

An asymptotic description of internal waves

Joint work with J. Bona and J.-C. Saut,
Asymptotic models for internal waves, J. Math. Pures Appl. **89** (2008), 538-566

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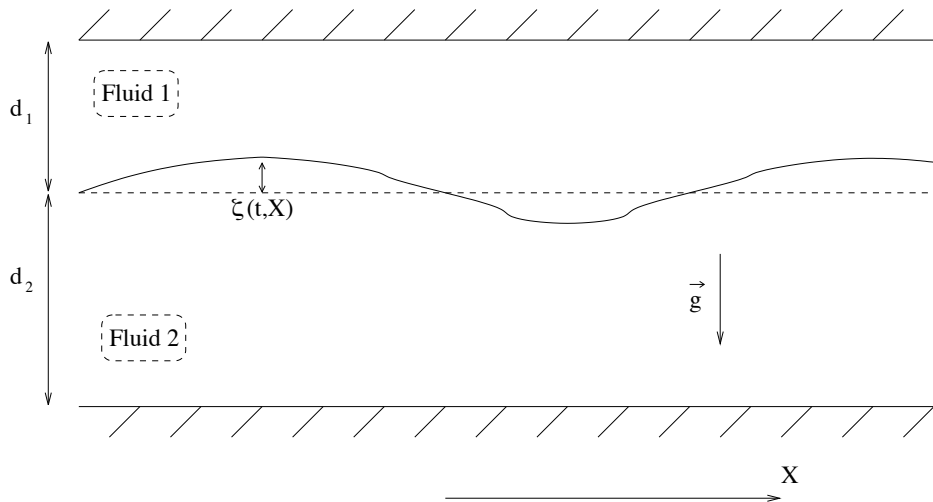
Loch Ness monster

... *“a possibility worth looking into depends on the acoustic-reflective properties of internal waves or regions of shear instability, in particular the steep-fronted internal surges, associated in Loch Ness with short internal waves”*

(MORTIMER, C. H. *The Loch Ness monster-limnology or paralimnology?*)



Notations



The internal waves equations

Fluid 1



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- $v_1 = \nabla_{X,z} \Phi_1$

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Fluid 2

- $v_2 = \nabla_{X,z} \Phi_2$
- $\Delta_{X,z} \Phi_2 = 0$
- $\partial_z \Phi_2|_{bottom} = 0$
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Interface

- $\partial_t \zeta - \sqrt{1 + |\nabla \zeta|^2} \partial_n \Phi_j, \quad j = 1, 2$
- P is continuous

Interface equations

Interface ($\Psi_1 = \Phi_1|_{interface}$, $\Psi_2 = \Phi_2|_{interface}$)

- $\partial_t \zeta - \sqrt{1 + |\nabla \zeta|^2} \partial_n \Phi_1|_{interface} = 0$
- $\partial_n \Phi_1|_{interface} = \partial_n \Phi_2|_{interface}$
- $\rho_1 \left(\partial_t \psi_1 + g\zeta + \frac{1}{2} |\nabla \psi_1|^2 - \frac{(\sqrt{1 + |\nabla \zeta|^2} (\partial_n \Phi_1) + \nabla \zeta \cdot \nabla \psi_1)^2}{2(1 + |\nabla \zeta|^2)} \right) = -P.$
- $\rho_2 \left(\partial_t \psi_2 + g\zeta + \frac{1}{2} |\nabla \psi_2|^2 - \frac{(\sqrt{1 + |\nabla \zeta|^2} (\partial_n \Phi_2) + \nabla \zeta \cdot \nabla \psi_2)^2}{2(1 + |\nabla \zeta|^2)} \right) = -P.$

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Fluid 1

$$\begin{cases} \Delta_{X,z} \Phi_1 = 0, \\ \partial_z \Phi_1|_{top} = 0, \\ \Phi_1|_{interface} = \Psi_1 \end{cases}$$

Fluid 2

$$\begin{cases} \Delta_{X,z} \Phi_2 = 0, \\ \partial_z \Phi_2|_{bottom} = 0, \\ \Phi_2|_{interface} = \Psi_2 \end{cases}$$

The Dirichlet-Neumann operator


Definition (Dirichlet-Neumann operator)

$$G[\zeta] : \Psi_1 \mapsto G[\zeta]\Psi_1 = \sqrt{1 + |\nabla\zeta|^2} \partial_n \Phi_1|_{interface}.$$

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The reduced internal waves equations

The reduced equations ($\gamma = \rho_1/\rho_2$)

$$\left\{ \begin{array}{l} \partial_t \zeta - G[\zeta] \psi_1 = 0, \\ \partial_t (\mathbf{H}[\zeta] \psi_1 - \gamma \nabla \psi_1) + g(1 - \gamma) \nabla \zeta \\ \quad + \frac{1}{2} \nabla (|\mathbf{H}[\zeta] \psi_1|^2 - \gamma |\nabla \psi_1|^2) + \nabla \mathcal{N}(\zeta, \psi_1) = 0. \end{array} \right.$$

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$$\begin{aligned} \rho_1 \left(\partial_t \psi_1 + g \zeta + \frac{1}{2} |\nabla \psi_1|^2 - \frac{(\sqrt{1 + |\nabla \zeta|^2} (\partial_n \Phi_1) + \nabla \zeta \cdot \nabla \psi_1)^2}{2(1 + |\nabla \zeta|^2)} \right) &= -P, \\ \rho_2 \left(\partial_t \psi_2 + g \zeta + \frac{1}{2} |\nabla \psi_2|^2 - \frac{(\sqrt{1 + |\nabla \zeta|^2} (\partial_n \Phi_2) + \nabla \zeta \cdot \nabla \psi_2)^2}{2(1 + |\nabla \zeta|^2)} \right) &= -P. \end{aligned}$$

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- $\tilde{\zeta} = \frac{\zeta}{a}$, $\tilde{X} = \frac{X}{\lambda}$, etc.

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- Amplitude/nonlinearity parameter $\varepsilon = \frac{a}{d}$

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- Amplitude/nonlinearity parameter $\varepsilon = \frac{a}{d}$
- Shallowness/dispersive parameter $\mu = \frac{d^2}{\lambda^2}$.

Asymptotic regimes for one fluid

Definition

- A shallow water regime corresponds to $\mu \ll 1$
- A small amplitude regime corresponds to $\varepsilon \ll 1$.

Example

- The Nonlinear Shallow Water equations (Saint-Venant) is shallow water and large amplitude
- Boussinesq, KdV, KP are shallow water and small amplitude
- Full dispersion models are deep water and small amplitude

Ref: Alvarez-Samaniego and D. L., Large time existence for 3d water-waves and asymptotics. *Invent. math.*, **171**:485–541, 2008.

Asymptotics for two fluids

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• Depth: d_1 and d_2

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- Amplitude $\varepsilon_1 = \frac{a}{d_1}$
- Amplitude $\varepsilon_2 = \frac{a}{d_2}$
- Shallowness $\mu_1 = \frac{d_1^2}{\lambda^2}$
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Example

A model is shallow water / shallow water if $\mu_1 \ll 1$ and $\mu_2 \ll 1$

Nondimensionalized internal wave equations

Dimensionless equations ($\delta = \frac{d_1}{d_2}$)

$$\begin{cases} \partial_t \zeta - \frac{1}{2} G^\mu[\varepsilon \zeta] \psi_1 & = 0, \\ \partial_t (\mathbf{H}^{\mu, \delta}[\varepsilon \zeta] \psi_1 - \gamma \nabla \psi_1) + (1 - \gamma) \nabla \zeta \\ \quad + \frac{\varepsilon}{2} \nabla (|\mathbf{H}^{\mu, \delta}[\varepsilon \zeta] \psi_1|^2 - \gamma |\nabla \psi_1|^2) + \varepsilon \nabla \mathcal{N}^{\mu, \delta}(\varepsilon \zeta, \psi_1) & = 0. \end{cases}$$

Goal

Systematic derivation of asymptotic models in terms of $\varepsilon_1, \varepsilon_2, \mu_1, \mu_2$.

Earlier works:

Formal derivation in 1D: BO eqn [Benjamin67], ILW eqn [Joseph77, Kubota et al.78], SW/SW models ([Maltseva89, CamassaChoi99, NguyenDias08]), many models [CraigGuyenneKalish05], etc.

Formal derivation in 2D: many models ([CamassaChoi96]), ...

Full justification in 1D: BO eqn [Ohilguchi, to appear].

The interface operator $\mathbf{H}^{\mu,\delta}[\varepsilon\zeta]\psi_1$


Definition (Dimensionless interface operator)

$$\mathbf{H}^{\mu,\delta}[\varepsilon\zeta] : \Psi_1 \mapsto \mathbf{H}^{\mu,\delta}[\varepsilon\zeta]\Psi_1 = \nabla\Psi_2.$$

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$$\begin{cases} \nabla_{X,z}^{\mu_2} \cdot \mathbf{Q}^{\mu_2}[\varepsilon_2\zeta] \nabla_{X,z}^{\mu_2} \Phi_2 = 0 & -1 < z < 0, \\ \partial_n \Phi_2|_{z=0} = \frac{1}{\delta} G^\mu[\varepsilon\zeta]\psi_1, & \partial_n \Phi_2|_{z=-1} = 0, \end{cases}$$

$$\text{where } \nabla^{\mu_2} = (\sqrt{\mu_2}\nabla, \partial_z)^T.$$

Control of the interface operator

$$\begin{cases} \nabla_{X,z}^{\mu_2} \cdot Q^{\mu_2}[\varepsilon_2 \zeta] \nabla_{X,z}^{\mu_2} u = \nabla_{X,z}^{\mu_2} \cdot \mathbf{h} & -1 < z < 0, \\ \partial_n u|_{z=0} = \sqrt{\mu_2} \nabla \cdot V + \mathbf{e}_z \cdot \mathbf{h}|_{z=0}, & \partial_n u|_{z=-1} = \mathbf{e}_z \cdot \mathbf{h}|_{z=-1}, \end{cases}$$

$$|\nabla u|_{z=0}|_{\mathfrak{H}} \leq \frac{1}{\sqrt{\mu_2}} \text{Cst} (\|\mathbf{h}\|_{\mathfrak{H}} + |V|_{\mathfrak{H}}).$$

Corollary

Estimate on the operator norm of $\mathbf{H}^{\mu,\delta}[\varepsilon\zeta]$ and stability to perturbations.

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$$|\nabla u|_{z=0}|_{\mathbb{R}^3} \leq \frac{1}{\sqrt{\mu_2}} \text{Cst} (\|\mathbf{h}\|_{\mathbb{R}^3} + |V|_{\mathbb{R}^3}).$$

Corollary

Estimate on the operator norm of $\mathbf{H}^{\mu,\delta}[\varepsilon\zeta]$ and stability to perturbations.

Proof: Take $G^\mu[\varepsilon\zeta]\psi = \sqrt{\mu} \nabla \cdot V_{DN}$.

Asymptotic expansion of $\mathbf{H}^{\mu,\delta}[\varepsilon\zeta]$: the strategy

Recall that $\mathbf{H}^{\mu,\delta}[\varepsilon\zeta]\Psi_1 = \nabla u|_{z=0}$ where

$$\begin{cases} \nabla_{X,z}^{\mu_2} \cdot Q^{\mu_2}[\varepsilon_2\zeta] \nabla_{X,z}^{\mu_2} u = 0 & -1 < z < 0, \\ \partial_n u|_{z=0} = \frac{1}{\delta} G^\mu[\varepsilon\zeta] \psi_1 = \sqrt{\mu_2} \nabla \cdot V_{DN}, & \partial_n u|_{z=-1} = 0, \end{cases}$$

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- Expand the DN operator: $V_{DN} = V_0 + \alpha V_1 + \alpha^2 V_2 + \dots$

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- Construct u_{app} such that

$$\begin{cases} \nabla_{X,z}^{\mu_2} \cdot Q^{\mu_2}[\varepsilon_2\zeta] \nabla_{X,z}^{\mu_2} u_{app} = \alpha^n \nabla_{X,z}^{\mu_2} \cdot \mathbf{r} & -1 < z < 0, \\ \partial_n u_{app}|_{z=0} = \sqrt{\mu_2} \nabla \cdot (V_0 + \dots + \alpha^{n-1} V_{n-1}) + \alpha^n \mathbf{e}_z \cdot \mathbf{r}|_{z=0}, \\ \partial_n u_{app}|_{z=-1} = \alpha^n \mathbf{e}_z \cdot \mathbf{r}|_{z=-1}, \end{cases}$$

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- Use proposition with $V = V_{DN} - (V_0 + \dots + \alpha^{n-1} V_{n-1})$ and $\mathbf{h} = \alpha^n \mathbf{r}$.

Expansion of $\mathbf{H}^{\mu,\delta}[\varepsilon\zeta]$ in the SA/SA case: $\varepsilon_1 \ll 1$, $\varepsilon_2 \ll 1$

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- Defining $\mathcal{T}_{0,\mu} = \frac{\tanh(\sqrt{\mu}|D|)}{|D|}$ and $\mathcal{T}_{1,\mu}[\zeta] = -\nabla\mathcal{T}_{0,\mu}(\zeta\mathcal{T}_{0,\mu}\nabla^T)$, one has

$$V_{DN} = \mathcal{T}_{0,\mu}\nabla\psi_1 + \varepsilon_2\sqrt{\mu_2}(-\zeta + \mathcal{T}_{1,\mu}[\zeta])\nabla\psi_1 + O\left(\frac{1}{\delta^2}\varepsilon_2^2\sqrt{\mu_2}\right),$$

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- We look for $u_{app} = u_0 + \varepsilon_2 u_1$:

$$\begin{cases} \Delta_{X,z}^{\mu_2} u_0 = 0, \\ \partial_z u_0|_{z=0} = \sqrt{\mu_2}\nabla \cdot (\mathcal{T}_{0,\mu}\nabla\psi_1), & \partial_z u_0|_{z=-1} = 0, \end{cases}$$

$$\implies u_0(X, z) = \text{☠☠☠}$$

and u_1 solves a nonhomogeneous Laplace equation.

Proposition (Small Amplitude/Small Amplitude expansion)

$$\mathbf{H}^{\mu,\delta}[\varepsilon\zeta]\psi_1 = -\frac{\tanh(\sqrt{\mu}|D|)}{\tanh(\sqrt{\mu_2}|D|)}\nabla\psi_1 + \varepsilon_2\mathcal{B}(\zeta, \nabla\psi_1) + O\left(\frac{\varepsilon_2^2 + \varepsilon^2}{\sqrt{\mu_2}}\right).$$

Expansion of $\mathbf{H}^{\mu,\delta}[\varepsilon\zeta]$ in the sw/sw case: $\mu_1 \ll 1$, $\mu_2 \ll 1$

We want to construct an approximate solution to

$$\begin{cases} \nabla_{X,z}^{\mu_2} \cdot \mathbf{Q}^{\mu_2}[\varepsilon_2\zeta] \nabla_{X,z}^{\mu_2} u = 0 & -1 < z < 0, \\ \partial_n u|_{z=0} = \frac{1}{\delta} \mathbf{G}^{\mu}[\varepsilon\zeta] \psi_1, & \partial_n u|_{z=-1} = 0. \end{cases}$$

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- At first order

$$\begin{cases} \partial_z^2 u_0 = 0, \\ \partial_z u_0|_{z=0} = 0, & \partial_z u_0|_{z=-1} = 0, \end{cases}$$

which is obviously solved by any $u_0(X, z) = u_0(X)$.

Expansion of $\mathbf{H}^{\mu,\delta}[\varepsilon\zeta]$ in the sw/sw case: $\mu_1 \ll 1$, $\mu_2 \ll 1$

- At next order

$$\begin{cases} \partial_z^2 u_1 = -h_2^2 \Delta u_0, \\ \partial_z u_1|_{z=0} = h_2 (\varepsilon_2 \nabla \zeta \cdot \nabla u_0 + \delta \nabla \cdot (h_1 \nabla \psi_1)), \end{cases} \quad \partial_z u_1|_{z=-1} = 0,$$

and thus

$$u_1 = -\frac{z^2}{2} h_2^2 \Delta u_0 + z(\partial_z u_1|_{z=0})$$

if the following compatibility condition is satisfied

$$\nabla \cdot (h_2 \nabla u_0) = -\delta \nabla \cdot (h_1 \nabla \psi_1),$$

with $h_1 = 1 - \varepsilon_1 \zeta$ and $h_2 = 1 + \varepsilon_2 \zeta$.

Expansion of $\mathbf{H}^{\mu,\delta}[\varepsilon\zeta]$ in the sw/sw case: $\mu_1 \ll 1$, $\mu_2 \ll 1$

The compatibility condition

$$\nabla \cdot (h_2 \nabla u_0) = -\delta \nabla \cdot (h_1 \nabla \psi_1).$$

Lemma

Assume that $|\varepsilon_2 \zeta|_\infty < 1$.

- In dimension $d = 1$, one has $\partial_x u_0 = -\delta \frac{h_1}{h_2} \partial_x \psi_1$.
- In dimension $d = 2$, one has

$$\nabla u_0 = -\delta (I + \Pi(\varepsilon_2 \zeta \Pi \cdot))^{-1} \Pi(h_1 \nabla \psi_1),$$

where $\Pi = \frac{\nabla \nabla^T}{\Delta}$.

Corollary

$$\mathbf{H}^{\mu,\delta}[\varepsilon\zeta] = -\delta (I + \Pi(\varepsilon_2 \zeta \Pi \cdot))^{-1} \Pi(h_1 \nabla \psi_1) + O(\delta(\mu + \mu_2)).$$

Consistency results

Dimensionless equations ($\delta = \frac{d_1}{d_2}$)

$$\begin{cases} \partial_t \zeta - \frac{1}{\mu} G^\mu[\varepsilon \zeta] \psi_1 & = 0, \\ \partial_t (\mathbf{H}^{\mu, \delta}[\varepsilon \zeta] \psi_1 - \gamma \nabla \psi_1) + (1 - \gamma) \nabla \zeta \\ \quad + \frac{\varepsilon}{2} \nabla (|\mathbf{H}^{\mu, \delta}[\varepsilon \zeta] \psi_1|^2 - \gamma |\nabla \psi_1|^2) + \varepsilon \nabla \mathcal{N}^{\mu, \delta}(\varepsilon \zeta, \psi_1) & = 0. \end{cases}$$

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- Rewrite the equations in terms of ζ and \mathbf{v} where $\mathbf{v} = \mathbf{H}^{\mu, \delta}[\varepsilon \zeta] \psi_1 - \gamma \nabla \psi_1$.

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- Expand $\nabla \psi_1$ and $\mathbf{H}^{\mu, \delta}[\varepsilon \zeta] \psi_1$ in terms of ζ and \mathbf{v} .

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- Control the residual

Consistency results

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- Rewrite the equations in terms of ζ and \mathbf{v} where $\mathbf{v} = \mathbf{H}^{\mu, \delta}[\varepsilon \zeta] \psi_1 - \gamma \nabla \psi_1$.
- Expand $\nabla \psi_1$ and $\mathbf{H}^{\mu, \delta}[\varepsilon \zeta] \psi_1$ in terms of ζ and \mathbf{v} .
- Control the residual
- Do this for all the possible regimes!

The SW/SW model

Theorem

The internal waves equations are consistent with the SW/SW equations

$$\begin{cases} \partial_t \zeta + \nabla \cdot (h_1 \mathfrak{R}[\zeta] \mathbf{v}) = 0, \\ \partial_t \mathbf{v} + (1 - \gamma) \nabla \zeta + \frac{\varepsilon_1}{2} \nabla \left(|\mathbf{v} - \gamma \mathfrak{R}[\zeta] \mathbf{v}|^2 - \gamma |\mathfrak{R}[\zeta] \mathbf{v}|^2 \right) = 0, \end{cases}$$

where $h_1 = 1 - \varepsilon_1 \zeta$, $h_2 = 1 + \varepsilon_2 \zeta$ and

$$\mathfrak{R}[\zeta] \mathbf{u} = \text{skull}$$

The SW/SW model

Theorem

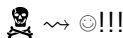
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The water-waves case: $\gamma = 0$, $\delta = 1$.



$$\begin{cases} \partial_t \zeta + \nabla \cdot (h_2 \mathbf{v}) = 0, \\ \partial_t \mathbf{v} + \nabla \zeta + \frac{\varepsilon_1}{2} \nabla |\mathbf{v}|^2 = 0, \end{cases}$$

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The case $d = 1$:

skull \rightsquigarrow smile!!!

$$\begin{cases} \partial_t \zeta + \partial_x \left(\frac{h_1 h_2}{\delta h_1 + \gamma h_2} \mathbf{v} \right) = 0, \\ \partial_t \mathbf{v} + (1 - \gamma) \partial_x \zeta + \frac{\varepsilon}{2} \partial_x \left(\frac{(\delta h_1)^2 - \gamma h_2^2}{(\delta h_1 + \gamma h_2)^2} \mathbf{v}^2 \right) = 0, \end{cases}$$

The SW/SW model

Theorem

The internal waves equations are consistent with the SW/SW equations

$$\begin{cases} \partial_t \zeta + \nabla \cdot (h_1 \mathfrak{R}[\zeta] \mathbf{v}) = 0, \\ \partial_t \mathbf{v} + (1 - \gamma) \nabla \zeta + \frac{\varepsilon_1}{2} \nabla \left(|\mathbf{v} - \gamma \mathfrak{R}[\zeta] \mathbf{v}|^2 - \gamma |\mathfrak{R}[\zeta] \mathbf{v}|^2 \right) = 0, \end{cases}$$

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Other remarks

- Full justification of the SW/SW regime for existing internal-waves
- Kelvin-Helmoltz instability!!!

The Boussinesq/Boussinesq model

The internal wave equations are consistent with the B/B systems:

$$\begin{cases} (1 - \mu b \Delta) \partial_t \zeta + \frac{1}{\gamma + \delta} \nabla \cdot \mathbf{v}_\beta + \varepsilon \frac{\delta^2 - \gamma}{(\gamma + \delta)^2} \nabla \cdot (\zeta \mathbf{v}_\beta) + \mu a \nabla \cdot \Delta \mathbf{v}_\beta = 0 \\ (1 - \mu d \Delta) \partial_t \mathbf{v}_\beta + (1 - \gamma) \nabla \zeta + \frac{\varepsilon}{2} \frac{\delta^2 - \gamma}{(\delta + \gamma)^2} \nabla |\mathbf{v}_\beta|^2 + \mu c \Delta \nabla \zeta = 0, \end{cases}$$

where $\mathbf{v}_\beta = (1 - \mu \beta \Delta)^{-1} \mathbf{v}$ and

$$a = \frac{(1 - \alpha_1)(1 + \gamma \delta) - 3\delta\beta(\gamma + \delta)}{3\delta(\gamma + \delta)^2}, \quad b = \alpha_1 \frac{1 + \gamma \delta}{3\delta(\gamma + \delta)},$$

$$c = \beta \alpha_2, \quad d = \beta(1 - \alpha_2),$$

($\alpha_1 \geq 0$, $\beta \geq 0$ and $\alpha_2 \leq 1$).

Remark: The dispersion relation is

$$\omega^2 = |\mathbf{k}|^2 \frac{\left(\frac{1}{\gamma + \delta} - \mu a |\mathbf{k}|^2\right)(1 - \gamma - \mu c |\mathbf{k}|^2)}{(1 + \mu b |\mathbf{k}|^2)(1 + \mu d |\mathbf{k}|^2)}.$$