Nodal count via topological data analysis

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joint work with

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The corresponding eigenfunctions f_j ,

 $\Delta f_j = \lambda_j f_j,$

form an orthonormal basis in $L^2(M)$.

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Nodal pattern of an eigenfunction on \mathbb{S}^2 corresponding to an eigenvalue $\lambda = 17 \cdot 18$. (*Picture credit: M. Levitin.*)

Example

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Theorem (R. Courant, 1923)

A Laplace eigenfunction f_j has at most j nodal domains.

Denote by $m_0(f)$ the number of nodal domains of f.

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Questions: Can one extend this bound to

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- Inear combinations of eigenfunctions (Courant-Herrmann conjecture)
- 2 products of eigenfunctions (Arnold, 2005)
- (a) higher order operators (e.g. clamped plate problem)
- (a) higher topological invariants: Betti numbers m_r instead of m_0 (Arnold, 2005)

Negative results

There exists a Riemannian metric g on a 2-torus and a sequence f_j of eigenfunctions of the Laplacian Δ_g , such that the functions $f_j + 1$ have infinitely many nodal domains.

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In general, the answer to all the questions above is no.

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Idea: What if we ignore *small* oscillations?

Definition (L. Polterovich – Sodin, 2007) A nodal domain Ω of a function f is called δ -deep for some $\delta > 0$ if $\max_{\Omega} |f| > \delta$.

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Our first main result shows that $m_0(f, \delta)$ is controlled by the appropriate Sobolev norms of f.

Main result: coarse nodal count

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Theorem (BP³S², 2022) Let $f \in W^{k,p}(M)$ for $k > \frac{n}{p}$, where $n = \dim M$. Then for any $\delta > 0$,

 $m_0(f,\delta) \le C\delta^{-\frac{n}{k}} \|f\|_{W^{k,p}}^{\frac{n}{k}},$

where C depends on M, k, p but not on δ .

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Note that the estimate blows up as $\delta \to 0$, and one can check that the constant *C* blows up as $k \to \infty$.

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Theorem

Let $k > \frac{n}{2}$ be an integer. Then for any $\delta > 0$ and any $f \in \mathcal{F}_{\lambda}$ with $||f||_{L^2} = 1$, $m_0(f, \delta) \le C\delta^{-\frac{n}{k}} (\lambda + 1)^{\frac{n}{2}}$.

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Remark

All other extensions mentioned earlier can be also obtained in the *coarse* setting.

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In order to use our approach, we need to introduce the notion of coarse zero count.

Coarse Bézout theorem

Theorem

Let $f = (f_1, \ldots, f_n)$, where $f_j \in \mathcal{F}_{\lambda}$, $j = 1, \ldots, n$, and let k > n/2 be an integer. Then for any $\delta > 0$,

$$z_0(f,\delta) \le C\delta^{-\frac{n}{k}} \left(\lambda+1\right)^{\frac{n}{2}} + 1,$$

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 $d_{\textit{bottle}}(\mathcal{B}, \mathcal{B}') = \inf\{\varepsilon \mid \mathcal{B}, \mathcal{B}' \text{ are } \varepsilon \text{-matched}\}.$

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Examples:

$$d_{bottle}(\{(0,2],[0,1]\},\{(0,2.1)\})=rac{1}{2}$$

 $d_{\textit{bottle}}(\{(0,2],[0,1]\},\{(0,+\infty)\})=+\infty$

Example: barcode of a height function



(Picture credit: V. Stojisavljević.)

Example: barcode of a height function



Barcode $\mathcal{B}(f)$. (Picture credit: M. Levitin.)

I. Polterovich (Université de Montréal)

Nodal count via topological data analysis

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number of infinite bars
$$= \beta_M := \sum_{r=0}^{\dim M} b_r(M),$$

where β_M is the total Betti number of M.

Stability

Theorem (Stability theorem, Cohen-Steiner–Edelsbrunner–Harer, 2007) Let f, g be two Morse functions on M. Then

 $d_{bottle}(\mathcal{B}(f), \mathcal{B}(g)) \leq d_{C^0}(f, g).$

Theorem (Stability theorem, Cohen-Steiner–Edelsbrunner–Harer, 2007) Let f, g be two Morse functions on M. Then

 $d_{bottle}(\mathcal{B}(f), \mathcal{B}(g)) \leq d_{C^0}(f, g).$

Stability theorem is a key feature of the theory.
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What we need: an estimate on $N_{\delta}(|f|)$.

Indeed, 0 is the minimal value of |f|, and its maximal value in a δ -deep nodal domain is $\geq \delta$. Hence

 $m_0(f,\delta) \leq N_\delta(|f|).$

Main theorem: coarse bar count

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Theorem

Let $f \in W^{k,p}(M)$ for $k > \frac{n}{p}$, where $n = \dim M$. Then for any $\delta > 0$, $N_{\delta}(|f|) \le C\delta^{-\frac{n}{k}} ||f||_{W^{k,p}}^{\frac{n}{k}} + \beta_M$,

where C depends on M, k, p but not on δ , and β_M is the total Betti number of M.

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Theorem (Morrey–Sobolev)

Let $Q \subset \mathbb{R}^n$ be a cube and let $\mathcal{P}_k(Q) \subset C^0(Q)$ denote the subspace of polynomials of degree $\leq k$. Then

 $d_{C^0}(f, \mathcal{P}_{k-1}(Q)) \le C_{n,k,p} (\operatorname{Vol}(Q))^{\frac{k}{n} - \frac{1}{p}} \|f\|_{W^{k,p}(Q)}.$

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• Multiscale dyadic partition into small cubes until functions are well aproximated by polynomials.

• Nice behavior of N_{δ} under unions and stability theorem.

Thank you for your attention!