On the minimization of the drag force in Stokes fluids: a free discontinuity approach.

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Joint work with D. Bucur, A. Chambolle and M. Nahon

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The stationary flow under Navier conditions

Let $\Omega \subset \mathbb{R}^d$ be a bounded open set with Lipschitz boundary, $E \subset \Omega$, and let $V \in C^1(\mathbb{R}^d; \mathbb{R}^d)$ be a divergence free vector field.



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If $u: \Omega \setminus E \to \mathbb{R}^d$ is the velocity field, we require that the following items hold true.

- (a) *Incompressibility*: div u = 0 in $\Omega \setminus E$.
- (b) Boundary conditions: we have

u = V on $\partial \Omega$ and $u \cdot \nu = 0$ on ∂E ,

(c) *Equilibrium*: considering the stress

$$\sigma := -pI_d + 2\mu e(u),$$

we require

$$\operatorname{div} \sigma = 0 \qquad \text{in } \Omega \setminus E.$$

(d) Navier conditions on the obstacle: we have

$$(\sigma \nu)_{\tau} = \beta u \quad \text{on } \partial E,$$

where $\beta > 0$.

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The stationary flow has the following variational characterization: u is the minimizer of the energy

$$\mathcal{E}(u) := 2\mu \int_{\Omega \setminus E} |e(u)|^2 dx + \beta \int_{\partial E} |u|^2 d\mathcal{H}^{d-1}$$

among the class of (sufficiently regular) admissible fields

$${\mathcal V}_{{\mathcal E},{\mathcal V}}^{\mathsf{reg}}(\Omega):=\Big\{v\in {\mathcal H}^1(\Omega\setminus {\mathcal E},{\mathbb R}^d)\,:\, v ext{ satisfies }$$

incompressibility and boundary conditions}.

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The drag force and the optimization problem

Assume now that the external vector field V is equal to a constant $V_{\infty} \in \mathbb{R}^d \setminus \{0\}$, i.e. the obstacle E is immersed in a uniform flow. The flow is perturbed near E, and the obstacle experiences a force whose component in the direction V_{∞} is given by

$$\mathsf{Drag}(\mathsf{E}) := \int_{\partial \mathsf{E}} \sigma
u \cdot rac{\mathsf{V}_\infty}{|\mathsf{V}_\infty|} \, d\mathcal{H}^{d-1}.$$

It turns out that

$$Drag(E) = \frac{1}{|V_{\infty}|} \mathcal{E}(u)$$
$$= \frac{1}{|V_{\infty}|} \left[2\mu \int_{\Omega \setminus E} |e(u)|^2 dx + \beta \int_{\partial E} |u|^2 d\mathcal{H}^{d-1} \right]$$

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Let c > 0 and let $f : (0, |\Omega|) \to \mathbb{R} \cup \{+\infty\}$ be a lower semicontinuous functions that is not identically equal to $+\infty$. We are interested in the following optimization problem:

$$\min_{E} \left\{ Drag(E) + c\mathcal{H}^{d-1}(\partial E) + f(|E|) \right\}.$$

Letting V be arbitrary, the drag minimization problem above is a particular case of the following shape optimization problem

$$\min_{E,u\in\mathcal{V}_{E,V}^{\text{reg}}(\Omega)} \left\{ \int_{\Omega\setminus E} |e(u)|^2 \, dx + \beta \int_{\partial E} |u|^2 \, d\mathcal{H}^{d-1} + c\mathcal{H}^{d-1}(\partial E) + f(|E|) \right\}.$$

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Goal: prove existence of a minimizer through the Direct Method of the Calculus of Variations in a suitable relaxed context.

Class of admissible obstacle \rightsquigarrow Sets of finite perimeter.

Class of admissible velocities \rightsquigarrow Discontinuous fields are important!



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Class of admissible obstacle \rightsquigarrow Sets of finite perimeter.

Class of admissible velocities ~> Discontinuous fields are important!



We are led to consider a velocity field discontinuous across Γ . We also expect an extra term in the surface integral related to the Navier conditions, which amounts at least to

$$\beta \int_{\Gamma \setminus \partial E} \left[|u^+|^2 + |u^-|^2 \right] d\mathcal{H}^{d-1},$$

where u^{\pm} are the two traces from both sides of Γ . An other extra term comes from the perimeter penalization and reads

$$2c\mathcal{H}^{d-1}(\Gamma).$$

A natural functional space to be considered is the space of functions of bounded deformation SBD: $u \in SBD(\Omega)$ if $u \in L^1(\Omega; \mathbb{R}^d)$ and

$$Eu = e(u) \, dx + (u^+ - u^-) \odot \nu_u \mathcal{H}^{d-1} \lfloor J_u$$

as matrix valued measures on Ω .

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Compactness in SBD (Bellettini, Coscia, Dal Maso)

Let $\Omega \subseteq \mathbb{R}^d$ be open, bounded and with a Lipschitz boundary, and let $(u_n)_{n\in\mathbb{N}}$ be a sequence in $SBD(\Omega)$ such that

$$\sup_{n} \left[|Eu_n|(\Omega) + ||u_n||_{L^1(\Omega;\mathbb{R}^d)} + ||e(u_n)||_{L^p(\Omega;\mathrm{M}^d_{\mathrm{sym}})} + \mathcal{H}^{d-1}(J_{u_n}) \right] < +\infty$$

for some p > 1. Then there exists $u \in SBD(\Omega)$ and a subsequence $(u_{n_k})_{k \in \mathbb{N}}$ such that

$$u_{n_k} \to u$$
 strongly in $L^1(\Omega; \mathbb{R}^d)$,
 $e(u_{n_k}) \to e(u)$ weakly in $L^p(\Omega; \mathrm{M}^d_{\mathrm{sym}})$,
 $\mathcal{H}^{d-1}(J_u) \leq \liminf_{k \to +\infty} \mathcal{H}^{d-1}(J_{u_{n_k}})$.

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The functional setting

Let $\Omega' \subset \mathbb{R}^d$ be open and bounded with $\Omega \subset \subset \Omega'$.

Admissible pairs

We say that (E, u) is an admissible pair and write $(E, u) \in \mathcal{A}(V)$, if

(a) $E \subseteq \Omega$ has finite perimeter; (b) $u \in SBD(\Omega')$ with u = 0 a.e. in E, u = V on $\Omega' \setminus \Omega$ and

• Incompressibility: $div \ u = 0$ in $\mathcal{D}'(\Omega')$;

Tangency constraint: $u^{\pm} \perp \nu_{E \cup J_u}$ on $\partial^* E \cup J_u$.

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The relaxed optimization problem

The relaxed formulation for the optimization problem involves the energy

$$\begin{aligned} \mathcal{J}(E,u) &:= \int_{\Omega \setminus E} |e(u)|^2 \, dx \\ &+ \beta \int_{\partial^* E} |u^+|^2 \, d\mathcal{H}^{d-1} + \beta \int_{J_u \setminus \partial^* E} [|u^+|^2 + |u^-|^2] \, d\mathcal{H}^{d-1} \\ &+ c\mathcal{H}^{d-1}(\partial^* E) + 2c\mathcal{H}^{d-1}(J_u \setminus \partial^* E) \\ &+ f(|E|). \end{aligned}$$

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The main result

Theorem (Bucur, Chambolle, G., Nahon, 2022)

The minimum problem

 $\min_{(E,u)} \mathcal{J}(E,u)$

has a solution.

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Compactness

Theorem (Bucur, Chambolle, G., Nahon, 2022)

For every $(E, u) \in \mathcal{A}(V)$ we have

$$\begin{split} \mathcal{H}^{d-1}(\partial^* E) + \| e(u) \|_{L^2} &+ \mathcal{H}^{d-1}(J_u) \\ &+ |Eu|(\Omega) + \| u \|_{L^2} + \leq C \mathcal{J}(E, u). \end{split}$$

As a consequence compactness in L^1 for the obstacles and in *SBD* for the velocities are available.

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The main difficulties to get the full result are the following.

The tangency constraint:

$$u_n^{\pm} \perp \nu_{E_n \cup J_{u_n}} \Longrightarrow u^{\pm} \perp \nu_{E \cup J_u}.$$

Lower semicontinuity of Navier energies:

$$\int_{\partial^{*}E} |u^{+}|^{2} d\mathcal{H}^{d-1} + \int_{J_{u}\setminus\partial^{*}E} |u^{+}|^{2} + |u^{-}|^{2} d\mathcal{H}^{d-1}$$

$$\leq \liminf_{n} \left[\int_{\partial^{*}E_{n}} |u_{n}^{+}|^{2} d\mathcal{H}^{d-1} + \int_{J_{u_{n}}\setminus\partial^{*}E_{n}} |u_{n}^{+}|^{2} + |u_{n}^{-}|^{2} d\mathcal{H}^{d-1} \right]$$

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Theorem (Bucur, Chambolle, G., Nahon, 2022)

Let $\Omega \subseteq \mathbb{R}^d$ be a bounded open set, and let $(u_n)_{n \in \mathbb{N}}$ be a sequence in $SBD(\Omega)$ such that

$$\sup_{n} \left[\int_{\Omega} |e(u_n)|^2 \, dx + \mathcal{H}^{d-1}(J_{u_n}) \right] < +\infty$$

with $u_n \to u$ in $L^1(\Omega)$ for some $u \in SBD(\Omega)$. Then the following facts hold true.

We have

$$\int_{J_u} \left[|u^+ \cdot \nu_u| + |u^- \cdot \nu_u| \right] d\mathcal{H}^{d-1}$$

$$\leq \liminf_{n \to +\infty} \int_{J_{u_n}} \left[|u_n^+ \cdot \nu_{u_n}| + |u^- \cdot \nu_{u_n}| \right] d\mathcal{H}^{d-1}.$$

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• If $\phi: \mathbb{R}^d \to [0,+\infty]$ is a lower semicontinuous function, we have

$$\int_{J_u} [\phi(u^+) + \phi(u^-)] \, d\mathcal{H}^{d-1} \leq \liminf_{n \to +\infty} \int_{J_{u_n}} [\phi(u_n^+) + \phi(u_n^-)] \, d\mathcal{H}^{d-1}$$

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Thank you for your attention!



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Regularity

Theorem (Bucur, Chambolle, G., Nahon, 2022)

Assume d = 2, and let (E, u) be a minimizer of the problem. Then

$$\mathcal{H}^1(\overline{\partial^* E \cup J_u} \setminus (\partial^* E \cup J_u)) = 0$$

and

$$u \in H^1(\Omega \setminus (\overline{\partial^* E \cup J_u}); \mathbb{R}^2) \cap C^{\infty}(\Omega \setminus (\overline{\partial^* E \cup J_u}); \mathbb{R}^2).$$

In other words, the optimal obstacle is given by the closed set

$$\overline{\partial^* E \cup J_u}.$$

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