

Elementary analytic functions in VTC^0

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Mathematical Approaches to Lower Bounds:
Complexity of Proofs and Computation

ICMS, Bayes Centre, Edinburgh, July 2022



EUROPEAN UNION
European Structural and Investment Funds
Operational Programme Research,
Development and Education



TC^0 and VTC^0

- 1 TC^0 and VTC^0
- 2 Elementary analytic functions

Theories vs. complexity classes

Correspondence of theories of bounded arithmetic T and computational complexity classes C :

- ▶ Provably total computable functions of T are C -functions
- ▶ T can reason using C -predicates (comprehension, induction, minimization, ...)

Feasible reasoning:

- ▶ Given a concept $X \in C$, what can we prove about X while reasoning only with concepts from C ?
- ▶ Formalization: what does T prove about X ?

This talk:

X = elementary integer arithmetic operations $+$, \cdot , \leq

The class TC^0

$$AC^0 \subseteq ACC^0 \subseteq TC^0 \subseteq NC^1 \subseteq L \subseteq NL \subseteq AC^1 \subseteq \dots \subseteq P$$

TC^0 = dlogtime-uniform $O(1)$ -depth $n^{O(1)}$ -size
unbounded fan-in circuits with threshold gates
= **FOM**-definable on finite structures
representing strings
(first-order logic with majority quantifiers)
= $O(\log n)$ time, $O(1)$ thresholds
on a threshold Turing machine
= Constable's \mathcal{K} : closure of $+, -, \cdot, /$ under substitution
and polynomially bounded Σ, Π

\mathbf{TC}^0 and arithmetic operations

For integers given in binary:

- ▶ $+$ and \leq are in $\mathbf{AC}^0 \subseteq \mathbf{TC}^0$
- ▶ \times is in \mathbf{TC}^0 (\mathbf{TC}^0 -complete under \mathbf{AC}^0 reductions)

\mathbf{TC}^0 can also do:

- ▶ iterated addition $\sum_{i < n} X_i$
- ▶ integer division and iterated multiplication [BCH'86, CDL'01, HAB'02]
- ▶ the corresponding operations on \mathbb{Q} , $\mathbb{Q}(\alpha)$, ...
- ▶ approximate functions given by nice power series:
 - ▶ $\sin X$, $\log X$, $\sqrt[k]{X}$, ...
- ▶ sorting, ...

$\implies \mathbf{TC}^0$ is the right class for basic arithmetic operations

The theory VTC^0

- ▶ Zambella-style **two-sorted** bounded arithmetic
 - ▶ unary (auxiliary) integers with $0, 1, +, \cdot, \leq$
 - ▶ finite sets = binary integers = binary strings
- ▶ Noteworthy axioms:
 - ▶ Σ_0^B -comprehension ($\Sigma_0^B =$ bounded, w/o SO q'fiers)
 - ▶ every set has a counting function
- ▶ Correspondence to \mathbf{TC}^0 :
 - ▶ provably total computable (i.e., $\exists \Sigma_0^B$ -definable) functions are exactly the \mathbf{TC}^0 -functions
 - ▶ has induction, minimization, ... for \mathbf{TC}^0 -predicates
- ▶ Basic binary integer arithmetic in VTC^0 :
 - ▶ can define $+, \cdot, \leq$ on binary integers
 - ▶ proves integers form a discretely ordered ring (DOR)

TC^0 feasible reasoning

What else can VTC^0 do with basic arithmetic operations?

- ▶ [J'22] **Iterated multiplication and division**
 - ▶ formalize a variant of the [HAB'02] algorithm
 - ▶ raised as a problem in [Ats'03,NC'06]
- ▶ [J'15] **Open induction** in $\langle +, \cdot, < \rangle$ (*IOpen*)
 - ▶ \approx constant-degree polynomial root approximation
 - ▶ ideas of [Man'91] \implies (*RSUV* translation of) Σ_0^b -minimization in Buss's language

Elementary analytic functions

1 TC^0 and VTC^0

2 **Elementary analytic functions**

TC^0 analytic functions

Recall: TC^0 can compute approximations of analytic functions whose power series have TC^0 -computable coefficients

Question: Can VTC^0 prove their basic properties?

There's a plethora of such functions \implies let's start small:

Elementary analytic functions (real and complex)

- ▶ exp, log
- ▶ trigonometric: sin, cos, tan, cot, sec, csc
- ▶ inverse trig.: arcsin, arccos, arctan, arccot, arcsec, arccsc
- ▶ hyperbolic: sinh, cosh, tanh, coth, sech, csch
- ▶ inverse hyp.: arsinh, arcosh, artanh, arcoth, arsech, arcsch

All definable in terms of complex exp and log

VTC^0 setup

Working with rational approximations only is quite tiresome

$\mathfrak{M} \models VTC^0 \rightsquigarrow$ DOR $\mathbf{Z}^{\mathfrak{M}} \rightsquigarrow$ fraction field $\mathbf{Q}^{\mathfrak{M}}$
 \rightsquigarrow completion $\mathbf{R}^{\mathfrak{M}} \rightsquigarrow$ alg. closure $\mathbf{C}^{\mathfrak{M}} = \mathbf{R}^{\mathfrak{M}}(i)$

Treat the functions as $f: \mathbf{C}^{\mathfrak{M}} \rightarrow \mathbf{C}^{\mathfrak{M}}$ (or on a subset)

This simplifies development, but approximations still needed:

- ▶ translate results back to the language of VTC^0
- ▶ use the functions in induction arguments, ...

Further notation: unary integers embed as $\mathbf{L}^{\mathfrak{M}} \subseteq \mathbf{Z}^{\mathfrak{M}}$

$\mathbf{C}_L^{\mathfrak{M}} = \{z \in \mathbf{C}^{\mathfrak{M}} : \exists n \in \mathbf{L}^{\mathfrak{M}} |z| \leq n\}$, $\mathbf{R}_L^{\mathfrak{M}} = \mathbf{R}^{\mathfrak{M}} \cap \mathbf{C}_L^{\mathfrak{M}}$, ...

Main results

We can define $\pi \in \mathbf{R}^m$,

$$\exp: \mathbf{R}_L^m + i\mathbf{R}^m \rightarrow \mathbf{C}_{\neq 0}^m,$$

$$\log: \mathbf{C}_{\neq 0}^m \rightarrow \mathbf{R}_L^m + i(-\pi, \pi]$$

such that

- ▶ $\exp(z_0 + z_1) = \exp z_0 \exp z_1$
- ▶ \exp is $2\pi i$ -periodic
- ▶ $\exp \log z = z$
- ▶ $\log \exp z = z$ for $z \in \mathbf{R}_L^m + i(-\pi, \pi]$
- ▶ $\exp \upharpoonright \mathbf{R}_L^m$ increasing bijection $\mathbf{R}_L^m \rightarrow \mathbf{R}_{>0}^m$, convex
- ▶ for small z : $\exp z = 1 + z + O(z^2)$, $\log(1 + z) = z + O(z^2)$

Construction of \exp

Mostly straightforward:

- ▶ define $\exp: \mathbf{Q}_L^{\mathfrak{M}}(i) \rightarrow \mathbf{C}^{\mathfrak{M}}$ as $\lim_{n \rightarrow \infty} \sum_{j < n} \frac{z^j}{j!}$
- ▶ extend to $\mathbf{C}_L^{\mathfrak{M}}$ using (local) uniform continuity
- ▶ show $\exp(z_0 + z_1) = \exp z_0 \exp z_1$ in the usual way

But we can finish only after proving $\exp \log z = z$:

- ▶ $\pi := \text{Im} \log(-1)$ satisfies $\exp(2\pi i) = 1$
 - $\implies \exp$ $2\pi i$ -periodic
 - \implies extend \exp to $\mathbf{R}_L^{\mathfrak{M}} + i\mathbf{R}^{\mathfrak{M}}$
- ▶ can further extend it to $\{z \in \mathbf{C}^{\mathfrak{M}} : \exists n \in \mathbf{L}^{\mathfrak{M}} \text{ Re } z \leq n\}$ by putting $\exp z = 0$ when $\text{Re } z < -\mathbf{L}^{\mathfrak{M}}$

Construction of \log

A lot of work:

- ▶ define \log for $|z - 1| <^* 1$ using $\lim_{n \rightarrow \infty} -\sum_{0 < j \leq n} \frac{(1-z)^j}{j}$
- ▶ show $\log(z_0 z_1) = \log z_0 + \log z_1$ for z_j close to 1 by messy calculation
- ▶ extend \log to $\mathbf{R}_{>0}^m$ using $2^n: \mathbf{L}^m \rightarrow \mathbf{Z}^m$
- ▶ extend \log to an **angular sector** by combining the two
- ▶ develop \sqrt{z}
- ▶ extend \log to $\mathbf{C}_{\neq 0}^m$ using $8 \log \sqrt[8]{z}$
- ▶ $\log(z_0 z_1) = \log z_0 + \log z_1$ when $\operatorname{Re} z_j > 0$
- ▶ $\log \exp(z_0 + z_1) = \log \exp z_0 + \log \exp z_1$ when $|\operatorname{Im} z_j| < 1$
 - $\implies \log \exp z = z$ when $|\operatorname{Im} z| < 1$
 - $\implies \exp \log z = z$ using injectivity of \log

Applications

Define

- ▶ $z^w = \exp(w \log z)$, $\sqrt[n]{z} = z^{1/n}$
- ▶ $\prod_{j < n} z_j$ for a sequence of $z_j \in \mathbf{Q}^{\mathfrak{M}}(i)$ coded in \mathfrak{M}
 - ▶ $w \log z_j \in \mathbf{Z}^{\mathfrak{M}}[i] \implies$ result also in $\mathbf{Z}^{\mathfrak{M}}[i]$
 - ▶ round appx. of $\exp(\sum_{j < n} \text{appx. of } \log z_j)$
- ▶ trigonometric, inverse trigonometric, hyperbolic, inverse hyperbolic functions
- ▶ Q: Can VTC^0 prove π is irrational?

Model-theoretic consequence:

- ▶ Every countable model of VTC^0 is an exponential integer part of a real-closed exponential field (even though \exp is not total on $\mathbf{R}^{\mathfrak{M}}$!)

Exponential integer parts

$\langle R, +, \cdot, < \rangle$ ordered field, $D \subseteq R$ subring:

- ▶ R real-closed: $R \equiv \mathbb{R}$ (odd-degree poly have roots, $\forall x > 0 \exists \sqrt{x}$)
- ▶ $\langle R, \exp \rangle$ exponential field if $\exp: \langle R, +, < \rangle \simeq \langle R_{>0}, \cdot, < \rangle$
- ▶ D integer part (IP): discrete, $\forall x \in R \exists u \in D |x - u| < 1$
- ▶ [Res'93] exponential IP: $D_{>0}$ closed under \exp
($\exp(1) = 2, \exp(n) > n$)

NB: $\exp \upharpoonright D_{>0}$ may be different from the usual 2^n function

Motivation:

- ▶ [Shep'64] $\mathfrak{M} \models \text{IOpen} \iff \mathfrak{M}$ is an IP of a RCF
- ▶ What models are EIP of RCEF? Do they satisfy some nontrivial consequences of totality of exponentiation?

Models of VTC^0 as EIP

$\mathfrak{M} \models VTC^0 \implies$ IP of RCF $\mathbf{R}^{\mathfrak{M}}$

Catch: our exp or 2^x is $\langle \mathbf{R}_{\mathbf{L}}^{\mathfrak{M}}, +, < \rangle \simeq \langle \mathbf{R}_{>0}^{\mathfrak{M}}, \cdot, < \rangle$

Solution:

- ▶ $\langle \mathbf{Q}^{\mathfrak{M}}, \mathbf{Z}^{\mathfrak{M}}, \mathbf{L}^{\mathfrak{M}}, +, < \rangle$ is **recursively saturated**
 - ▶ quantifier elimination for $\text{Th}(\mathbf{Q}^{\mathfrak{M}}, \mathbf{Z}^{\mathfrak{M}}, \mathbf{L}^{\mathfrak{M}}, +, <)$
- ▶ \mathfrak{M} countable $\implies \langle \mathbf{Q}^{\mathfrak{M}}, \mathbf{N}^{\mathfrak{M}}, +, < \rangle \simeq \langle \mathbf{Q}_{\mathbf{L}}^{\mathfrak{M}}, \mathbf{L}^{\mathfrak{M}}, +, < \rangle$
- ▶ continuous extension $\langle \mathbf{R}^{\mathfrak{M}}, \mathbf{N}^{\mathfrak{M}}, +, < \rangle \simeq \langle \mathbf{R}_{\mathbf{L}}^{\mathfrak{M}}, \mathbf{L}^{\mathfrak{M}}, +, < \rangle$
- ▶ compose with $2^x \implies \langle \mathbf{R}^{\mathfrak{M}}, \mathbf{N}^{\mathfrak{M}}, +, < \rangle \simeq \langle \mathbf{R}_{>0}^{\mathfrak{M}}, P_2^{\mathfrak{M}}, \cdot, < \rangle$
 $P_2^{\mathfrak{M}} = \{x \in \mathbf{N}^{\mathfrak{M}} : x \text{ is a power of } 2\}$

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