LOCALLY ANALYTIC REPRESENTATIONS OF *p*-ADIC GROUPS

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Lecture 1

The *p*-adic representation theory of *p*-adic groups is the subject of much ongoing research. It is motivated by the desire to better understand the conjectural *p*-adic Langlands correspondence, but it is also an interesting branch of representation theory in its own right. In these lectures, we introduce the notion of locally analytic representations, and a particularly nice subclass of them called admissible representations.

Today: we focus on the topological/analytic background.

Non-archimedean fields. Throughout, K will denote our base field.

Definition 1. A non-archimedean absolute value (NAAV) on K is a function $|\cdot|: K \to \mathbb{R}$ such that for all $a, b \in K$:

- (i) $|a| \ge 0;$
- (ii) $|a| = 0 \iff a = 0;$
- (iii) $|a \cdot b| = |a| \cdot |b|$; and
- (iv) $|a+b| \le \max\{|a|, |b|\}.$

This gives a metric on K via d(a,b) := |a-b|, making K into a topological field. The unit ball $\mathcal{O}_K := \{a \in K : |a| \le 1\}$ is a subring.

From now on, we assume that K is equipped with a NAAV and that it is complete, i.e. Cauchy sequences converge.

Remark. We can more generally topologise K^n for any n by equipping it with the norm $||(a_1, \ldots, a_n)|| := \max\{|a_1|, \ldots, |a_n|\}.$

Canonical example: the p-adics. Let p be a prime number and let $a \in \mathbb{Q}$. Define $|a|_p := p^{-r}$ if $a = p^r \cdot \frac{m}{n}$ where (m, p) = (n, p) = 1. This is a NAAV on \mathbb{Q} (exercise). The completion of \mathbb{Q} with respect to $|\cdot|_p$ is denoted by \mathbb{Q}_p , the *field of p-adic numbers*, and the unit ball of \mathbb{Q}_p is denoted by \mathbb{Z}_p , the ring of p-adic integers. Moreover, the NAAV on \mathbb{Q}_p extends uniquely to a NAAV an K for any finite field extension K/\mathbb{Q}_p .

Concretely, elements of \mathbb{Z}_p are 'infinite base p expansions', i.e. can be represented uniquely as a series

 $a_0 + a_1 p + a_2 p^2 + \ldots + a_n p^n + \ldots,$

where $a_i \in \{0, 1, \dots, p-1\}$ for all i. We then have $\mathbb{Q}_p = \mathbb{Z}_p[1/p]$.

Back to general K. Convergence of series will be important to us. The following will be crucial:

Fact/exercise. If (a_n) is a sequence in K, then

$$\sum_{n \geq 0} a_n \text{ converges } \iff a_n \to 0 \text{ as } n \to \infty.$$

This is a consequence of (iv) in the definition of a NAAV.

In particular, as a function, a power series $f(x) = \sum_{n \ge 0} a_n x^n$ makes sense (i.e. converges) on a ball $B_{\varepsilon}(0) := \{a \in K : |a| \le \varepsilon\}$ if and only if $\varepsilon^n |a_n| \to 0$ as $n \to \infty$.

p-adic Lie groups. Given $\alpha = (\alpha_1, \ldots, \alpha_n) \in \mathbb{N}^n$, we adopt the notation $|\alpha| := \alpha_1 + \ldots + \alpha_n$ and $t^{\alpha} := t_1^{\alpha_1} \cdots t_n^{\alpha_n}$.

Definition 2. If $U \subseteq K^n$ is open, then a function $f: U \to K^m$ is *locally analytic* if for all $x_0 \in U$, there exists $\varepsilon > 0$ and power series $F_i(t) = \sum_{\alpha \in \mathbb{N}^n} a_{\alpha,i} t^{\alpha}$ $(1 \le i \le m)$, where $a_{\alpha,i} \in K$ and $\varepsilon^{|\alpha|} \cdot |a_{\alpha,i}| \to 0$ as $|\varepsilon| \to \infty$, such that for all $x \in U$ with $||x - x_0|| \le \varepsilon$ we have $f(x) = (F_1(x - x_0), \dots, F_m(x - x_0))$.

Remark. This notion can be generalised to functions $f: U \to V$ where V is a suitable (locally convex, Hausdorff) topological vector space. These are the functions which can be locally described by converging power series with coefficients in V.

Next we introduce manifolds:

Definition 3. Let M be a Hausdorff topological space. An *atlas of dimension* n on M is a set $\mathcal{A} = \{(U_i, \varphi_i)\}_{i \in I}$ such that

- $U_i \subset M$ is open for all $i \in I$ and $M = \bigcup_{i \in I} U_i$;
- $\varphi_i: U_i \to K^n$ is a homeomorphism onto an open subset of K^n for all $i \in I$; and
- for all $i, j \in I$, the maps

$$\varphi_i(U_i \cap U_j) \underset{\varphi_i \circ \varphi_j^{-1}}{\overset{\varphi_j \circ \varphi_i^{-1}}{\rightleftharpoons}} \varphi_j(U_i \cap U_j)$$

are locally analytic

We say M is a (locally K-analytic) manifold of dimension n if it is equipped with such an atlas, and the pairs (U_i, φ_i) are called *charts*. We say a map $f : M \to K^m$ is locally analytic if $f \circ \varphi^{-1} : \varphi(U) \to K^m$ is locally analytic for each chart (U, φ) of M.

Finally we can talk about groups:

Definition 4. A manifold G is a *Lie group* if it is a group such that the multiplication $m: G \times G \to G$ is locally analytic.

Examples. (i) $(K^n, +)$ or $(\mathcal{O}_K^n, +)$.

- (ii) (K^{\times}, \cdot) or $(\mathcal{O}_K^{\times}, \cdot)$.
- (iii) $(1 + p\mathbb{Z}_p, \cdot) \leq (\mathbb{Q}_p^{\times}, \cdot)$, i.e. elements of the form $1 + a_1p + a_2p^2 + \ldots$
- (iv) $\operatorname{GL}_n(K)$, $\operatorname{GL}_n(\mathcal{O}_K)$, $\operatorname{SL}_n(K)$, $\operatorname{SL}_n(\mathcal{O}_K)$.
- (v) Closed subgroups of $GL_{n}(K)$ are Lie groups, such as the Borel subgroup

$$B = \left\{ \begin{pmatrix} * & \dots & * \\ & \ddots & \vdots \\ & & * \end{pmatrix} \in \mathsf{GL}_n(K) \right\}$$

and the maximal torus

$$T = \left\{ \begin{pmatrix} * & \ddots \\ & * \end{pmatrix} \in \mathsf{GL}_n(K) \right\}.$$

(vi) We also have the *Iwahori subgroup* of $GL_2(\mathbb{Z}_p)$

$$I = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \mathsf{GL}_2\left(\mathbb{Z}_p\right) : c \in p\mathbb{Z}_p \right\}$$

(vii) The K-valued points of any connected algebraic group over K.

All of these examples are algebraic in nature, but the point of the analytic setup is that we may study a class of representations larger than the algebraic ones.

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Locally analytic representations. From now on, we fix complete non-archimedean fields $L \subseteq K$ such that the NAAV on K extends the one on L, and we fix G a locally L-analytic Lie group. We will study representations of G on K-vector spaces. We assume that V is a suitable topological K-vector space (locally convex, Hausdorff) so that we can talk about locally analytic functions $f: G \to V$. We write

$$C^{\mathsf{an}}(G, V) := \{ f : G \to V | f \text{ locally analytic} \}.$$

Definition 5. A representation $\rho: G \to GL(V)$ is *locally analytic* if for each $v \in V$, the map $g \mapsto \rho(g)v$ belongs to $C^{an}(G, V)$.

Remark. This only depends on each vector $v \in V$, so given *any* representation on V, it makes sense to consider the *locally analytic vectors*

$$V^{\mathsf{an}} := \{ v \in V : (g \mapsto \rho(g)v) \in C^{\mathsf{an}}(G, V) \},\$$

a locally analytic subrepresentation.

We finish with some examples.

Examples. (i) If G is algebraic (e.g. $GL_n(K)$) then any algebraic representation of G is locally analytic.

(ii) If $G = (\mathbb{Z}_p, +)$, we can define a character $\chi : G \to K^{\times}$ as follows. Pick $z \in K^{\times}$ such that |z - 1| < 1. Then, for $a \in \mathbb{Z}_p$, set

$$\chi(a) = z^a := \sum_{n=0}^{\infty} (z-1)^n \binom{a}{n}.$$

Here the binomial coefficient is defined as $\binom{a}{n} = \frac{a(a-1)\dots(a-n+1)}{n!} \in \mathbb{Q}_p$. It follows from a theorem of Amice that χ is locally analytic.

(iii) Let $G = \operatorname{GL}_2(\mathbb{Q}_p)$, B the Borel subgroup, T the maximal torus. Let $\chi: T \to K^{\times}$ be a locally analytic character. As T is a quotient of B, we may lift χ to B. Then we have the locally analytic induction

$$\operatorname{Ind}_B^G(\chi) := \{ f \in C^{\operatorname{an}}(G, K) : f(gb) = \chi(b^{-1})f(g) \; \forall g \in G, b \in B \}.$$

This is a locally analytic representation of G when G acts by left translation, called a *principal series* representation.

(iv) When $\chi = \mathbf{1}$ in (iii), we have a natural injection $\mathbf{1}_G \to \text{Ind}_B^G(\mathbf{1})$ with image the constant functions $G \to K$. The quotient $\text{St} := \text{Ind}_B^G(\mathbf{1})/\mathbf{1}_G$ is called the *Steinberg representation*.

Remark. Even if $G = (\mathbb{Z}_p, +)$, we can construct infinitely many irreducible, infinite dimensional, locally analytic representations. If $z \in K^{\times}$ as in example (ii) and z is transcendental over \mathbb{Q}_p , and assuming that K is the smallest complete field containing z, then Diarra showed that K is an irreducible \mathbb{Q}_p -representation of G via

$$\rho(a)v = \sum_{n=0}^{\infty} (z-1)^n \binom{a}{n} v.$$

Hence locally analytic representations are too wild to study in general. We need a nicer subclass of representations within it.

Lecture 2

The analytic nature of both the groups and representations makes it hard to work with them directly. In order to study these representations more algebraically, we define an algebra D(G, K) such that

$$\left\{\begin{array}{l} \text{sufficiently nice} \\ \text{loc. an. representations} \end{array}\right\} \leftrightarrow \left\{\begin{array}{l} \text{sufficiently nice} \\ D(G, K)\text{-modules} \end{array}\right\}.$$

Here, 'sufficiently nice' will have to be some topological properties. Later, we will see how to replace some of these topological properties with more algebraic ones.

We fix fields $\mathbb{Q}_p \subseteq L \subseteq K$ with L/\mathbb{Q}_p finite, and assume further that K is a *spherically complete* with respect to a NAAV extending the one on L (don't worry about what that means, it's a technical condition to ensure duals are non-zero and it is satisfied e.g. if $[K : \mathbb{Q}_p] < \infty$). As last time, we will be studying K-representations of locally L-analytic groups.

Quick overview of topological notions. (sketchy)

- All our *K*-vector spaces are *locally convex*, i.e. their topology is given by a family of seminorms.
- Given V locally convex, its continuous dual is

 $V' := \{ f : V \to K \text{ linear } | f \text{ is continuous} \}.$

This dual is itself locally convex via the strong topology (analogue of topology of uniform convergence).

- We say V is a *Banach space* if its topology is given by a single norm and if it is complete.
- More generally, V is called *Fréchet* if it is metrizable and complete.
- We say V is reflexive if $V \cong (V')'$.

The distribution algebra. Recall that given a locally *L*-analytic manifold *M*, we have $C^{an}(M, K) = \{f : M \to K | f \text{ is locally analytic}\}.$

Definition 6. With M as above, the *space of distributions* on M is the dual $D(M, K) := C^{an}(M, K)'$.

Facts. (i) If M is compact then D(M, K) is Fréchet (i.e. is nice).

- (ii) If $M = \coprod_{i \in I} M_i$, where the M_i are pairwise disjoint compact open subsets, then $D(M, K) = \bigoplus_{i \in I} D(M_i, K)$ topologically. This is useful when M = Gis a Lie group and the M_i are left cosets of some compact open subgroup G_0 (e.g. $G = \operatorname{GL}_n(\mathbb{Q}_p)$ and $G_0 = \operatorname{GL}_n(\mathbb{Z}_p)$).
- (iii) When M = G is a Lie group, D(G, K) is in fact a K-algebra.

From now on, M = G is a Lie group.

Dirac distributions. Given $g \in G$, we have an element $\delta_g \in D(G, K)$ given by $\delta_g(f) := f(g)$ for $f \in C^{\operatorname{an}}(G, K)$. This gives an injection $G \to D(G, K)$, $g \mapsto \delta_g$.

The convolution product. We now sketch the construction of the product on D(G, K). The key fact is that there is an isomorphism

$$D(G \times G, K) \cong D(G, K) \widehat{\otimes}_K D(G, K)$$

where this denotes some completion of the usual algebraic tensor product. Also, the group multiplication $m: G \times G \to G$ induces a map $C^{an}(G, K) \to C^{an}(G \times G, K)$, $f \mapsto f \circ m$. Dually this gives a map $D(G \times G, K) \to D(G, K)$.

Given $u, v \in D(G, K)$, we define their *convolution* u * v to be the image of $u \otimes v$ under the composite

$$D(G,K)\widehat{\otimes}_K D(G,K) \xrightarrow{\cong} D(G \times G,K) \to D(G,K).$$

When G is finite, D(G,K) is just the group algebra KG and this is the usual multiplication.

Theorem 7 (Féaux de Lacroix). Convolution defines a separately continuous product on D(G, K) with unit δ_1 . When G is compact, this makes D(G, K) into a Fréchet algebra i.e. $*: D(G, K) \times D(G, K) \rightarrow D(G, K)$ is continuous. The main moral of the story to come is that we gain more control by working with D(G, K)-modules rather than locally analytic G-representations directly.

Explicit description of the distribution algebra. What does the distribution algebra look like concretely?

So far the only elements we have come across are the Dirac delta distributions δ_g for $g \in G$,

$$\delta_a(f) = f(g), \ f \in C^{\mathrm{an}}(G, K).$$

Lemma 8. The map $g \mapsto \delta_g$ is a continuous map of monoids $G \to D(G, K)$, i.e. $\delta_{gh} = \delta_g \cdot \delta_h$ for any $g, h \in G$.

In particular, there is a natural algebra morphism $K[G] \to D(G, K)$. If G is a compact group, we can even go further: there is the notion of a completed group algebra (or Iwasawa algebra) K[[G]], and by continuity, we obtain a continuous algebra morphism $\theta : K[[G]] \to D(G, K)$.

Theorem 9. If $L = \mathbb{Q}_p$, then θ is a faithfully flat injection.

In other words, we can study the ('more classical') K[[G]]-modules by passing to D(G, K)-modules, applying $D(G, K) \otimes_{K[[G]]}$ – without losing any information.

So D(G, K) contains the group algebra. But it also contains distributions induced from the Lie algebra:

Let \mathfrak{g} be the Lie algebra of G, e.g. $G = SL_2(\mathbb{Z}_p)$, $\mathfrak{g} = \mathfrak{sl}_2(\mathbb{Z}_p)$. If $x \in \mathfrak{g}$, we can form the distribution dist(x) by

$$\operatorname{dist}(x)(f) = \frac{\mathrm{d}}{\mathrm{d}t}(f(\exp(tx)))|_{t=0}.$$

This gives a linear map dist : $\mathfrak{g} \to D(G, K)$, sending [x, y] to the commutator $\operatorname{dist}(x)\operatorname{dist}(y) - \operatorname{dist}(y)\operatorname{dist}(x)$ - so we obtain an algebra morphism $U(\mathfrak{g})_K \to D(G, K)$.

Lemma 10. The map is injective. The closure of $U(\mathfrak{g})_K$ in D(G, K) is a Frechet algebra which we denote by $\widehat{U(\mathfrak{g})}_K$.

At first, the object $U(\mathfrak{g})_K$ might seem strange, but its elements are actually very concrete. If x_1, \ldots, x_d is an ordered K-basis of $\mathfrak{g} \otimes K$, then by the PBW theorem, $U(\mathfrak{g})_K$ admits a K-basis of the form

$$x^{\alpha} = x_1^{\alpha_1} x_2^{\alpha_2} \dots x_d^{\alpha_d},$$

where $\alpha = (\alpha_1, \ldots, \alpha_d) \in \mathbb{N}_0^d$. Now an arbitrary element of $U(\widehat{\mathfrak{g}})_K$ can be written uniquely as

$$\sum_{\alpha\in\mathbb{N}_0^d}\lambda_\alpha x^\alpha,\ \lambda_\alpha\in K,\ \pi^{-|\alpha|n}\lambda_\alpha\to 0 \text{ as } |\alpha|\to\infty \ \forall n.$$

Proposition 11. The Dirac distributions δ_q generate a dense subspace of D(G, K).

Proof. Idea: $D(G, K)' \cong C^{an}(G, K)$, and an element f of C(G, K) is zero if and only if $f(g) = \delta_g(f)$ is zero for all g.

So D(G, K) is like a topological group algebra that is sufficiently thickened to also incorporate the infinitesimal information, present in form of the Lie algebra.

What is the relation between locally analytic G-representations and D(G, K)-modules? Just as with the usual group algebra, a locally analytic G-representation carries a D(G, K)-module structure (this is actually a bit subtle to show). It turns out however that it is more useful to dualize this operation to get a better handle on the topology. **Theorem 12.** There is an anti-quivalence of categories

{loc an G-reps of cpct type} \rightarrow {sep cts D(G, K)-mods in nuclear Frechet spaces} $V \mapsto V'$.

Remark. We won't explain all the topological notions in detail: compact type is a property that ensures that V is reflexive, i.e. $(V')' \cong V$, and nuclear Frechet spaces can be thought of as the Frechet spaces which are dual to those of compact type.

Lecture 3

Last time we saw how we can think of locally analytic G-representations (of compact type) as certain topological modules over the distribution algebra D(G, K). The problem persists however that these are topological modules, and doing algebra with topological objects is hard!

Toy model: Noetherian Banach algebras and finitely generated modules. Let A be a Noetherian Banach K-algebra, i.e. it is a Noetherian K-algebra which is complete with respect to some (submultiplicative) norm. The category of normed A-modules (or of Banach modules if we insist on completeness) is problematic for the very same reason. But here there is an excellent remedy.

Theorem 13. Any (abstract) finitely generated A-module can be endowed with a canonical Banach norm such that any A-module map between finitely generated modules is automatically continuous. These norms are compatible with the formation of submodules, quotients, and direct sums.

More abstractly: there is a fully faithful functor from (abstract!) finitely generated *A*-modules to the category of Banach *A*-modules, exhibiting the former as an (abelian!) subcategory of the latter.

Proof. If M is a finitely generated A-module, there exists some surjection $A^r \to M$. We can check that this endows M with a Banach norm which has the property that $M \to N$ is continuous if and only if the composition $A^r \to M \to N$ is. But if N is another finitely generated A-module endowed with such a norm, then any map $A^r \to N$ is a sum of action maps and hence continuous. This shows that any A-module map $M \to N$ is automatically continuous. In particular (taking M = N), Banach norms arising from a different generating set give rise to an equivalent norm. Now check that any submodule of a finitely generated A-module is a closed subspace with respect to this norm by reducing to the case of A^r .

Remark. This works e.g. for the Tate algebra

$$K\langle x
angle = \{\sum_{\mathbb{N}_0} a_i x^i : |a_i| \to 0\}$$

of analytic functions on the unit disk, ensuring that p-adic analytic geometry (and its theory of coherent modules) is well-behaved.

It turns out that D(G, K) is hardly ever Noetherian Banach. But it is the next best thing.

Definition 14. Let A be a Frechet K-algebra. We say that A is a **Frechet-Stein** algebra if A can be written as $A = \varprojlim A_n$, where each A_n is a Noetherian Banach K-algebra such that $A_{n+1} \to A_n$ has dense image and turns A_n into a flat A_{n+1} -module on both sides.

An A-module M is called **coadmissible** if $M = \lim_{n \to \infty} M_n$, where M_n is a finitely generated A_n -module, and the natural morphism $A_n \otimes_{A_{n+1}} M_{n+1} \to M_n$ is an isomorphism.

Example: Let

$$\mathbf{A}_n = K \langle \pi^n x \rangle = \left\{ \sum a_i x^i : \ \pi^{-in} a_i \to 0 \right\}$$

be the ring of analytic function on a disk of radius $|\pi|^{-n}$. Then $A = \varprojlim A_n$ is the ring of analytic functions on $X = \varinjlim \operatorname{Sp} A_n$, the 'affine line'. Then A is a Frechet-Stein algebra, and coadmissible A-modules are precisely the global sections of coherent \mathcal{O}_X -modules.

Just as in this example, the M_n can in general be recovered from M, which allows to go back and forth between M and its 'Noetherian levels'.

Lemma 15. If M is a coadmissible A-module, then the natural morphism $A_n \otimes_A M \to M_n$ is an isomorphism.

Theorem 16. Any coadmissible A-module can be endowed with a canonical Frechet topology, such that any A-module morphism between coadmissible A-modules is automatically continuous.

Proof. Equip each M_n with its canonical Banach norm and take the limit. Any morphism $M \to N$ then gives rise to A_n -module morphisms $M_n \to N_n$ by the lemma, and these are continuous by our toy model. Thus $M \to N$ is continuous by definition of the inverse limit topology.

Theorem 17. Let G be compact and let \mathfrak{g} be its Lie algebra. Then D(G, K) and $\widehat{U(\mathfrak{g})}_K$ are Frechet-Stein algebras.

Proof. (Sketch.) For $\widehat{U}(\hat{\mathfrak{g}})_K$, this is quite similar to the example of analytic functions discussed before.

What are the A_n s for the distribution algebra? Any compact locally \mathbb{Q}_p -analytic group contains an open subgroup G_0 which is uniformly pro-p. This induces a certain filtration G_0^i on G_0 . It is these different filtered pieces that give rise to various Banach completions of the distribution algebra $D(G_0, K)$. One then shows that $D(G_0, K)$ is Frechet-Stein. As G_0 is open in a compact G, it has finite index, so it is straightforward to lift this to obtain that D(G, K) is Frechet-Stein.

Definition 18. Let V be a locally analytic G-rep of cpct type. We say that V is admissible if V' is a coadmissible D(H, K)-module for some (equivalently, for any) compact subgroup $H \leq G$.

Proposition 19. Let A be a Frechet-Stein algebra and let M be a coadmissible Amodule. Let $N \leq M$ be a submodule. The following are equivalent:

- (i) N is coadmissible.
- (ii) N is closed with respect to the canonical topology on M.
- (iii) M/N is coadmissible.

Corollary 20. The category of coadmissible *A*-modules is an abelian category and contains all finitely presented *A*-modules.

We have thus reached our goal. We have the following commutative diagram (for G compact), where the horizontal arrows are (anti-)equivalences of categories.

The category of coadmissible D(G, K)-modules is an abelian category of abstract D(G, K)-modules.

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Things we have not covered.

- (i) p-adic local Langlands relates (conjecturally) n-dimensional Galois representations to unitary Banach representations of $\operatorname{GL}_n(\mathbb{Q}_p)$. Often it is helpful to restrict a unitary representation to its locally analytic vectors (analogously to the smooth vectors for complex representations). The pLLC is so far only known for n = 2, where both sides can be classified quite explicitly in terms of (ϕ, Γ) -modules.
- (ii) Geometric tools: One can establish an equivalence of categories between coadmissible D(G, K)-moduels and a certain class of *p*-adic \mathcal{D} -modules in rigid analytic geometry, analogously to Beilinson-Bernstein theory over the complex numbers.
- (iii) Induction, principal series for $GL_2(K)$...
- (iv) Analogues of Hecke algebras
- (v) Reduction mod p