

Harmonic maps and eigenvalue optimisation in higher dimension

Mikhail Karpukhin

(University College London)

Based on a joint work with Daniel Stern (UChicago)

Laplace-Beltrami operator

Let (M, g) be a closed Riemannian manifold of dimension n .

Laplace-Beltrami operator

Let (M, g) be a closed Riemannian manifold of dimension n . The Laplace-Beltrami operator is defined by

$$\Delta_g f = -\frac{1}{\sqrt{|g|}} \frac{\partial}{\partial x^i} \left(\sqrt{|g|} g^{ij} \frac{\partial f}{\partial x^j} \right),$$

where g_{ij} is the Riemannian metric, g^{ij} are the components of the matrix inverse to g_{ij} and $|g| = \det g$.

Eigenvalues of the Laplacian

Consider the eigenvalue problem:

$$\Delta_g f = \lambda f$$

Eigenvalues of the Laplacian

Consider the eigenvalue problem:

$$\Delta_g f = \lambda f$$

The spectrum is discrete,

$$0 = \lambda_0(M, g) < \lambda_1(M, g) \leq \lambda_2(M, g) \leq \dots \nearrow +\infty$$

Eigenvalues of the Laplacian

Consider the eigenvalue problem:

$$\Delta_g f = \lambda f$$

The spectrum is discrete,

$$0 = \lambda_0(M, g) < \lambda_1(M, g) \leq \lambda_2(M, g) \leq \dots \nearrow +\infty$$

Set

$$\bar{\lambda}_i(M, g) = \lambda_i(M, g) \text{Vol}(M, g)^{\frac{2}{n}}.$$

Geometric optimization of eigenvalues

Consider $\bar{\lambda}_i(M, g)$ as a *functional* on the space \mathcal{R} of Riemannian metrics on M .

$$g \longmapsto \bar{\lambda}_i(M, g)$$

Geometric optimization of eigenvalues

Consider $\bar{\lambda}_i(M, g)$ as a *functional* on the space \mathcal{R} of Riemannian metrics on M .

$$g \longmapsto \bar{\lambda}_i(M, g)$$

We are interested in the following quantities

$$\Lambda_i(M) = \sup_g \bar{\lambda}_i(M, g);$$

Geometric optimization of eigenvalues

Consider $\bar{\lambda}_i(M, g)$ as a *functional* on the space \mathcal{R} of Riemannian metrics on M .

$$g \longmapsto \bar{\lambda}_i(M, g)$$

We are interested in the following quantities

$$\Lambda_i(M) = \sup_g \bar{\lambda}_i(M, g);$$

$$\Lambda_i(M, c) = \sup_{g \in c} \bar{\lambda}_i(M, g),$$

Geometric optimization of eigenvalues

Consider $\bar{\lambda}_i(M, g)$ as a *functional* on the space \mathcal{R} of Riemannian metrics on M .

$$g \longmapsto \bar{\lambda}_i(M, g)$$

We are interested in the following quantities

$$\Lambda_i(M) = \sup_g \bar{\lambda}_i(M, g);$$

$$\Lambda_i(M, c) = \sup_{g \in c} \bar{\lambda}_i(M, g),$$

where $c = [g] = \{e^\omega g \mid \omega \in C^\infty(M)\}$ is a fixed conformal class of metrics.

Surfaces: upper bounds and examples

Surfaces: upper bounds and examples

- Korevaar (1993), Hassannezhad (2011): on any surface M of genus γ ,

$$\bar{\lambda}_i(M, g) \leq C(i + \gamma).$$

Surfaces: upper bounds and examples

- Korevaar (1993), Hassannezhad (2011): on any surface M of genus γ ,

$$\bar{\lambda}_i(M, g) \leq C(i + \gamma).$$

- Hersch (1970): $\Lambda_1(\mathbb{S}^2) = 8\pi$ and the maximum is achieved on the *standard metric* on \mathbb{S}^2 .

Surfaces: upper bounds and examples

- Korevaar (1993), Hassannezhad (2011): on any surface M of genus γ ,

$$\bar{\lambda}_i(M, g) \leq C(i + \gamma).$$

- Hersch (1970): $\Lambda_1(\mathbb{S}^2) = 8\pi$ and the maximum is achieved on the *standard metric* on \mathbb{S}^2 .
- Li-Yau (1982): $\Lambda_1(\mathbb{RP}^2) = 12\pi$ and the maximum is achieved on the *standard metric* on \mathbb{RP}^2 .

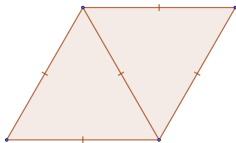
Surfaces: upper bounds and examples

- Korevaar (1993), Hassannezhad (2011): on any surface M of genus γ ,

$$\bar{\lambda}_i(M, g) \leq C(i + \gamma).$$

- Hersch (1970): $\Lambda_1(\mathbb{S}^2) = 8\pi$ and the maximum is achieved on the *standard metric* on \mathbb{S}^2 .
- Li-Yau (1982): $\Lambda_1(\mathbb{RP}^2) = 12\pi$ and the maximum is achieved on the *standard metric* on \mathbb{RP}^2 .

- Nadirashvili (1996): $\Lambda_1(\mathbb{T}^2) = \frac{8\pi^2}{\sqrt{3}}$
and the maximum is achieved on the *flat equilateral torus*.



Harmonic maps to S^k

Harmonic maps to \mathbb{S}^k

$\Phi: (M, g) \rightarrow \mathbb{S}^k$ is a harmonic map if it is a critical point of energy

$$E_g(\Phi) = \frac{1}{2} \int_M |d\Phi|_g^2 dv_g$$

Harmonic maps to \mathbb{S}^k

$\Phi: (M, g) \rightarrow \mathbb{S}^k$ is a harmonic map if it is a critical point of energy

$$E_g(\Phi) = \frac{1}{2} \int_M |d\Phi|_g^2 dv_g$$

They satisfy the equation

$$\Delta_g \Phi = |d\Phi|_g^2 \Phi.$$

Harmonic maps to \mathbb{S}^k

$\Phi: (M, g) \rightarrow \mathbb{S}^k$ is a harmonic map if it is a critical point of energy

$$E_g(\Phi) = \frac{1}{2} \int_M |d\Phi|_g^2 dv_g$$

They satisfy the equation

$$\Delta_g \Phi = |d\Phi|_g^2 \Phi.$$

Equivalently, $\lambda = 1$ is an eigenvalue of the problem with density

$$\Delta_g u = \lambda |d\Phi|_g^2 u.$$

Harmonic maps from surfaces

If M is a surface, then Laplacian is conformally covariant.

Harmonic maps from surfaces

If M is a surface, then Laplacian is conformally covariant. In particular, for $g_\Phi = |d\Phi|_g^2 g$ one has

$$|d\Phi|_{g_\Phi}^2 \equiv 1, \quad \Delta_{g_\Phi} \Phi = \Phi.$$

Harmonic maps from surfaces

If M is a surface, then Laplacian is conformally covariant. In particular, for $g_\Phi = |d\Phi|_g^2 g$ one has

$$|d\Phi|_{g_\Phi}^2 \equiv 1, \quad \Delta_{g_\Phi} \Phi = \Phi.$$

Conversely, if $\Phi: (M, h) \rightarrow \mathbb{S}^k$ is such that

$$\Delta_h \Phi = \Phi,$$

Harmonic maps from surfaces

If M is a surface, then Laplacian is conformally covariant. In particular, for $g_\Phi = |d\Phi|_g^2 g$ one has

$$|d\Phi|_{g_\Phi}^2 \equiv 1, \quad \Delta_{g_\Phi} \Phi = \Phi.$$

Conversely, if $\Phi: (M, h) \rightarrow \mathbb{S}^k$ is such that

$$\Delta_h \Phi = \Phi,$$

then

$$0 = \frac{1}{2} \Delta_h (|\Phi|^2) = \langle \Delta_h \Phi, \Phi \rangle - |d\Phi|_h^2 = 1 - |d\Phi|_h^2,$$

i.e. Φ is harmonic.

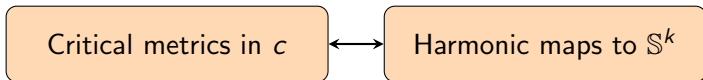
Conformally critical metrics on surfaces

Conformally critical metrics on surfaces

Nadirashvili (1996), El Soufi, Ilias (2008): Critical points for the functional $\bar{\lambda}_j(M, g)$ in the conformal class correspond to harmonic maps to \mathbb{S}^n .

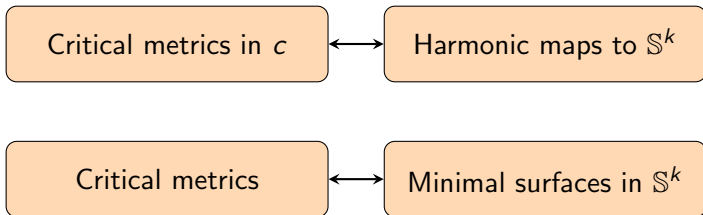
Conformally critical metrics on surfaces

Nadirashvili (1996), El Soufi, Ilias (2008): Critical points for the functional $\bar{\lambda}_j(M, g)$ in the conformal class correspond to harmonic maps to S^n .



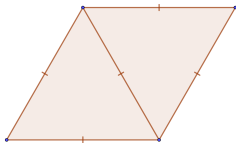
Conformally critical metrics on surfaces

Nadirashvili (1996), El Soufi, Ilias (2008): Critical points for the functional $\bar{\lambda}_j(M, g)$ in the conformal class correspond to harmonic maps to S^n .



Maximal metrics for λ_1 : first examples

- Hersch (1970): $\Lambda_1(\mathbb{S}^2) = 8\pi$ and the maximum is achieved on the *standard metric* on \mathbb{S}^2 .
- Li-Yau (1982): $\Lambda_1(\mathbb{RP}^2) = 12\pi$ and the maximum is achieved on the *standard metric* on \mathbb{RP}^2 .
- Nadirashvili (1996): $\Lambda_1(\mathbb{T}^2) = \frac{8\pi^2}{\sqrt{3}}$
and the maximum is achieved on the *flat equilateral torus*.



Maximal metrics: S^2 and $\mathbb{R}P^2$ revisited

Maximal metrics: S^2 and $\mathbb{R}P^2$ revisited

- The eigenfunctions of $S^2 \subset \mathbb{R}^3$ are the restrictions of homogeneous harmonic polynomials p on \mathbb{R}^3 .

Maximal metrics: S^2 and $\mathbb{R}P^2$ revisited

- The eigenfunctions of $S^2 \subset \mathbb{R}^3$ are the restrictions of homogeneous harmonic polynomials p on \mathbb{R}^3 .
Eigenvalue is $\deg p(\deg p + 1)$

Maximal metrics: S^2 and $\mathbb{R}P^2$ revisited

- The eigenfunctions of $S^2 \subset \mathbb{R}^3$ are the restrictions of homogeneous harmonic polynomials p on \mathbb{R}^3 .
Eigenvalue is $\deg p(\deg p + 1)$

degree 1: x, y, z

degree 2: $xy, yz, xz, x^2 - y^2, x^2 - z^2$

Maximal metrics: S^2 and RP^2 revisited

- The eigenfunctions of $S^2 \subset \mathbb{R}^3$ are the restrictions of homogeneous harmonic polynomials p on \mathbb{R}^3 .
Eigenvalue is $\deg p(\deg p + 1)$
degree 1: x, y, z
degree 2: $xy, yz, xz, x^2 - y^2, x^2 - z^2$
- S^2 : the identity map $S^2 \rightarrow S^2$ is an isometric minimal immersion.

Maximal metrics: S^2 and $\mathbb{R}P^2$ revisited

- The eigenfunctions of $S^2 \subset \mathbb{R}^3$ are the restrictions of homogeneous harmonic polynomials p on \mathbb{R}^3 .
Eigenvalue is $\deg p(\deg p + 1)$
degree 1: x, y, z
degree 2: $xy, yz, xz, x^2 - y^2, x^2 - z^2$
- S^2 : the identity map $S^2 \rightarrow S^2$ is an isometric minimal immersion.
- $\mathbb{R}P^2$: *Veronese immersion* $v: \mathbb{R}P^2 \rightarrow S^4$

$$v(x, y, z) = \left(xy, xz, yz, \frac{\sqrt{3}}{2}(x^2 - y^2), \frac{1}{2}(x^2 + y^2) - z^2 \right)$$

Existence of maximizers for $\bar{\lambda}_1$ on surfaces.

Existence of maximizers for $\bar{\lambda}_1$ on surfaces.

Theorem For any surface (M, c) there exists a “smooth” metric $g \in c$, such that $\bar{\lambda}_1(M, g) = \Lambda_1(M, c)$.

Existence of maximizers for $\bar{\lambda}_1$ on surfaces.

Theorem For any surface (M, c) there exists a “smooth” metric $g \in c$, such that $\bar{\lambda}_1(M, g) = \Lambda_1(M, c)$.

Many proofs by Petrides, Nadirashvili-Sire,
K.-Nadirashvili-Penskoi-Polterovich, K.-Stern

Existence of maximizers for $\bar{\lambda}_1$ on surfaces.

Theorem For any surface (M, c) there exists a “smooth” metric $g \in c$, such that $\bar{\lambda}_1(M, g) = \Lambda_1(M, c)$.

Many proofs by Petrides, Nadirashvili-Sire,
K.-Nadirashvili-Penskoi-Polterovich, K.-Stern

Theorem (K.-Stern, 2020) Any maximal metric has to be “smooth”.

Optimisation in higher dimensions

Optimisation in higher dimensions

Recall

$$\Lambda_1(M, [g]) = \sup_{\rho > 0} \bar{\lambda}_1(M, \rho g)$$

Optimisation in higher dimensions

Recall

$$\Lambda_1(M, [g]) = \sup_{\rho > 0} \bar{\lambda}_1(M, \rho g)$$

For surfaces

$$\Lambda_1(M, g) = \mathcal{V}_1(M, g) := \sup_{\rho > 0} \lambda_1(M, g, \rho) \int \rho \, dv_g,$$

Optimisation in higher dimensions

Recall

$$\Lambda_1(M, [g]) = \sup_{\rho > 0} \bar{\lambda}_1(M, \rho g)$$

For surfaces

$$\Lambda_1(M, g) = \mathcal{V}_1(M, g) := \sup_{\rho > 0} \lambda_1(M, g, \rho) \int \rho \, dv_g,$$

where

$$\Delta_g u = \lambda(M, g, \rho) \rho u.$$

Optimisation in higher dimensions

Recall

$$\Lambda_1(M, [g]) = \sup_{\rho > 0} \bar{\lambda}_1(M, \rho g)$$

For surfaces

$$\Lambda_1(M, g) = \mathcal{V}_1(M, g) := \sup_{\rho > 0} \lambda_1(M, g, \rho) \int \rho \, dv_g,$$

where

$$\Delta_g u = \lambda(M, g, \rho) \rho u.$$

In higher dimensions these quantities are genuinely different.

Optimisation in higher dimensions

In the following $n = \dim M > 2$.

Optimisation in higher dimensions

In the following $n = \dim M > 2$. Consider

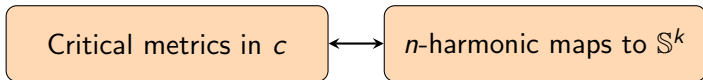
$$\Lambda_i(M, [g]) = \sup_{\rho > 0} \bar{\lambda}_i(M, \rho g)$$

Optimisation in higher dimensions

In the following $n = \dim M > 2$. Consider

$$\Lambda_i(M, [g]) = \sup_{\rho > 0} \bar{\lambda}_i(M, \rho g)$$

K.-Métrás (2022):

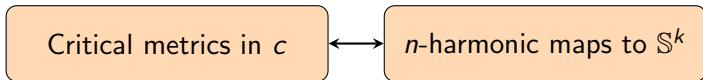


Optimisation in higher dimensions

In the following $n = \dim M > 2$. Consider

$$\Lambda_i(M, [g]) = \sup_{\rho > 0} \bar{\lambda}_i(M, \rho g)$$

K.-Métrás (2022):



El Soufi, Ilias (1986): $\Lambda_1(\mathbb{S}^n, [g_{st}]) = \bar{\lambda}_1(\mathbb{S}^n, g_{st})$

Optimisation in higher dimensions

Consider

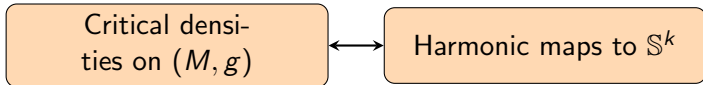
$$\mathcal{V}_i(M, g) := \sup_{\rho > 0} \lambda_i(M, g, \rho) \int \rho \, dv_g$$

Optimisation in higher dimensions

Consider

$$\mathcal{V}_i(M, g) := \sup_{\rho > 0} \lambda_i(M, g, \rho) \int \rho \, dv_g$$

K.-Stern (2022):

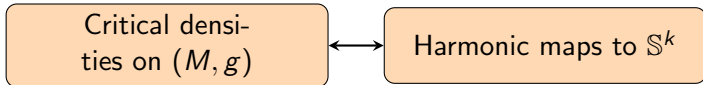


Optimisation in higher dimensions

Consider

$$\mathcal{V}_i(M, g) := \sup_{\rho > 0} \lambda_i(M, g, \rho) \int \rho \, dv_g$$

K.-Stern (2022):



K.-Stern (2022): $\mathcal{V}_1(\mathbb{S}^n, g_{st})$ is achieved on constant density.

Main result

Theorem (K.-Stern 2022) Let $3 \leq n \leq 5$. Then for any (M, g) there exists a smooth density ρ achieving $\mathcal{V}_1(M, g)$.

Main result

Theorem (K.-Stern 2022) Let $3 \leq n \leq 5$. Then for any (M, g) there exists a smooth density ρ achieving $\mathcal{V}_1(M, g)$. Furthermore, any density achieving $\mathcal{V}_1(M, g)$ is smooth.

Main result

Theorem (K.-Stern 2022) Let $3 \leq n \leq 5$. Then for any (M, g) there exists a smooth density ρ achieving $\mathcal{V}_1(M, g)$. Furthermore, any density achieving $\mathcal{V}_1(M, g)$ is smooth.

The first existence result in higher dimensions.

Main result

Theorem (K.-Stern 2022) Let $3 \leq n \leq 5$. Then for any (M, g) there exists a smooth density ρ achieving $\mathcal{V}_1(M, g)$. Furthermore, any density achieving $\mathcal{V}_1(M, g)$ is smooth.

The first existence result in higher dimensions.

Observation: Many results for surfaces in conformal class can be extended to $\mathcal{V}_1(M, g)$.

Outline of the existence proof for surfaces

As in Petrides, Nadirashvili-Sire,
K.-Nadirashvili-Penskoi-Polterovich.

Outline of the existence proof for surfaces

As in Petrides, Nadirashvili-Sire,
K.-Nadirashvili-Penskoi-Polterovich.

- Consider a regularized or restricted functional with better compactness properties, e.g.

Outline of the existence proof for surfaces

As in Petrides, Nadirashvili-Sire,
K.-Nadirashvili-Penskoi-Polterovich.

- Consider a regularized or restricted functional with better compactness properties, e.g.

$$\mu \mapsto \bar{\lambda}_1(K_\varepsilon[\mu]), \quad K_t - \text{heat flow or}$$

$$\rho \leq \frac{1}{\varepsilon} \mapsto \bar{\lambda}_1(\rho g)$$

Outline of the existence proof for surfaces

As in Petrides, Nadirashvili-Sire,
K.-Nadirashvili-Penskoi-Polterovich.

- Consider a regularized or restricted functional with better compactness properties, e.g.

$$\mu \mapsto \bar{\lambda}_1(K_\varepsilon[\mu]), \quad K_t - \text{heat flow or}$$

$$\rho \leq \frac{1}{\varepsilon} \mapsto \bar{\lambda}_1(\rho g)$$

- There exists a maximal measure μ_ε . It corresponds to a map $\Phi_\varepsilon: M \rightarrow \mathbb{R}^{k(\varepsilon)}$ by eigenfunctions;

Outline of the existence proof for surfaces

As in Petrides, Nadirashvili-Sire,
K.-Nadirashvili-Penskoi-Polterovich.

- Consider a regularized or restricted functional with better compactness properties, e.g.
 $\mu \mapsto \bar{\lambda}_1(K_\varepsilon[\mu])$, K_t – heat flow or
 $\rho \leq \frac{1}{\varepsilon} \mapsto \bar{\lambda}_1(\rho g)$
- There exists a maximal measure μ_ε . It corresponds to a map $\Phi_\varepsilon: M \rightarrow \mathbb{R}^{k(\varepsilon)}$ by eigenfunctions;
- A priori multiplicity bounds for surfaces imply $k \equiv k(\varepsilon)$;

Outline of the existence proof for surfaces

As in Petrides, Nadirashvili-Sire,
K.-Nadirashvili-Penskoi-Polterovich.

- Consider a regularized or restricted functional with better compactness properties, e.g.
 $\mu \mapsto \bar{\lambda}_1(K_\varepsilon[\mu])$, K_t – heat flow or
 $\rho \leq \frac{1}{\varepsilon} \mapsto \bar{\lambda}_1(\rho g)$
- There exists a maximal measure μ_ε . It corresponds to a map $\Phi_\varepsilon: M \rightarrow \mathbb{R}^{k(\varepsilon)}$ by eigenfunctions;
- A priori multiplicity bounds for surfaces imply $k \equiv k(\varepsilon)$;
- Show that Φ_ε converge to a harmonic map to \mathbb{S}^k .

Outline of the existence proof for surfaces

As in Petrides, Nadirashvili-Sire,
K.-Nadirashvili-Penskoi-Polterovich.

- Consider a regularized or restricted functional with better compactness properties, e.g.
 $\mu \mapsto \bar{\lambda}_1(K_\varepsilon[\mu])$, K_t – heat flow or
 $\rho \leq \frac{1}{\varepsilon} \mapsto \bar{\lambda}_1(\rho g)$
- There exists a maximal measure μ_ε . It corresponds to a map $\Phi_\varepsilon: M \rightarrow \mathbb{R}^{k(\varepsilon)}$ by eigenfunctions;
- A priori multiplicity bounds for surfaces imply $k \equiv k(\varepsilon)$;
- Show that Φ_ε converge to a harmonic map to \mathbb{S}^k .

Main challenge for $\dim M > 2$: there are no multiplicity bounds
(Y. Colin de Verdière)

Alternative proof via min-max theory

As in K.-Stern

Alternative proof via min-max theory

As in K.-Stern

- For each $k > 3$ construct a harmonic map $\Phi_k: (M, g) \rightarrow \mathbb{S}^k$ such that

$$2E(\Phi_k) \geq 2E(\Phi_{k+1}) \geq \mathcal{V}_1(M, g), \quad \text{ind}_E(\Phi_k) \leq k + 1.$$

Alternative proof via min-max theory

As in K.-Stern

- For each $k > 3$ construct a harmonic map $\Phi_k: (M, g) \rightarrow \mathbb{S}^k$ such that

$$2E(\Phi_k) \geq 2E(\Phi_{k+1}) \geq \mathcal{V}_1(M, g), \quad \text{ind}_E(\Phi_k) \leq k + 1.$$

- Show that such family of harmonic maps “stabilizes”

Alternative proof via min-max theory

As in K.-Stern

- For each $k > 3$ construct a harmonic map $\Phi_k: (M, g) \rightarrow \mathbb{S}^k$ such that

$$2E(\Phi_k) \geq 2E(\Phi_{k+1}) \geq \mathcal{V}_1(M, g), \quad \text{ind}_E(\Phi_k) \leq k + 1.$$

- Show that such family of harmonic maps “stabilizes” in the sense that there is N , such that for all $k > N$ the map Φ_k factors into

$$M \rightarrow \mathbb{S}^N \hookrightarrow \mathbb{S}^k$$

Alternative proof via min-max theory

As in K.-Stern

- For each $k > 3$ construct a harmonic map $\Phi_k: (M, g) \rightarrow \mathbb{S}^k$ such that

$$2E(\Phi_k) \geq 2E(\Phi_{k+1}) \geq \mathcal{V}_1(M, g), \quad \text{ind}_E(\Phi_k) \leq k + 1.$$

- Show that such family of harmonic maps “stabilizes” in the sense that there is N , such that for all $k > N$ the map Φ_k factors into

$$M \rightarrow \mathbb{S}^N \hookrightarrow \mathbb{S}^k$$

This can be thought of as a multiplicity bound, but for special densities arising from harmonic maps.

Alternative proof via min-max theory

As in K.-Stern

- For each $k > 3$ construct a harmonic map $\Phi_k: (M, g) \rightarrow \mathbb{S}^k$ such that

$$2E(\Phi_k) \geq 2E(\Phi_{k+1}) \geq \mathcal{V}_1(M, g), \quad \text{ind}_E(\Phi_k) \leq k + 1.$$

- Show that such family of harmonic maps “stabilizes” in the sense that there is N , such that for all $k > N$ the map Φ_k factors into

$$M \rightarrow \mathbb{S}^N \hookrightarrow \mathbb{S}^k$$

This can be thought of as a multiplicity bound, but for special densities arising from harmonic maps.

- Observe that for $k \gg N$ the density $|d\Phi_k|_g^2$ is maximal.

Geometric applications

Same methods can be used to establish existence results for harmonic maps $(M, g) \rightarrow (N, h)$

Geometric applications

Same methods can be used to establish existence results for harmonic maps $(M, g) \rightarrow (N, h)$

Theorem (K.-Stern 2022) Let (N, h) be a Riemannian manifold, such that

- $\pi_l(N) \neq 0$ for some $l \geq 3$;

Geometric applications

Same methods can be used to establish existence results for harmonic maps $(M, g) \rightarrow (N, h)$

Theorem (K.-Stern 2022) Let (N, h) be a Riemannian manifold, such that

- $\pi_l(N) \neq 0$ for some $l \geq 3$;
- (N, h) does not contain stable minimal spheres.

Geometric applications

Same methods can be used to establish existence results for harmonic maps $(M, g) \rightarrow (N, h)$

Theorem (K.-Stern 2022) Let (N, h) be a Riemannian manifold, such that

- $\pi_l(N) \neq 0$ for some $l \geq 3$;
- (N, h) does not contain stable minimal spheres.

Then there exists a nontrivial harmonic map $(M, g) \rightarrow (N, h)$, smooth up to a singular set of codimension 3.

Geometric applications

Same methods can be used to establish existence results for harmonic maps $(M, g) \rightarrow (N, h)$

Theorem (K.-Stern 2022) Let (N, h) be a Riemannian manifold, such that

- $\pi_l(N) \neq 0$ for some $l \geq 3$;
- (N, h) does not contain stable minimal spheres.

Then there exists a nontrivial harmonic map $(M, g) \rightarrow (N, h)$, smooth up to a singular set of codimension 3.

Can be applied to

- (N, h) is a 3-manifold with $\text{Ric}_h > 0$;

Geometric applications

Same methods can be used to establish existence results for harmonic maps $(M, g) \rightarrow (N, h)$

Theorem (K.-Stern 2022) Let (N, h) be a Riemannian manifold, such that

- $\pi_l(N) \neq 0$ for some $l \geq 3$;
- (N, h) does not contain stable minimal spheres.

Then there exists a nontrivial harmonic map $(M, g) \rightarrow (N, h)$, smooth up to a singular set of codimension 3.

Can be applied to

- (N, h) is a 3-manifold with $\text{Ric}_h > 0$;
- (N, h) is a k -manifold with positive isotropic curvature, $k \geq 4$.

Open questions

1. Existence for $\Lambda_1(M, [g])$. Need to better understand n -harmonic maps.

Open questions

1. Existence for $\Lambda_1(M, [g])$. Need to better understand n -harmonic maps.
2. Existence for $\mathcal{V}_i(M, g)$. The case $k = 2$ is tractable using current methods.

Open questions

1. Existence for $\Lambda_1(M, [g])$. Need to better understand n -harmonic maps.
2. Existence for $\mathcal{V}_i(M, g)$. The case $k = 2$ is tractable using current methods.
3. Prove the following identity

$$\sup_{h \in [g]} \mathcal{V}_1(M, h) \text{Vol}(M, h)^{\frac{2-n}{n}} = \Lambda_1(M, [g]).$$

Hersch's theorem

Theorem $\mathcal{V}_1(\mathbb{S}^n, g_{st}) = n \text{Vol}(\mathbb{S}^n, g_{st})$. Constant density is the only maximizer.

Hersch's theorem

Theorem $\mathcal{V}_1(\mathbb{S}^n, g_{st}) = n\text{Vol}(\mathbb{S}^n, g_{st})$. Constant density is the only maximizer.

Proof. Let $g = g_{st}$.

Hersch's theorem

Theorem $\mathcal{V}_1(\mathbb{S}^n, g_{st}) = n \text{Vol}(\mathbb{S}^n, g_{st})$. Constant density is the only maximizer.

Proof. Let $g = g_{st}$.

- conformal automorphisms of \mathbb{S}^n modulo $O(n+1)$ are $\cong \mathbb{B}^{n+1}$

Hersch's theorem

Theorem $\mathcal{V}_1(\mathbb{S}^n, g_{st}) = n \text{Vol}(\mathbb{S}^n, g_{st})$. Constant density is the only maximizer.

Proof. Let $g = g_{st}$.

- conformal automorphisms of \mathbb{S}^n modulo $O(n+1)$ are $\cong \mathbb{B}^{n+1}$
- **Hersch trick:** there exists Φ_b , $b \in \mathbb{B}^{n+1}$ such that

$$\int \Phi_b \rho \, dV_g = 0$$

Hersch's theorem: continued

- Use the components of Φ_b as test-functions for $\lambda_1(\mathbb{S}^n, g, \rho)$

$$\lambda_1(\mathbb{S}^n, g, \rho) \int (\Phi_b^i)^2 \rho \, dv_g \leq \int |d\Phi_b^i|_g^2 \, dv_g$$

Hersch's theorem: continued

- Use the components of Φ_b as test-functions for $\lambda_1(\mathbb{S}^n, g, \rho)$

$$\lambda_1(\mathbb{S}^n, g, \rho) \int (\Phi_b^i)^2 \rho \, dv_g \leq \int |d\Phi_b^i|_g^2 \, dv_g$$

- Sum over $i = 1, 2, \dots, n+1$

$$\lambda_1(\mathbb{S}^n, g, \rho) \int \rho \, dv_g \leq 2E_g(\Phi_b) \leq n \text{Vol}(\mathbb{S}^n, g),$$

Hersch's theorem: continued

- Use the components of Φ_b as test-functions for $\lambda_1(\mathbb{S}^n, g, \rho)$

$$\lambda_1(\mathbb{S}^n, g, \rho) \int (\Phi_b^i)^2 \rho \, dv_g \leq \int |d\Phi_b^i|_g^2 \, dv_g$$

- Sum over $i = 1, 2, \dots, n + 1$

$$\lambda_1(\mathbb{S}^n, g, \rho) \int \rho \, dv_g \leq 2E_g(\Phi_b) \leq n \text{Vol}(\mathbb{S}^n, g),$$

- Can check that equality iff ρ is constant.

Proof of Hersch's trick

- Define the map $I: \mathbb{B}^{n+1} \rightarrow \mathbb{B}^{n+1}$ by

$$I(b) = \frac{1}{\int \rho \, dv_g} \int \Phi_{b\rho} \, dv_g$$

Proof of Hersch's trick

- Define the map $I: \mathbb{B}^{n+1} \rightarrow \mathbb{B}^{n+1}$ by

$$I(b) = \frac{1}{\int \rho \, dv_g} \int \Phi_{b\rho} \, dv_g$$

- It extends continuously to $I: \overline{\mathbb{B}}^{n+1} \rightarrow \overline{\mathbb{B}}^{n+1}$ with $I|_{\mathbb{S}^n} = id$.

Proof of Hersch's trick

- Define the map $I: \mathbb{B}^{n+1} \rightarrow \mathbb{B}^{n+1}$ by

$$I(b) = \frac{1}{\int \rho \, dv_g} \int \Phi_{b\rho} \, dv_g$$

- It extends continuously to $I: \overline{\mathbb{B}}^{n+1} \rightarrow \overline{\mathbb{B}}^{n+1}$ with $I|_{\mathbb{S}^n} = id$.
- Brouwer theorem implies there exists b_0 with $I(b_0) = 0$.

Intuition for the min-max construction

Intuition for the min-max construction

- Fix (M, g) and $k \geq 2$. Let $\tilde{\Gamma}_n$ be a collection of weakly continuous families of maps $\bar{\mathbb{B}}^{k+1} \mapsto W^{1,2}(M, \mathbb{S}^k)$ such that

$$F \in \tilde{\Gamma}_k \quad \text{iff} \quad F_a \equiv a, \quad a \in \mathbb{S}^k$$

Intuition for the min-max construction

- Fix (M, g) and $k \geq 2$. Let $\tilde{\Gamma}_n$ be a collection of weakly continuous families of maps $\bar{\mathbb{B}}^{k+1} \mapsto W^{1,2}(M, \mathbb{S}^k)$ such that

$$F \in \tilde{\Gamma}_k \quad \text{iff} \quad F_a \equiv a, \quad a \in \mathbb{S}^k$$

- Example:** For any $F_0 \in C^\infty(M, \mathbb{S}^n)$ its *canonical family* F is $F_b = \Phi_b \circ F_0$, where Φ_b are the conformal automorphisms of \mathbb{S}^n .

Intuition for the min-max construction

- Hersch's trick \implies for any $F \in \tilde{\Gamma}_k$ and any ρ one has

$$\lambda_1(M, g, \rho) \int \rho \, dv_g \leq 2 \sup_{a \in \bar{\mathbb{B}}^{k+1}} E_g(F_a)$$

Intuition for the min-max construction

- Hersch's trick \implies for any $F \in \tilde{\Gamma}_k$ and any ρ one has

$$\lambda_1(M, g, \rho) \int \rho \, dv_g \leq 2 \sup_{a \in \bar{\mathbb{B}}^{k+1}} E_g(F_a)$$

- As a result,

$$\frac{1}{2} \lambda_1(M, g, \rho) \int \rho \, dv_g \leq \inf_{F \in \tilde{\Gamma}_k} \sup_{a \in \bar{\mathbb{B}}^{k+1}} E_g(F_a) =: \tilde{\mathcal{E}}_n(M, g).$$

Intuition for the min-max construction

- Hersch's trick \implies for any $F \in \tilde{\Gamma}_k$ and any ρ one has

$$\lambda_1(M, g, \rho) \int \rho \, dv_g \leq 2 \sup_{a \in \bar{\mathbb{B}}^{k+1}} E_g(F_a)$$

- As a result,

$$\frac{1}{2} \lambda_1(M, g, \rho) \int \rho \, dv_g \leq \inf_{F \in \tilde{\Gamma}_k} \sup_{a \in \bar{\mathbb{B}}^{k+1}} E_g(F_a) =: \tilde{\mathcal{E}}_n(M, g).$$

- Goal: for large n one has $\mathcal{V}_1(M, g) = \tilde{\mathcal{E}}_n(M, g)$.