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# Relational Complexity of a Finite Primitive Structure

Gregory Cherlin



Edinburgh, 19.9.2018

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*Structure*

*Permutation Group*

---

*A*

*G*

# There and back again

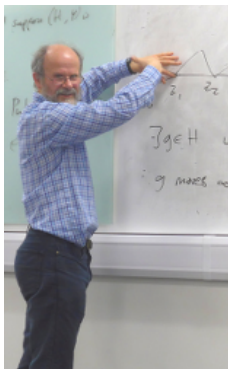
*Structure*

*Permutation Group*

A

*Aut*  
→

G



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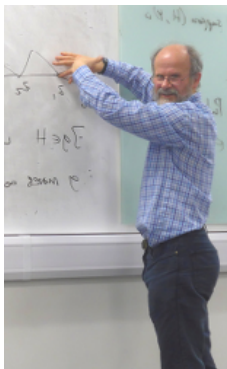
Structure

Permutation Group

A

$A^k/G$   
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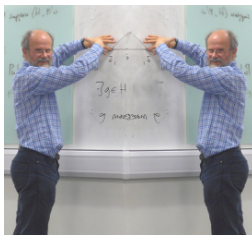
*Structure*

*Permutation Group*

A

$\leftrightarrow$

G



## Remark

A is **homogeneous** in the canonical language. (Orbits are isomorphism types.)

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# Example

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$$\frac{A}{C_n} \quad \frac{G}{D_{2n}}$$

$L_2$ : path metric  $d(x, y) = i$



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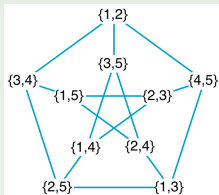
$$\frac{A}{C_n} \quad \frac{G}{D_{2n}}$$

$L_2$ : path metric  $d(x, y) = i$

- $k$ -closed:  $G = \text{Aut}(A \upharpoonright L_k)$
- $L_k$ -homogeneous:  $L_k$ -isomorphism types determine  $G$ -orbits

# $k$ -closure and homogeneity

## Example (Petersen Graph)



$\text{Aut}(P) = \text{Sym}(5)$  (2-closed).  
 $L_3$ -homogeneous.

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# $k$ -closure and homogeneity

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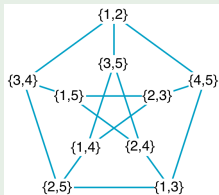
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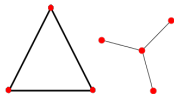
## Example (Petersen Graph)



$\text{Aut}(P) = \text{Sym}(5)$  (2-closed).  
 $L_3$ -homogeneous.

Independent triples:

$\{1, 2\}, \{1, 3\}, \{2, 3\}$  (triangle);  $\{1, 2\}, \{1, 3\}, \{1, 4\}$  (star).



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$$\rho(G) = \min(r : A \upharpoonright L_r \text{ is } G\text{-homogeneous})$$

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$$\rho(G) = \min(r : A \upharpoonright L_r \text{ is } G\text{-homogeneous})$$

rc-spectrum

$$\{r \mid \exists (a_1, \dots, a_r), (a'_1, \dots, a'_r)$$

Not  $G$ -conjugate

all proper restrictions  $G$ -conjugate}

$$\rho(G) = \sup(\text{rc-spectrum})$$

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# Model Theoretic Background

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Lachlan Homogeneous for a finite relational language

$\rho$  bounded

$A^p/G$  bounded.

(Stability theory)

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Lachlan Homogeneous for a finite relational language

$\rho$  bounded

$A^\rho/G$  bounded.

(Stability theory)

Generalization:  $A^4/G$  bounded.

Kantor-Liebeck-Macpherson Classified in the primitive case.

Classical or semi-classical geometries.

C-H Structure theory based on the primitive classification  
(neostability theory)



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# Questions for the primitive case

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- What can we say about  $A$  if  $\rho$  is bounded?
- What can we say about  $\rho$  (and possibly the spectrum) when  $A$  is “natural?”
- What is the meaning of gaps in the spectrum?

# A few more examples

- ①  $SL_n < G \leq GL_n$ :  $n + 1$  (linear algebra)
  - $SL_n$ :  $n$
  - $ASL_n < G \leq AGL_n$ :  $n + 2$  unless  $n = 1$ ,  $G = D_{2 \cdot q}$
- ②  $O^\pm(n, q)$ ,  $q \neq 2$ :  $\begin{cases} n & \text{isotropic} \\ 2 & \text{anisotropic} \end{cases}$   
(linear algebra or inner products)
- ③  $P^1$ : 4 (cross ratio)
- ④  $(\mathcal{P}([n]), \text{Sym}(n))$ :  $\lfloor \log_2 n \rfloor + 1$   
“ $|\alpha(\bar{S})| = i$ ”  $\alpha$  a Boolean atom

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# Small $\rho$ : $\rho = 2$

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## Conjecture (Binary Conjecture)

*The (finite) primitive binary structures are*

- $\vec{C}_p$  (regular action)
- $\text{Sym}(n)$  (theory of equality)
- $AO(n, q)$  anisotropic

# Small $\rho$ : $\rho = 2$

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Cherlin, Wiscons: reduced to almost simple case  
(Very dependent on the value  $\rho = 2$ )

# Almost Simple Case

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Gill, Spiga, Dalla Volta, Hunt, Liebeck

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Gill, Spiga, Dalla Volta, Hunt, Liebeck

Theorem (Gill, Spiga)

*The Binary Conjecture holds for alternating socle.*



# Almost Simple Case

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Gill, Spiga, Dalla Volta, Hunt, Liebeck

## Theorem (Gill, Spiga)

*The Binary Conjecture holds for alternating socle.*

The easy cases:

- $\text{Sym}(n)$  on  $k$ -sets:  $\lfloor \log_2 k \rfloor + 2$   
(bounded family, but not usually 2)
- $\text{Sym}(n = n_1 n_2)$  on partitions of shape  $n_1 \times n_2$ : At least

$$\max(n_1, \lfloor \log_2 2(n_2 - 1) \rfloor)$$

# Alternating Socle: Primitive Point Stabilizer

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The hard case

Primitive point stabilizer  $M = G_*$

Key device: Elements of  $M$  have few fixed points on  $[n]$

# If $M$ has an element of order 4 with a fixed point

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	$M \setminus G$	$[n]$	
$\alpha$	?	$(0)(1243) \cdots$	$\in M$
$\beta$	?	$(01234)$	$\notin M$

$\alpha = (0)(1243) \cdots \in M$ .  $\beta = (01234)$  not in  $M$

$H = \langle \alpha, \beta \rangle \simeq \mathbb{F}_5 \rtimes \mathbb{F}_5^\times$ , acting naturally on  $\{0, 1, 2, 3, 4\}$ .

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	$M \setminus G$	$[n]$	
$\alpha$	$(\tilde{0})(\tilde{1}, \tilde{2}, \tilde{4}, \tilde{3}) \dots$	$(0)(1243) \dots$	$\in M$
$\beta$	$(\tilde{0}, \tilde{1}, \tilde{2}, \tilde{3}, \tilde{4}) \dots$	$(01234)$	$\notin M$

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Let  $\tilde{0}$  be  $M$  in  $M \setminus G$  and let  $\tilde{O} = \tilde{0} \cdot H = (\tilde{0}, \tilde{1}, \dots, \tilde{4})$ .

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Then  $H_{\tilde{0}} = H_0 = \langle \alpha \rangle$  and  $H$  acts **doubly transitively** on  $\tilde{O}$ .

If  $M$  has an element of order 4 with a fixed point

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**Binarity:**  $G$  induces  $\text{Sym}(\tilde{O})$  on  $\tilde{O}$ .

If  $M$  has an element of order 4 with a fixed point

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Then  $H_{\tilde{0}} = H_0 = \langle \alpha \rangle$  and  $H$  acts **doubly transitively** on  $\tilde{O}$ .

**Binarity:**  $G$  induces  $\text{Sym}(\tilde{O})$  on  $\tilde{O}$ .

In particular  $\beta$  has a conjugate  $\beta'$  such that  $\beta\beta'$  is nontrivial and fixes  $\tilde{0}$ .

**Return to  $[n]$ :** Many fixed points, in  $M$ : contradiction!  
(or  $n$  is not very large).

If  $M$  has an element of order 4 with no fixed point

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Then many orbits of length 4 ( $\alpha^2$  has few fixed points).  
Take 5 such orbits and make the **regular representation** of  
 $H = \mathbb{F}_5 \rtimes \mathbb{F}_5^\times$ , with  $\beta$  having exactly 4 orbits of length 5.



# If $M$ has an element of order 4 with no fixed point

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We still have  $\tilde{0}$  fixed by  $\langle \alpha \rangle$ .

	$M \setminus G$	$[n]$	
$\alpha$	$(\tilde{0})(\tilde{1}, \tilde{2}, \tilde{4}, \tilde{3})$	$(e, a, a^2, a^3)(b, ba, ba^2, ba^3) \dots$	$\in M$
$\beta$	$(\tilde{0}, \tilde{1}, \tilde{2}, \tilde{3}, \tilde{4})$	$(1, b, b^2, b^3, b^4)(\dots)(\dots)(\dots)$	$\notin M$

# If $M$ has an element of order 4 with no fixed point

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$\beta$	$(\tilde{0}, \tilde{1}, \tilde{2}, \tilde{3}, \tilde{4})$	$(1, b, b^2, b^3, b^4)(\dots)(\dots)(\dots)$	$\notin M$

Finish as before, working mostly in  $M \setminus G$ .

# $M$ has no element of order 4?

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Meanders . . .

Wander through the various possibilities for  $M$ , coming back to  $M$  almost simple by the same method.

Then use the classification of finite simple groups (or rather an early result in that direction).

# $M$ has no element of order 4?

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Meanders . . .

Wander through the various possibilities for  $M$ , coming back to  $M$  almost simple by the same method.

Then use the classification of finite simple groups (or rather an early result in that direction).

**Exceptions occur:**

E.g.,  $\text{Sym}(p)$  on  $\text{AGL}(1, p)$   
(and its restriction to  $\text{Alt}(p)$ ).

# $\text{Sym}(p)$ with stabilizer $\text{AGL}(1, p)$

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This is the action on Sylow  $p$ -subgroups by conjugacy.

# $\text{Sym}(p)$ with stabilizer $\text{AGL}(1, p)$

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This is the action on Sylow  $p$ -subgroups by conjugacy.

$\text{AGL}(1, p) = C_p \rtimes C_{p-1}$ ; for  $p \geq 5$ , a given  $C_{p-1}$  normalizes more than 1  $p$ -Sylow.

So  $\text{AGL}(1, p)$  acts on some orbits as on the affine line, with relational complexity 3.

# $\text{Sym}(p)$ with stabilizer $\text{AGL}(1, p)$

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So  $\text{AGL}(1, p)$  acts on some orbits as on the affine line, with relational complexity 3.

(Similarly for  $\text{AGL}(1, p) \cap \text{Alt}(p)$  once  $p > 5$ .)

# Sporadic socle

Gill, Dalla Volta, Spiga, to appear.

## Theorem

*There are no primitive binary actions of almost simple groups with sporadic socle.*

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*There are no primitive binary actions of almost simple groups with sporadic socle.*

Most actions are explicitly known. Computation will reach a certain distance (and rather far if supported by a rich range of theoretical tests).

Again, the “small stabilizer” case arises, and the fact that one just needs to understand *one*  $M$ -orbit can be very helpful. Notably,  $M = \text{Alt}_4 \times \text{Sym}_5$  in  $\text{Co}_3$ ,  $(5 : 4) \times \text{Alt}_5$  in  $\text{Ru}$ , where one finds  $M \cap M^g = 2\text{-Sylow}$  for some  $g$ .

# Sporadic socle

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Again, the “small stabilizer” case arises, and the fact that one just needs to understand *one*  $M$ -orbit can be very helpful.

Notably,  $M = \text{Alt}_4 \times \text{Sym}_5$  in  $\text{Co}_3$ ,  $(5 : 4) \times \text{Alt}_5$  in  $\text{Ru}$ , where one finds  $M \cap M^g = 2$ -Sylow for some  $g$ .

**Observation** There are relatively few **transitive** binary actions as well, apparently and this can be remarkably useful in exploiting knowledge about the point stabilizer.

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$k$ -sets under  $\text{Sym}(n)$ :  $\lfloor \log_2 k \rfloor + 2$   
(Remains bounded as  $n \rightarrow \infty$ .)

# $k$ -sets

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$k$ -sets under  $\text{Sym}(n)$ :  $\lfloor \log_2 k \rfloor + 2$

(Remains bounded as  $n \rightarrow \infty$ .)

$k$ -sets under  $\text{Alt}(n)$ :

$$\begin{cases} n-1 & \text{if } k=1 \\ n-2 & \text{if } k=2 \text{ or } n=2(k+1) \\ n-3 & \text{otherwise} \end{cases}$$

# $k$ -sets

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Why?

# Relational spectrum

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Spectrum:  $\text{Sym}(20)$  on 4-tuples: (2–4)

Spectrum:  $\text{Alt}(20)$  on 4-tuples: (2–4,8–17). *Both pieces derived from the action of  $\text{Sym}(20)$*

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Above  $\rho^+ = \rho(k\text{-sets}, \text{Sym}(n))$  the relational spectrum for  $\text{Alt}(n)$  on  $k$ -sets comes from sequences of  $k$ -sets which *just separate points* in  $[n]$ .

Namely  $(X_1, \dots, X_r)$  and its image under an odd permutation.



# Relational spectrum

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Namely  $(X_1, \dots, X_r)$  and its image under an odd permutation.

## Question

What is the longest sequence of  $k$ -sets which just separates points in  $[n]$ ?

# Just separating sequences

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## Proposition

*Suppose there is a sequence of  $k$ -sets of length  $r$  which just separates points in  $[n]$ . Then there is a numerical partition of  $n$  into a sum of  $n - r$  terms  $n = \sum n_i$  with the following splitting property: if  $n_i \geq 2$  and  $n_i$  is replaced by  $(1, n_i - 1)$  then some subsum involving exactly one of these two terms sums to  $k$ .*

# Just separating sequences

## Proposition

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Application: Look for the **shortest** sum with the splitting property:

$k = 1$	$n = n$	Length 1
$k = 2$	$n = (n - 1) + 1$	Length 2
$n = 2(k + 1)$	$n = (k + 1) + (k + 1)$	Length 2
Else	$n = (k - 1) + (k - 1) + (\dots)$	Length 3

Then reverse the analysis.

# Just separating sequences

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The analysis: If we omit  $X_i$ , there is a pair  $(a_i, b_i)$  no longer separated.

This makes an acyclic graph with  $r$  edges, so  $n - r$  components. The sizes of the components are the  $n_i$ .

# Just separating sequences

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This makes an acyclic graph with  $r$  edges, so  $n - r$  components.

The sizes of the components are the  $n_i$ .

To reverse, use stars and make the  $k$ -sets correspondingly (and check).

# Cohorts?

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This is a mechanism whereby **low** complexity for one group in a **cohort** may result in **high** complexity for smaller groups. But low complexity is not that common.

We will look at a more delicate case.

# $\text{Sym}(2n)$ and $\text{Alt}(2n)$ on partitions: shape $n \times 2$

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(2017-18, with Wiscons)

$$\rho^+(n \times 2) : n$$

# Sym(2n) and Alt(2n) on partitions: shape $n \times 2$

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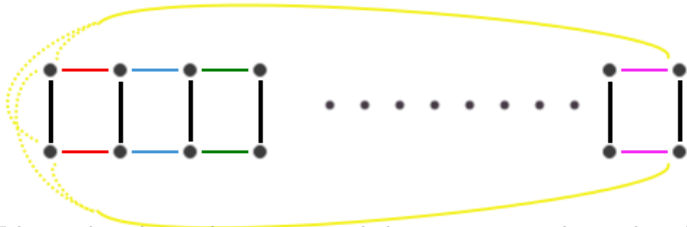
Very small  $\rho$

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(2017-18, with Wiscons)

$\rho^+(n \times 2) : n$

Möbius Band



Edge-colored graph: connected, but any two edge colors have small components.



# Sym(2n) and Alt(2n) on partitions: shape $n \times 2$

(2017-18, with Wiscons)

$$\rho^+(n \times 2) : n$$

$$\rho^-(n \times 2) : \begin{cases} n + 1 & n = 3 \\ n & n = 2, 4; \text{ or odd; or a multiple of } 6 \\ n - 1 & n > 6 \text{ even, not a multiple of } 6 \end{cases}$$

(or so it seems)

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(or so it seems)

Some of this follows by direct inheritance from Sym( $n$ ):

- Inheritance for  $n$  odd:  $\rho^- \geq \rho^+$  because when  $n = n_1 + n_2$ , one of the parts is odd (Möbius band)
- Sequences of partitions just separating points:  $n - 1$  if  $n > 2$ .

# Independent partitions of shape $n \times k$

Maximum sequences of partitions of shape  $n \times k$  which just separate points.

$$\begin{cases} n(k-1) & \text{if } m = n = 2 \\ n(k-1) - 1 & \text{if } \min(n, k) = 2 \text{ and } \max(n, k) > 2 \\ n(k-1) - 2 & \text{if } n, k > 2 \end{cases}$$

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$nk = \sum n_i$ . The splitting condition:

*If  $n_i \geq 2$  then the sum with  $n_i$  split to  $1 + (n_i - 1)$  can be rearranged into  $n$  sums equal to  $k$  (with  $1, (n_i - 1)$  separated).*

## Examples (Optimal)

$k^{n-2}(k-1)^2 1^2$	$k^{n-1} 1^k$	$(k-1)^n 21^{n-2}$	$(k+1)1^{(n-1)k-1}$
$n+2$	$n+k-1$	$2n-1$	$(n-1)k$

# General shapes

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## Conjecture

*The relational complexity of  $\text{Alt}(nk)$  on shape  $n \times k$  is well approximated by  $n(k - 1) - 2$  (and should always be at least that).*

*The relational complexity of  $\text{Sym}(nk)$  on shape  $n \times k$  is typically much less (but not for  $k = 2$ ).*

# Shape $2 \times k$

For  $\text{Alt}(2k)$  we expect  $2k - 3$ .

Examples:  $2 \times k$

$k$	$2k - 3$	$\rho^-$
3	3	4
4	5	5
5	7	7
6	9	$\geq 9$

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# Shape $2 \times k$

Examples:  $2 \times k$

$k$	$2k - 3$	$\rho^-$	$\rho^+$ (L.B.)
3	3	4	3 (2)
4	5	5	5 (4)
5	7	7	4 (4)
6	9	$\geq 9$	6 (4)
7			$\geq 5$ (4)

For  $\text{Sym}(2k)$  there is a lower bound applying to the point stabilizer, namely

$$2 \lfloor \log_2 k \rfloor$$

This may possibly be the true value for the point stabilizer when  $k$  is odd.

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## Problem

*Show that the relational complexity of  $\text{Sym}(nk)$  acting on cosets of  $\text{Sym}(k) \wr \text{Sym}(n)$  has relational complexity going to infinity with  $n$ .*



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## Problem

*Let  $\rho_0(G) = \min(\rho(X, G) \mid \text{primitive})$ .  
Is this uniformly bounded for  $G$  simple?  
If so, what is the minimum bound holding for almost all such  $G$ ?*

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## Problem

*Show that*

$$\lim_{n \rightarrow \infty} \rho^+(n \times k)/n = c_k$$

*for some explicit constant  $c_k$  ( $\ll k$ ?).*

# Problems II

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## Problem

*Show that*

$$\lim_{n \rightarrow \infty} \rho^+(n \times k)/n = c_k$$

*for some explicit constant  $c_k$  ( $\ll k$ ?).*

## Problem

*Determine the relational complexity of  $\begin{bmatrix} n \\ k \end{bmatrix}^d$*

*( $k = 1$ : Saracino.)*