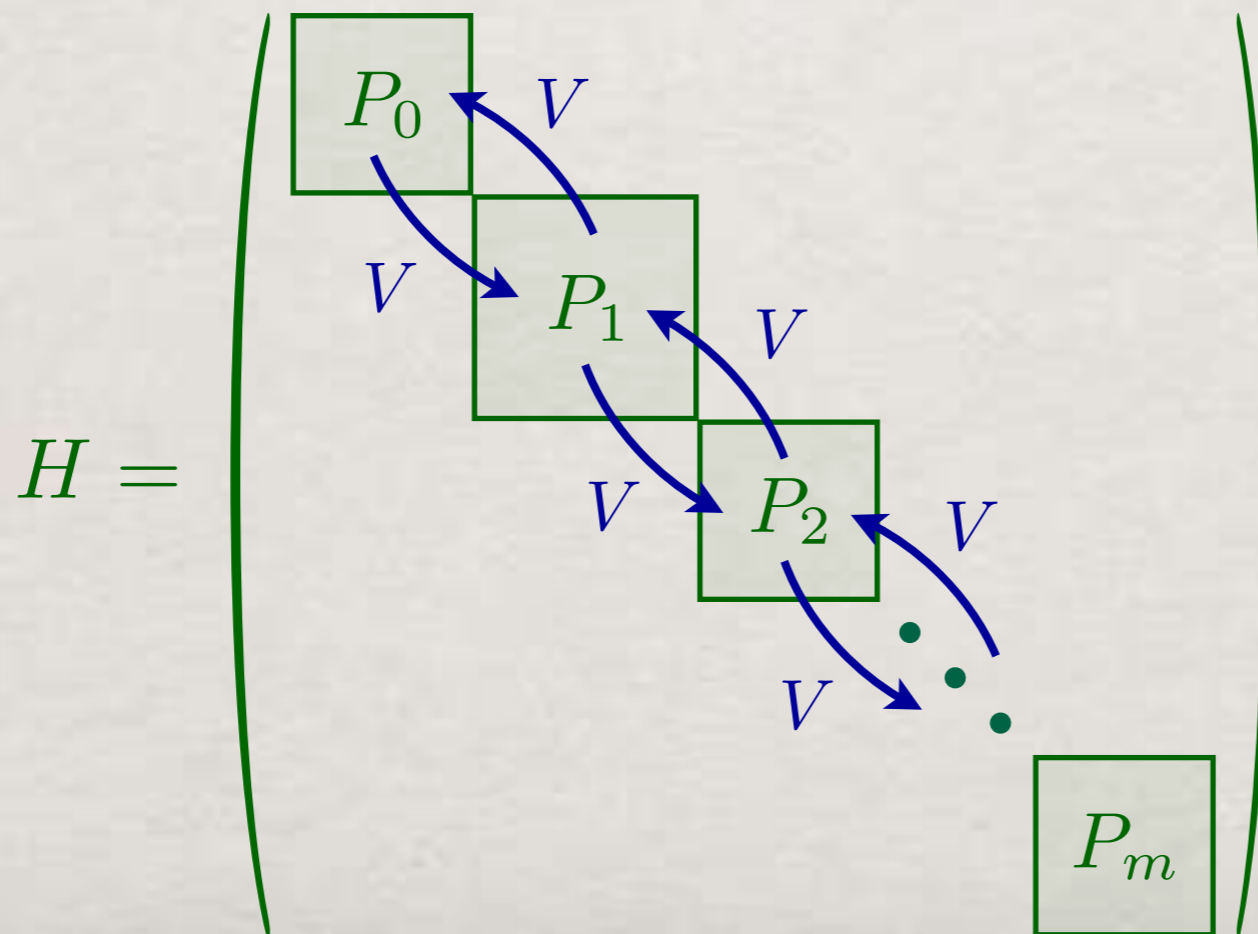
isospectral driving

Perturbed Evolution and Adiabaticity

$$\frac{d}{dt}U_\varepsilon(t) = -i(H + \varepsilon V)U_\varepsilon(t)$$

- spectral representation

$$H = \sum_\ell e_\ell P_\ell, \quad P_k P_\ell = \delta_{k\ell} P_k, \quad \sum_\ell P_\ell = 1, \quad e_k \neq e_\ell \text{ for } k \neq \ell$$



m distinct
eigenvalues

Perturbed Evolution and Adiabaticity

- zeroth order

$$\delta(t) = \left\| e^{it(H+\varepsilon V)} - e^{itH} \right\| \leq \varepsilon t \|V\|$$

$$t \lesssim 1$$

- first order (Zeno)

$$\delta_Z(t) = \left\| e^{it(H+\varepsilon V)} - e^{it(H+\varepsilon V_Z)} \right\| \leq \frac{2\sqrt{m}}{\eta} \varepsilon \|V\| (1 + \varepsilon \|V\| t)$$

$$V_Z = \sum_{\ell=0}^{m-1} P_\ell V P_\ell, \quad [H, V_Z] = 0, \quad \eta = \min_{k \neq \ell} |e_k - e_\ell|$$

$$t \lesssim 1/\varepsilon$$

T. Kato, "On the Adiabatic Theorem of Quantum Mechanics," J. Phys. Soc. Jpn. **5**, 435 (1950);
Quantum **3**, 152 (2019);
PRL **126**, 150401 (2021).

Perturbed Evolution and Adiabaticity

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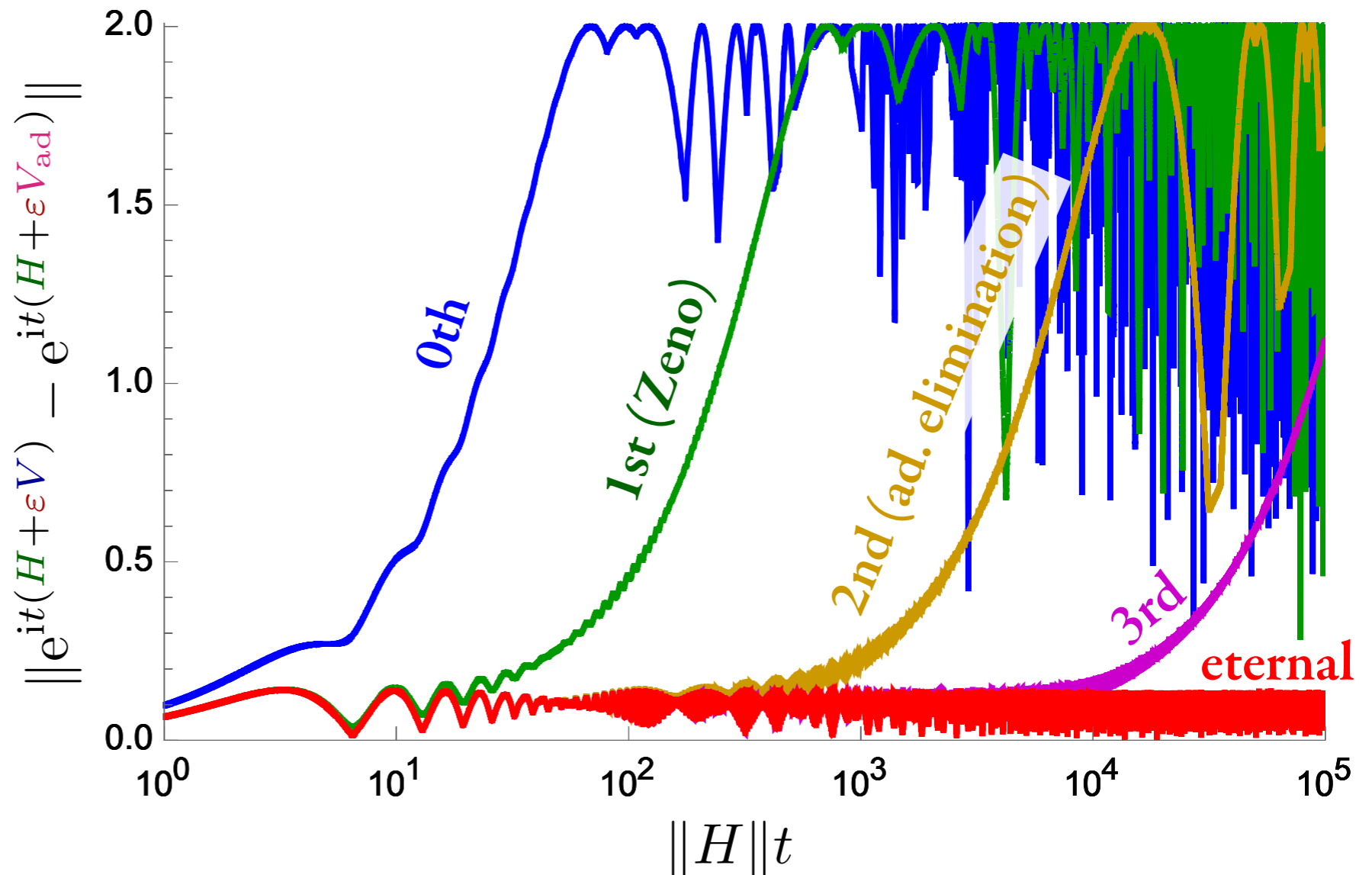
- first order (Zeno)

$$\delta_Z(t) = \|e^{it(H+\varepsilon V_{\text{ad}})} - e^{itH}\|$$

$$V_Z = \sum_{\ell=0}^{m-1} P_{\ell} V$$

T. Kato, "On the Adiabaticity"

4 levels, 2 doubly degenerate eigenspaces, $\varepsilon \|V\|/\|H\| = 0.1$



Perturbed Evolution and Adiabaticity

- zeroth order

$$\delta(t) = \|e^{it(H+\varepsilon V)} - e^{itH}\| \leq \varepsilon t \|V\|$$

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- first order (Zeno)

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$$V_Z = \sum_{\ell=0}^{m-1} P_\ell V P_\ell, \quad [H, V_Z] = 0, \quad \eta = \min_{k \neq \ell} |e_k - e_\ell|$$

$$t \lesssim 1/\varepsilon$$

- eternal adiabaticity

$$\delta_\infty(t) = \|e^{it(H+\varepsilon V)} - e^{it[H+\varepsilon V_\infty(\varepsilon)]}\| < \frac{7\sqrt{m}}{\eta} \varepsilon \|V\| \quad \forall t$$

$$H + \varepsilon V_\infty(\varepsilon) = U_\varepsilon^\dagger (H + \varepsilon V) U_\varepsilon, \quad [H, V_\infty(\varepsilon)] = 0, \quad U_\varepsilon = 1 + O(\varepsilon)$$

Eternal Adiabaticity

$$\delta_\infty(t) = \left\| e^{it(H+\varepsilon V)} - e^{it[H+\varepsilon V_\infty(\varepsilon)]} \right\| < \frac{7\sqrt{m}}{\eta} \varepsilon \|V\| \quad \forall t$$

$$H + \varepsilon V_\infty(\varepsilon) = U_\varepsilon^\dagger (H + \varepsilon V) U_\varepsilon, \quad [H, V_\infty(\varepsilon)] = 0, \quad U_\varepsilon = 1 + O(\varepsilon)$$

$$\begin{aligned} & \left\| e^{it(H+\varepsilon V)} - e^{it[H+\varepsilon V_\infty(\varepsilon)]} \right\| \\ &= \left\| e^{it(H+\varepsilon V)} - U_\varepsilon^\dagger e^{it(H+\varepsilon V)} U_\varepsilon \right\| \\ &= \left\| U_\varepsilon e^{it(H+\varepsilon V)} - e^{it(H+\varepsilon V)} U_\varepsilon \right\| \\ &= \left\| [U_\varepsilon, e^{it(H+\varepsilon V)}] \right\| \\ &= \left\| [U_\varepsilon - 1, e^{it(H+\varepsilon V)}] \right\| \\ &\leq 2 \|U_\varepsilon - 1\| = O(\varepsilon) \end{aligned}$$

Schrieffer-Wolff transformation

$$U_\varepsilon = \sum_\ell W_\ell |W_\ell|^{-1}, \quad W_\ell = W_\ell P_\ell$$

Bloch equation

$$W_\ell + \varepsilon S_\ell (V W_\ell - W_\ell V W_\ell) - P_\ell = 0$$

reduced resolvent

$$S_\ell = \sum_{k \neq \ell} \frac{1}{e_k - e_\ell} P_k$$

Isospectral Perturbations

$$\tilde{H} = H + O(\varepsilon), \quad \tilde{H} = \sum_{\ell=0}^{\tilde{m}-1} \tilde{e}_\ell \tilde{P}_\ell, \quad H = \sum_{\ell=0}^{\tilde{m}-1} e_\ell P_\ell$$

$$\delta_\infty(t) = \|e^{it\tilde{H}} - e^{itH}\| = \left\| \sum_{\ell=0}^{\tilde{m}-1} (e^{it\tilde{e}_\ell} \tilde{P}_\ell - e^{ite_\ell} P_\ell) \right\| \leq \underbrace{\left\| \sum_{\ell=0}^{\tilde{m}-1} e^{it\tilde{e}_\ell} (\tilde{P}_\ell - P_\ell) \right\|}_{|\wedge|} + \underbrace{\left\| \sum_{\ell=0}^{\tilde{m}-1} (e^{it\tilde{e}_\ell} - e^{ite_\ell}) P_\ell \right\|}_{\parallel}$$

$$\sum_{\ell=0}^{\tilde{m}-1} \|\tilde{P}_\ell - P_\ell\|$$

$$\max_{\ell} |e^{it\tilde{e}_\ell} - e^{ite_\ell}|$$

$O(\varepsilon)$

$$2 \max_{\ell} \left| \sin \left(t \frac{\tilde{e}_\ell - e_\ell}{2} \right) \right|$$

\parallel
 2

at $t = \frac{\pi}{\tilde{e}_\ell - e_\ell}$

$$\delta_\infty(t) = O(\varepsilon), \quad \forall t$$



$$\text{Spec}(H) = \text{Spec}(\tilde{H})$$

A Previous Work

W. Scherer, "Superconvergent Perturbation Method in Quantum Mechanics," PRL 74, 1495 (1995);

W. Scherer, "Quantum Averaging II: Kolmogorov's Algorithm," JPA 30, 2825 (1997).

- A complete analogue of Kolmogorov's perturbation algorithm in classical mechanics is constructed for self-adjoint operators.

$$H + \varepsilon V^{(n)}(\varepsilon) = U_{\varepsilon}^{(n)\dagger} (H + \varepsilon V) U_{\varepsilon}^{(n)} + O(\varepsilon^{2^n})$$

$$[H, V^{(n)}(\varepsilon)] = 0$$

→ $\delta_n(t) = \|e^{it(H+\varepsilon V)} - e^{it[H+\varepsilon V^{(n)}(\varepsilon)]}\| = O(\varepsilon)$
for $t \lesssim O(\varepsilon^{2^n-1})$

Robustness of Symmetries

Conserved Quantities and Symmetries

$$[S, H] = 0$$

conservation law



symmetry

$$S_t = e^{itH} S e^{-itH} = S$$

$$e^{i\alpha S} H e^{-i\alpha S} = H$$

$$H = \sum_{\ell} e_{\ell} P_{\ell}$$

$$= \begin{pmatrix} \begin{matrix} e_0 & & & \\ & e_0 & & \\ & & e_1 & \\ & & & e_1 & \\ & & & & e_2 & \\ & & & & & \ddots \end{matrix} \end{pmatrix}$$

$$S = \sum_{\ell} P_{\ell} S P_{\ell}$$

$$= \begin{pmatrix} \begin{matrix} S_0 & & & \\ & S_1 & & \\ & & S_2 & \\ & & & \ddots \end{matrix} \end{pmatrix}$$

Robustness of Symmetries

$$S_t^\varepsilon = e^{it(H+\varepsilon V)} S e^{-it(H+\varepsilon V)} = S + O(\varepsilon), \quad \forall \|V\| = 1$$

at least for $t \lesssim O(1)$

$$\|S_t^\varepsilon - S\| = \|e^{it(H+\varepsilon V)} S e^{-it(H+\varepsilon V)} - e^{itH} S e^{-itH}\|$$

$$= \|e^{-itH} e^{it(H+\varepsilon V)} S - S e^{-itH} e^{it(H+\varepsilon V)}\|$$

$$= \|[e^{-itH} e^{it(H+\varepsilon V)}, S]\|$$

$$= \|[e^{-itH} e^{it(H+\varepsilon V)} - 1, S]\|$$

$$\|UAW\| = \|A\|$$

$$\leq 2\|S\| \|e^{-itH} e^{it(H+\varepsilon V)} - 1\|$$

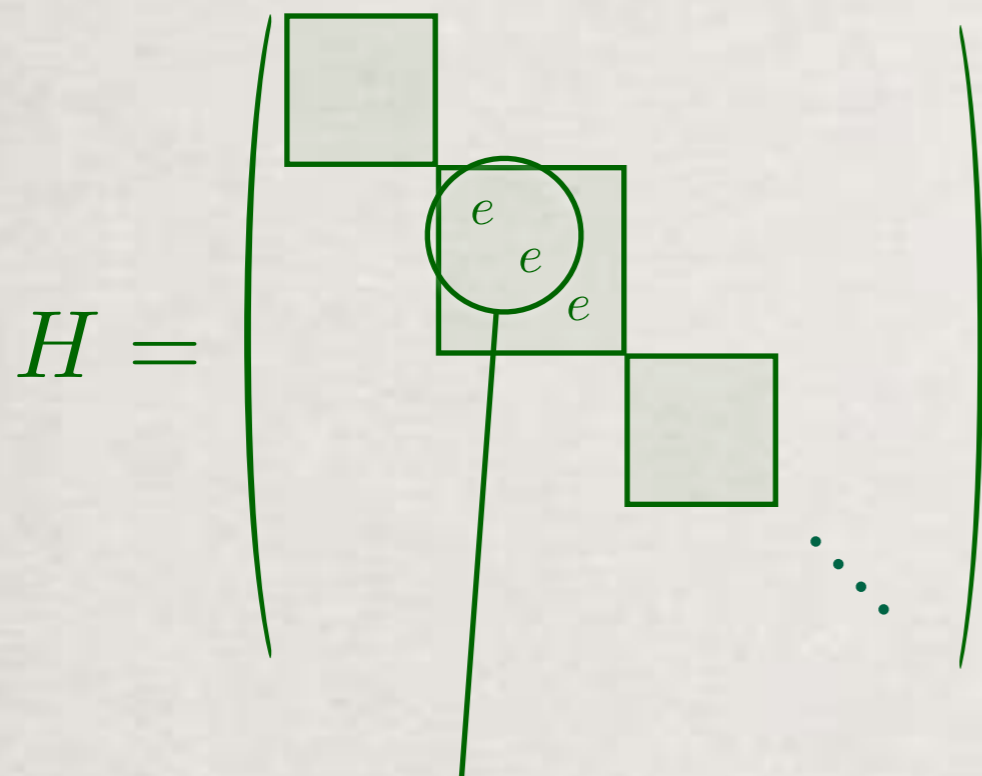
$$= 2\|S\| \|e^{it(H+\varepsilon V)} - e^{itH}\|$$

$$\leq 2\varepsilon t \|V\| \|S\|$$

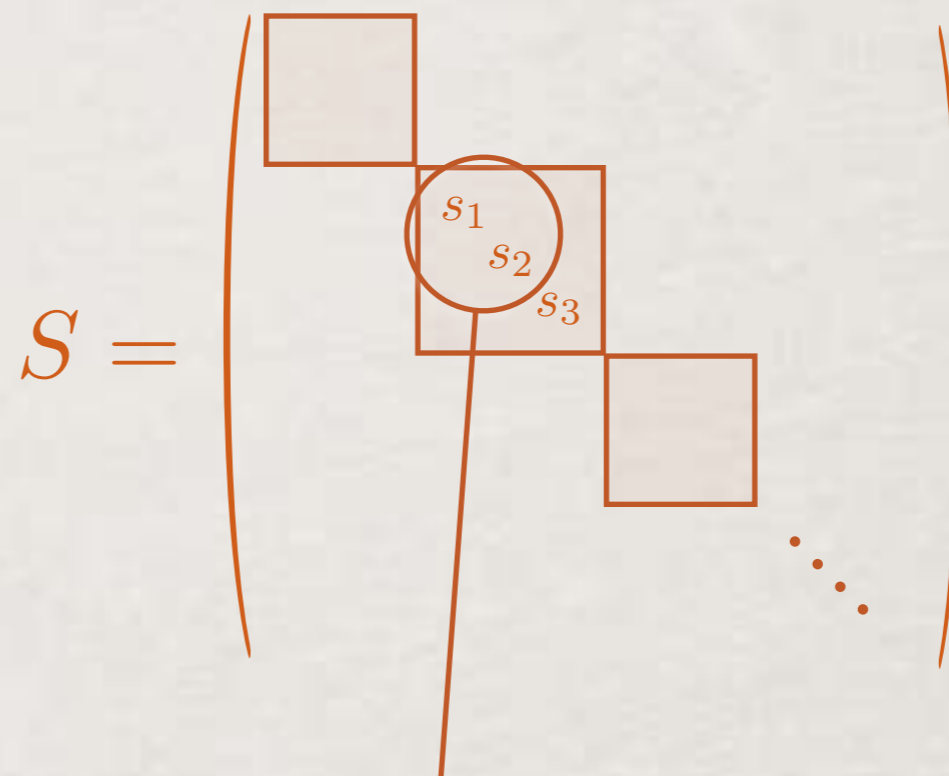
$$= O(\varepsilon), \quad \text{for } t \lesssim O(1)$$

for longer times??

Symmetries Breaking the Degeneracy



$$H = e \left(|u_1\rangle\langle u_1| + |u_2\rangle\langle u_2| \right)$$



$$S = s_1 |u_1\rangle\langle u_1| + s_2 |u_2\rangle\langle u_2|$$

$$\Delta = s_1 - s_2 \neq 0 \quad \text{breaking the degeneracy}$$

perturbation

$$V = |u_1\rangle\langle u_2| + |u_2\rangle\langle u_1|$$

initial state

$$|\psi_0\rangle = |u_1\rangle$$

$$\langle S_t^\epsilon \rangle - \langle S \rangle = -\Delta \sin^2 \epsilon t = O(1)$$

at $t = \pi/(2\epsilon)$

This type of symmetries are fragile.

Symmetries Respecting the Degeneracy

$$H = \begin{pmatrix} \begin{matrix} e_0 & & & \\ & e_0 & & \\ & & \ddots & \\ & & & \ddots \end{matrix} & & & \\ & \begin{matrix} e_1 & & & \\ & e_1 & & \\ & & \ddots & \\ & & & \ddots \end{matrix} & & & \\ & & \begin{matrix} e_2 & & & \\ & e_2 & & \\ & & \ddots & \\ & & & \ddots \end{matrix} & & & \\ & & & \ddots & & & \end{pmatrix} \quad S = \begin{pmatrix} \begin{matrix} s_0 & & & \\ & s_0 & & \\ & & \ddots & \\ & & & \ddots \end{matrix} & & & \\ & \begin{matrix} s_1 & & & \\ & s_1 & & \\ & & \ddots & \\ & & & \ddots \end{matrix} & & & \\ & & \begin{matrix} s_2 & & & \\ & s_2 & & \\ & & \ddots & \\ & & & \ddots \end{matrix} & & & \\ & & & \ddots & & & \end{pmatrix} = f(H)$$

$$\begin{aligned} \|S_t^\varepsilon - S\| &= \|e^{it(H+\varepsilon V)} S e^{-it(H+\varepsilon V)} - S\| \\ &= \|e^{it(H+\varepsilon V)} S - S e^{it(H+\varepsilon V)}\| \\ &= \|[e^{it(H+\varepsilon V)}, S]\| \\ &= \|[e^{it(H+\varepsilon V)} - e^{it(H+\varepsilon \hat{V})}, S]\| \longleftarrow [H, \hat{V}] = 0 \\ &\leq 2\|S\| \|e^{it(H+\varepsilon V)} - e^{it(H+\varepsilon \hat{V})}\| \end{aligned}$$

Symmetries Respecting the Degeneracy

$$H = \begin{pmatrix} \begin{matrix} e_0 & & & \\ & e_0 & & \\ & & \ddots & \\ & & & \ddots \end{matrix} \\ \begin{matrix} e_1 & & & \\ & e_1 & & \\ & & \ddots & \\ & & & \ddots \end{matrix} \\ \begin{matrix} e_2 & & & \\ & e_2 & & \\ & & \ddots & \\ & & & \ddots \end{matrix} \\ \vdots \end{pmatrix} \quad S = \begin{pmatrix} \begin{matrix} s_0 & & & \\ & s_0 & & \\ & & \ddots & \\ & & & \ddots \end{matrix} \\ \begin{matrix} s_1 & & & \\ & s_1 & & \\ & & \ddots & \\ & & & \ddots \end{matrix} \\ \begin{matrix} s_2 & & & \\ & s_2 & & \\ & & \ddots & \\ & & & \ddots \end{matrix} \\ \vdots \end{pmatrix} = f(H)$$

$$\begin{aligned} \|S_t^\varepsilon - S\| &= \|e^{it(H+\varepsilon V)} S e^{-it(H+\varepsilon V)} - S\| \\ &= \|e^{it(H+\varepsilon V)} S - S e^{it(H+\varepsilon V)}\| \\ &= \|[e^{it(H+\varepsilon V)}, S]\| \\ &= \|[e^{it(H+\varepsilon V)} - e^{it[H+\varepsilon V_\infty(\varepsilon)]}, S]\| \quad \leftarrow [H, V_\infty(\varepsilon)] = 0 \\ &\leq 2\|S\| \|e^{it(H+\varepsilon V)} - e^{it[H+\varepsilon V_\infty(\varepsilon)]}\| \\ &\leq \frac{14\sqrt{m}}{\eta} \varepsilon \|V\| \|S\|, \quad \forall t \end{aligned}$$

robust symmetries

Robust / Fragile Symmetries

PRL 126, 150401 (2021)

- S is a symmetry

$$\Leftrightarrow S \in \{H\}' = \{A : [A, H] = 0\}$$

- symmetry S is *fragile* if

$$\exists V \text{ s.t. } \sup_{t \in \mathbb{R}} \|e^{it(H+\varepsilon V)} S e^{-it(H+\varepsilon V)} - S\| = O(1), \quad \forall \varepsilon > 0.$$

- symmetry S is *robust*

$$\Leftrightarrow S = f(H) \in \{H}'' = \{A : [A, B] = 0, \forall B \in \{H\}'\}$$

$$\Leftrightarrow \|e^{it(H+\varepsilon V)} S e^{-it(H+\varepsilon V)} - S\| \leq \frac{14\sqrt{m}}{\eta} \varepsilon \|V\| \|S\|,$$

$$\forall \varepsilon > 0, \forall V, \forall t \in \mathbb{R}.$$

Example: Four-Level System

$$H = \begin{pmatrix} 0 & & & \\ & 0 & & \\ & & e & \\ & & & e \end{pmatrix} \left. \begin{array}{l} \text{perturbation } V \\ \text{observable } M \\ \text{initial state } |\psi_0\rangle \end{array} \right\} \text{randomly chosen}$$

$$M = M_{\text{off}} + S_{\text{fragile}} + S_{\text{robust}}$$

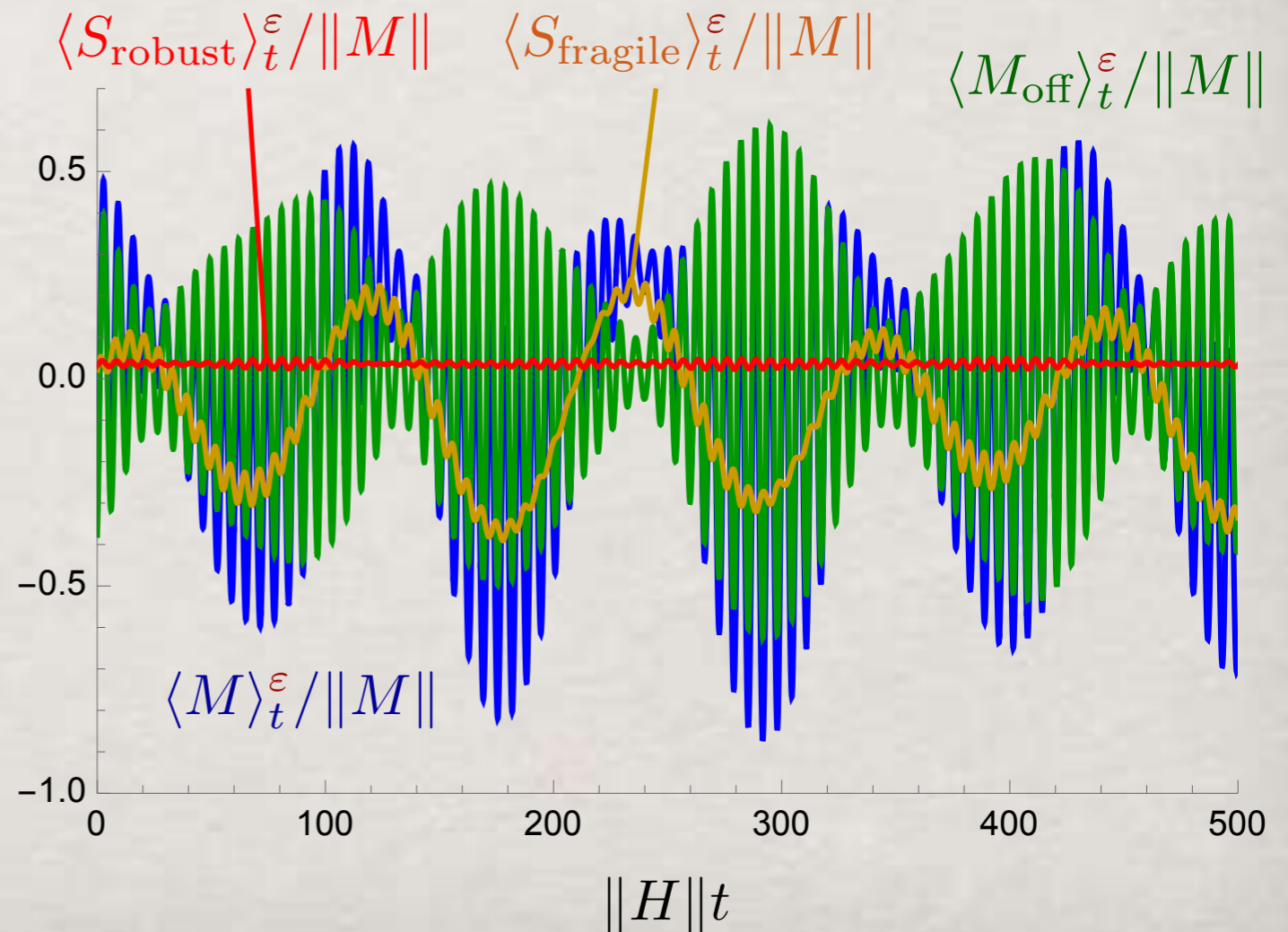
$$\varepsilon \|V\| / \|H\| = 0.1$$

$$S = \sum_{\ell=0}^{m-1} P_{\ell} M P_{\ell}$$

$$S_{\text{robust}} = \sum_{\ell} \frac{1}{d_k} \text{Tr}(P_{\ell} M P_{\ell}) P_{\ell}$$

$$S_{\text{fragile}} = S - S_{\text{robust}}$$

$$M_{\text{off}} = M - S$$



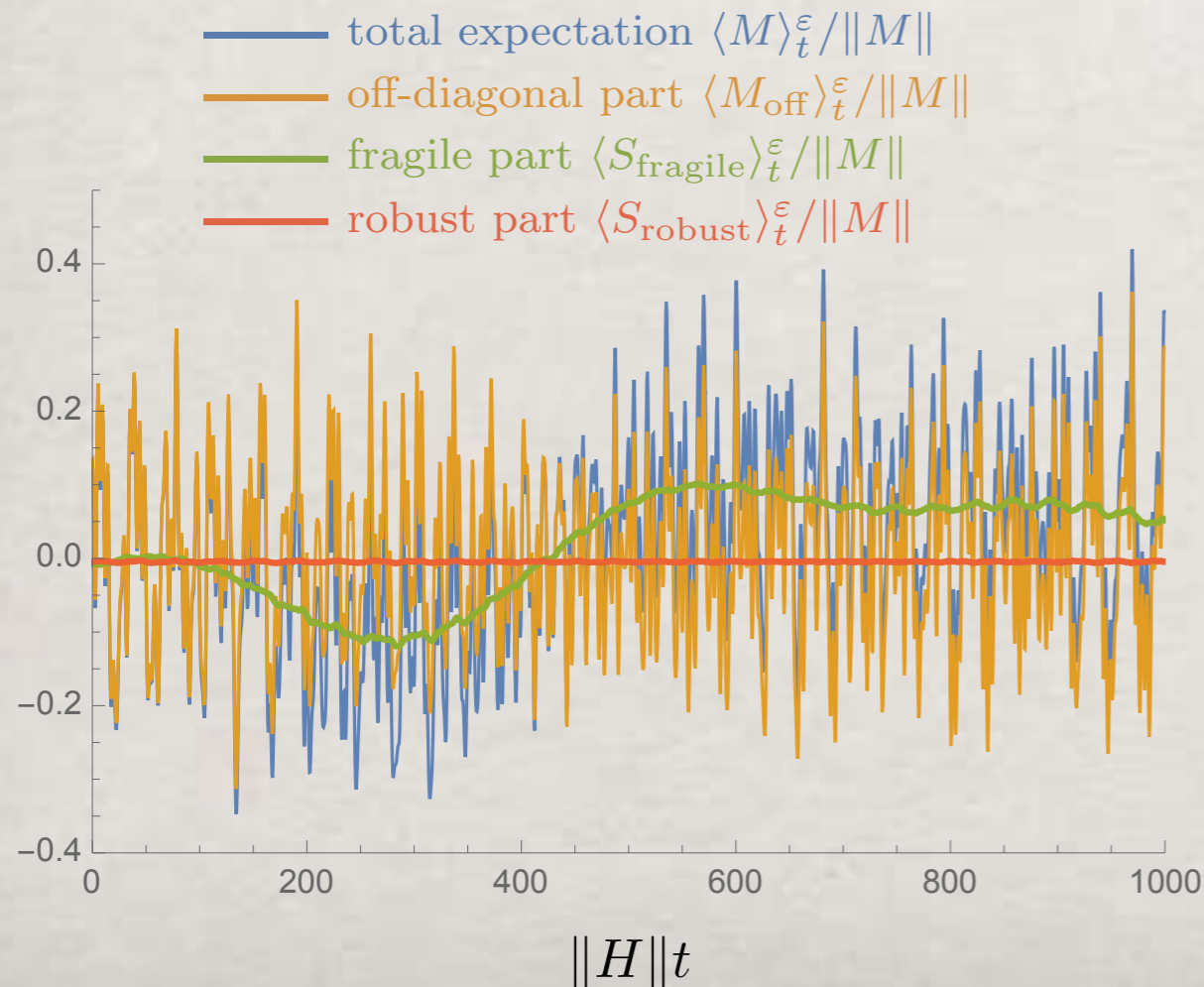
Example: Spin Chain

$$H = -J \sum_{n=1}^N \boldsymbol{\sigma}_n \cdot \boldsymbol{\sigma}_{n+1}, \quad \boldsymbol{\sigma}_{N+1} = \boldsymbol{\sigma}_1$$

$N = 4$, V and $|\psi_0\rangle$ randomly chosen

$$\varepsilon \|V\| = 0.02 \|H\|$$

randomly chosen M



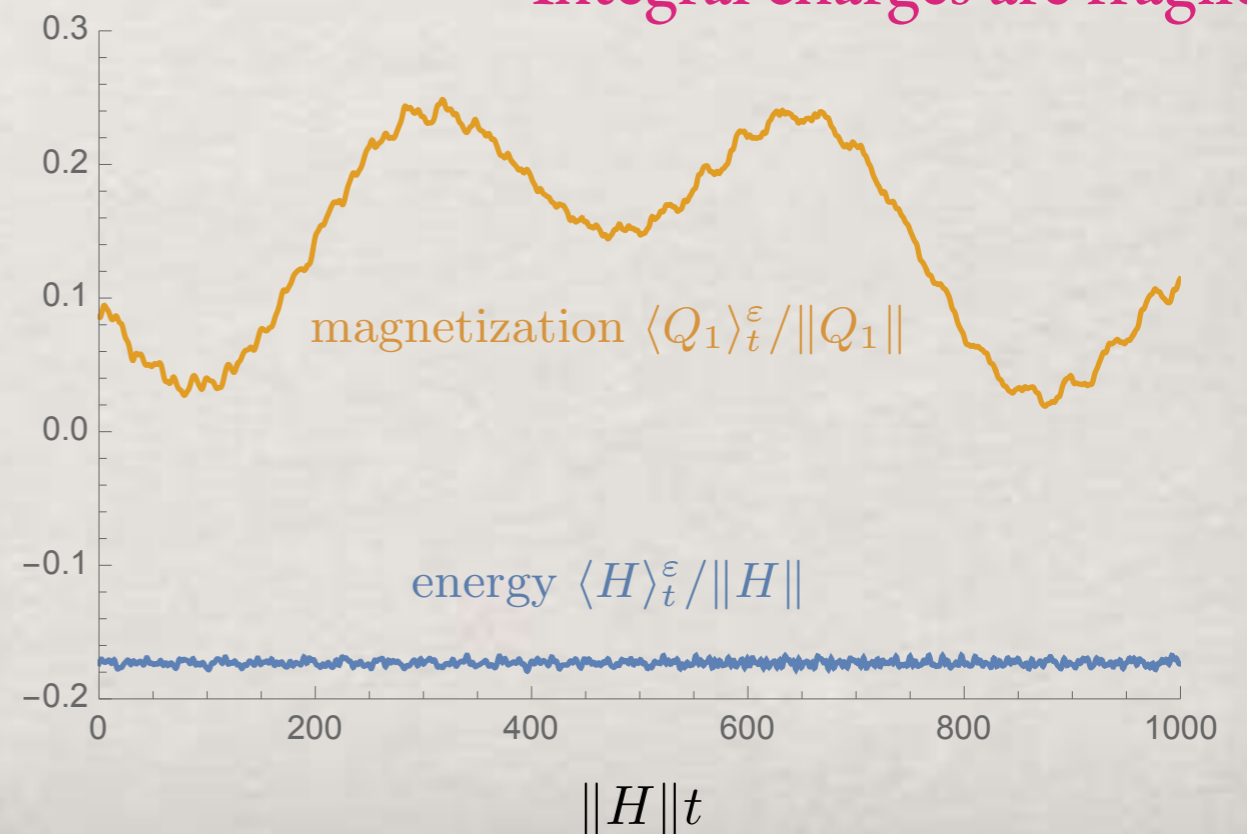
Integral Charges $\{Q_1, \dots, Q_N\}$

$$Q_1 = \sum_{n=1}^N \sigma_{n,z}, \quad Q_2 = H$$

$$Q_{j+1} = -i[B, Q_j] \quad (j = 2, \dots, N-1)$$

$$B = \frac{1}{2} \sum_{n=1}^N n \boldsymbol{\sigma}_n \cdot \boldsymbol{\sigma}_{n+1}$$

Integral charges are fragile.

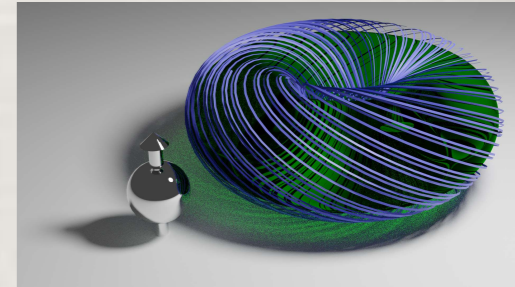


Summary

“KAM-Stability for Conserved Quantities in Finite-Dimensional Quantum Systems,” PRL 126, 150401 (2021);
“Eternal Adiabaticity in Quantum Evolution,” PRA 103, 032214 (2021).

- KAM stability for finite-dimensional quantum systems

*All symmetries are conserved,
but some symmetries are more conserved than others.*



fragile symmetry $S \neq f(H)$

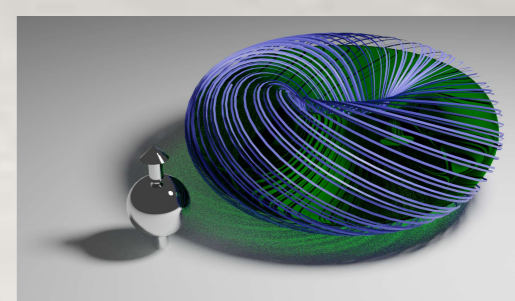
$$\rightarrow \exists V \text{ s.t. } \sup_{t \in \mathbb{R}} \|e^{it(H+\varepsilon V)} S e^{-it(H+\varepsilon V)} - S\| = O(1), \quad \forall \varepsilon > 0.$$

robust symmetry $S = f(H)$

$$\rightarrow \|e^{it(H+\varepsilon V)} S e^{-it(H+\varepsilon V)} - S\| \leq \frac{14\sqrt{m}}{\eta} \varepsilon \|V\| \|S\|,$$

$\forall \varepsilon > 0, \forall V, \forall t \in \mathbb{R}.$

Problems



- **quantum KAM theorem and/or eternal adiabaticity**
hold in infinite dimensions?
- under what conditions?
- eternal adiabaticity for **open quantum systems**
- GKLS structure of an effective generator

