

# Arithmetic progressions in sumsets and $L^p$ -almost-periodicity

Izabella Łaba

Joint work with Ernie Croot and Olof Sisask  
Edinburgh, June 2011

# Arithmetic progressions in sumsets

Let  $A, B$  be finite subsets of  $\mathbb{Z}$ ,  $A + B = \{a + b : a \in A, b \in B\}$ .  
What can one say about the structure of  $A + B$ ?

# Arithmetic progressions in sumsets

Let  $A, B$  be finite subsets of  $\mathbb{Z}$ ,  $A + B = \{a + b : a \in A, b \in B\}$ .  
What can one say about the structure of  $A + B$ ?

**Bourgain 1990: Sumsets contain long arithmetic progressions**

Let  $A, B \subset \{1, \dots, N\}$ ,  $|A| = \alpha N$ ,  $|B| = \beta N$ , then  $A + B$  contains an arithmetic progression of length at least

$$\exp(c(\alpha\beta \log N)^{1/3} - C \log \log N).$$

# Arithmetic progressions in sumsets

Let  $A, B$  be finite subsets of  $\mathbb{Z}$ ,  $A + B = \{a + b : a \in A, b \in B\}$ .  
What can one say about the structure of  $A + B$ ?

**Bourgain 1990: Sumsets contain long arithmetic progressions**

Let  $A, B \subset \{1, \dots, N\}$ ,  $|A| = \alpha N$ ,  $|B| = \beta N$ , then  $A + B$  contains an arithmetic progression of length at least

$$\exp(c(\alpha\beta \log N)^{1/3} - C \log \log N).$$

Proof: Almost periodicity, via Fourier analysis. More details later.

Green 2002: improvement of Bourgain's exponent

As above, but the length of the progression is at least

$$\exp(c(\alpha\beta \log N)^{1/2} - C \log \log N).$$

Best to date if  $|A| \approx |B|$ . Proof: Fourier analysis again (“hereditary non-uniformity”).

Green 2002: improvement of Bourgain's exponent

As above, but the length of the progression is at least

$$\exp(c(\alpha\beta \log N)^{1/2} - C \log \log N).$$

Best to date if  $|A| \approx |B|$ . Proof: Fourier analysis again (“hereditary non-uniformity”).

The result is nontrivial if

$$\alpha\beta > C \frac{(\log \log N)^2}{\log N}.$$

In particular, both  $\alpha$  and  $\beta$  need to be greater than  $C(\log \log N)^2 / \log N$ .

Sanders 2008: alternative proof of Green's result

A different Fourier-analytic approach (iteration with density increment)

Sanders 2008: alternative proof of Green's result

A different Fourier-analytic approach (iteration with density increment)

Croot-Sisask 2010: almost periodicity via probabilistic sampling

Progressions of length at least

$$\frac{1}{2} \exp \left( c \left( \frac{\alpha \log N}{\log(4/\beta)} \right)^{1/4} \right).$$

Better than Green if  $\beta$  very small (suffices if  $\beta \geq \exp(-(\log N)^c)$ ).

Croot-Łaba-Sisask 2011

## Croot-Łaba-Sisask 2011

- ▶ A much simpler proof of Green's result.

## Croot-Łaba-Sisask 2011

- ▶ A much simpler proof of Green's result.
- ▶ Improvement of Croot-Sisask: progressions of length at least

$$\frac{1}{2} \exp \left( c \left( \frac{\alpha \log N}{\log^3(2/\beta)} \right)^{1/2} - \log(\beta^{-1} \log N) \right).$$

Again, suffices if  $\beta \geq \exp(-(\log N)^c)$ .

## Croot-Łaba-Sisask 2011

- ▶ A much simpler proof of Green's result.
- ▶ Improvement of Croot-Sisask: progressions of length at least

$$\frac{1}{2} \exp \left( c \left( \frac{\alpha \log N}{\log^3(2/\beta)} \right)^{1/2} - \log(\beta^{-1} \log N) \right).$$

Again, suffices if  $\beta \geq \exp(-(\log N)^c)$ .

- ▶ Proofs use almost periodicity and Fourier analysis.

# A little notation

- ▶ Fourier transform on  $\mathbb{Z}_N$ :

$$\widehat{f}(\xi) = \frac{1}{N} \sum_{x=0}^{N-1} f(x) e^{-2\pi i \xi x / N}$$

# A little notation

- ▶ Fourier transform on  $\mathbb{Z}_N$ :

$$\widehat{f}(\xi) = \frac{1}{N} \sum_{x=0}^{N-1} f(x) e^{-2\pi i \xi x / N}$$

- ▶ Convolution:

$$f * g(x) = \frac{1}{N} \sum_{y=0}^{N-1} f(x-y) g(y)$$

# A little notation

- ▶ Fourier transform on  $\mathbb{Z}_N$ :

$$\widehat{f}(\xi) = \frac{1}{N} \sum_{x=0}^{N-1} f(x) e^{-2\pi i \xi x / N}$$

- ▶ Convolution:

$$f * g(x) = \frac{1}{N} \sum_{y=0}^{N-1} f(x-y) g(y)$$

- ▶ Useful formulas:

$$f(x) = \sum_{\xi=0}^{N-1} \widehat{f}(\xi) e^{2\pi i \xi x / N}, \quad \widehat{f * g} = \widehat{f} \widehat{g}$$

The definition of the  $L^p$  norm depends on whether we are in the “physical space” (for  $f$ ) or the “dual space” (for  $\widehat{f}$ ).

The definition of the  $L^p$  norm depends on whether we are in the “physical space” (for  $f$ ) or the “dual space” (for  $\widehat{f}$ ).

$$\|f\|_p = \left( \frac{1}{N} \sum_{x=0}^{N-1} |f(x)|^p \right)^{1/p},$$

The definition of the  $L^p$  norm depends on whether we are in the “physical space” (for  $f$ ) or the “dual space” (for  $\widehat{f}$ ).

$$\|f\|_p = \left( \frac{1}{N} \sum_{x=0}^{N-1} |f(x)|^p \right)^{1/p},$$

$$\|\widehat{f}\|_p = \left( \sum_{\xi=0}^{N-1} |\widehat{f}(\xi)|^p \right)^{1/p}.$$

## Bohr sets

- ▶ For  $\Gamma \subset \mathbb{Z}_N$ ,  $\delta > 0$ , define

$$\text{Bohr}(\Gamma, \delta) = \{x : |e^{-2\pi i \xi x / N} - 1| \leq \delta, \text{ all } \xi \in \Gamma\}.$$

( $\delta$  - radius,  $d = |\Gamma|$  - rank of Bohr set.)

## Bohr sets

- ▶ For  $\Gamma \subset \mathbb{Z}_N$ ,  $\delta > 0$ , define

$$\text{Bohr}(\Gamma, \delta) = \{x : |e^{-2\pi i \xi x / N} - 1| \leq \delta, \text{ all } \xi \in \Gamma\}.$$

( $\delta$  - radius,  $d = |\Gamma|$  - rank of Bohr set.)

- ▶ Can be thought of as an approximate orthogonal complement of  $\Gamma$ .

## Bohr sets

- ▶ For  $\Gamma \subset \mathbb{Z}_N$ ,  $\delta > 0$ , define

$$\text{Bohr}(\Gamma, \delta) = \{x : |e^{-2\pi i \xi x / N} - 1| \leq \delta, \text{ all } \xi \in \Gamma\}.$$

( $\delta$  - radius,  $d = |\Gamma|$  - rank of Bohr set.)

- ▶ Can be thought of as an approximate orthogonal complement of  $\Gamma$ .
- ▶ Bohr sets have size at least  $(C\delta)^d N$ .

## Bohr sets

- ▶ For  $\Gamma \subset \mathbb{Z}_N$ ,  $\delta > 0$ , define

$$\text{Bohr}(\Gamma, \delta) = \{x : |e^{-2\pi i \xi x / N} - 1| \leq \delta, \text{ all } \xi \in \Gamma\}.$$

( $\delta$  - radius,  $d = |\Gamma|$  - rank of Bohr set.)

- ▶ Can be thought of as an approximate orthogonal complement of  $\Gamma$ .
- ▶ Bohr sets have size at least  $(C\delta)^d N$ .
- ▶ Bohr sets contain arithmetic progressions of length at least  $c\delta N^{1/d}$ .

## General framework

- ▶ Let  $f = \mathbf{1}_A * \mathbf{1}_B$ . Then  $f$  is supported on  $A + B$ , so it suffices to prove that  $\text{supp } f$  contains a long AP.

## General framework

- ▶ Let  $f = \mathbf{1}_A * \mathbf{1}_B$ . Then  $f$  is supported on  $A + B$ , so it suffices to prove that  $\text{supp } f$  contains a long AP.
- ▶ Bohr sets contain long APs, hence it suffices to prove that  $\text{supp } f$  contains a large enough Bohr set  $T$ .

## General framework

- ▶ Let  $f = \mathbf{1}_A * \mathbf{1}_B$ . Then  $f$  is supported on  $A + B$ , so it suffices to prove that  $\text{supp } f$  contains a long AP.
- ▶ Bohr sets contain long APs, hence it suffices to prove that  $\text{supp } f$  contains a large enough Bohr set  $T$ .
- ▶ This follows easily if we can prove that  $f$  is *almost periodic* with periods in  $T$ , in the sense that  $\|f(\cdot + t) - f(\cdot)\|_p$  is small for some  $p < \infty$  and all  $t \in T$ .

## General framework

- ▶ Let  $f = \mathbf{1}_A * \mathbf{1}_B$ . Then  $f$  is supported on  $A + B$ , so it suffices to prove that  $\text{supp } f$  contains a long AP.
- ▶ Bohr sets contain long APs, hence it suffices to prove that  $\text{supp } f$  contains a large enough Bohr set  $T$ .
- ▶ This follows easily if we can prove that  $f$  is *almost periodic* with periods in  $T$ , in the sense that  $\|f(\cdot + t) - f(\cdot)\|_p$  is small for some  $p < \infty$  and all  $t \in T$ .
- ▶ The main issue is to prove the almost periodicity.

# Almost periodicity: Bourgain's argument

Bourgain 1990.

Let  $0 < \epsilon < 1$ ,  $p \geq 2$ , and let  $f = \mathbf{1}_A * \mathbf{1}_B$ . Then  $\exists$  Bohr set  $T$  with

$$d \leq Cp^2\epsilon^{-2} \log(1/\epsilon), \rho = c\epsilon^2/p$$

such that for all  $t \in T$ ,

$$\|f(x+t) - f(x)\|_{L^p(x)} \leq \epsilon \|\widehat{f}\|_1 = \epsilon \sqrt{\alpha\beta}.$$

(The last equality is by Parseval.)

# Almost periodicity: Bourgain's argument

## Bourgain's argument

- ▶  $f(x) = \sum_{\xi=0}^{N-1} \widehat{f}(\xi) e^{2\pi i \xi x / N} =: f_1 + f_2 + f_3$ , according to size of Fourier coefficients (small, medium, large)

# Almost periodicity: Bourgain's argument

## Bourgain's argument

- ▶  $f(x) = \sum_{\xi=0}^{N-1} \widehat{f}(\xi) e^{2\pi i \xi x / N} =: f_1 + f_2 + f_3$ , according to size of Fourier coefficients (small, medium, large)
- ▶ The almost periodic part (corresponding to large Fourier coefficients) determines the Bohr set  $T$ . In fact, if

$$\Gamma = \{\xi : |\widehat{f}(\xi)| \geq c\},$$

then we can take  $T = \text{Bohr}(\Gamma, \rho)$  (for appropriate  $c, \rho > 0$ ).

# Almost periodicity: Bourgain's argument

## Bourgain's argument

- ▶  $f(x) = \sum_{\xi=0}^{N-1} \widehat{f}(\xi) e^{2\pi i \xi x / N} =: f_1 + f_2 + f_3$ , according to size of Fourier coefficients (small, medium, large)
- ▶ The almost periodic part (corresponding to large Fourier coefficients) determines the Bohr set  $T$ . In fact, if

$$\Gamma = \{\xi : |\widehat{f}(\xi)| \geq c\},$$

then we can take  $T = \text{Bohr}(\Gamma, \rho)$  (for appropriate  $c, \rho > 0$ ).

- ▶ The medium and small coefficients contribute negligible errors.

# Almost periodicity: the probabilistic approach

Croot-Sisask 2010.

Let  $f = \mathbf{1}_A * \mathbf{1}_B / |B|$ . Then  $\exists$  a set  $T$  (not necessarily a Bohr set) of size  $|T| \geq (c\beta)^{Cp/\epsilon^2}$  such that for  $t \in T$ ,

$$\|f(x+t) - f(x)\|_{L^p(x)} \leq \epsilon \|f\|_p = \epsilon \alpha^{1/p}.$$

# Almost periodicity: the probabilistic approach

Croot-Sisask 2010.

Let  $f = \mathbf{1}_A * \mathbf{1}_B / |B|$ . Then  $\exists$  a set  $T$  (not necessarily a Bohr set) of size  $|T| \geq (c\beta)^{Cp/\epsilon^2}$  such that for  $t \in T$ ,

$$\|f(x+t) - f(x)\|_{L^p(x)} \leq \epsilon \|f\|_p = \epsilon \alpha^{1/p}.$$

- ▶ Simple probabilistic proof uses random sampling.

# Almost periodicity: the probabilistic approach

Croot-Sisask 2010.

Let  $f = \mathbf{1}_A * \mathbf{1}_B / |B|$ . Then  $\exists$  a set  $T$  (not necessarily a Bohr set) of size  $|T| \geq (c\beta)^{Cp/\epsilon^2}$  such that for  $t \in T$ ,

$$\|f(x+t) - f(x)\|_{L^p(X)} \leq \epsilon \|f\|_p = \epsilon \alpha^{1/p}.$$

- ▶ Simple probabilistic proof uses random sampling.
- ▶ Better than Bourgain's estimate if  $\beta$  is small.

# Almost periodicity: the probabilistic approach

Croot-Sisask 2010.

Let  $f = \mathbf{1}_A * \mathbf{1}_B / |B|$ . Then  $\exists$  a set  $T$  (not necessarily a Bohr set) of size  $|T| \geq (c\beta)^{Cp/\epsilon^2}$  such that for  $t \in T$ ,

$$\|f(x+t) - f(x)\|_{L^p(X)} \leq \epsilon \|f\|_p = \epsilon \alpha^{1/p}.$$

- ▶ Simple probabilistic proof uses random sampling.
- ▶ Better than Bourgain's estimate if  $\beta$  is small.
- ▶ Works also for non-abelian groups.

# Almost periodicity: the probabilistic approach

Croot-Sisask 2010.

Let  $f = \mathbf{1}_A * \mathbf{1}_B / |B|$ . Then  $\exists$  a set  $T$  (not necessarily a Bohr set) of size  $|T| \geq (c\beta)^{Cp/\epsilon^2}$  such that for  $t \in T$ ,

$$\|f(x+t) - f(x)\|_{L^p(x)} \leq \epsilon \|f\|_p = \epsilon \alpha^{1/p}.$$

- ▶ Simple probabilistic proof uses random sampling.
- ▶ Better than Bourgain's estimate if  $\beta$  is small.
- ▶ Works also for non-abelian groups.
- ▶ A key part of Sanders's proof of Roth's theorem with density  $c(\log \log N)^5 / \log N$ .

# Long APs in $A + B$ , $B$ small

Croot-Sisask 2010

- ▶  $A + B$  contains progressions of length at least

$$\frac{1}{2} \exp\left(c\left(\frac{\alpha \log N}{\log(4/\beta)}\right)^{1/4}\right).$$

# Long APs in $A + B$ , $B$ small

## Croot-Sisask 2010

- ▶  $A + B$  contains progressions of length at least

$$\frac{1}{2} \exp \left( c \left( \frac{\alpha \log N}{\log(4/\beta)} \right)^{1/4} \right).$$

- ▶ Better than Bourgain/Green if  $\beta$  very small.

# Long APs in $A + B$ , $B$ small

## Croot-Sisask 2010

- ▶  $A + B$  contains progressions of length at least

$$\frac{1}{2} \exp \left( c \left( \frac{\alpha \log N}{\log(4/\beta)} \right)^{1/4} \right).$$

- ▶ Better than Bourgain/Green if  $\beta$  very small.
- ▶ Can't use  $T$ -almost periodicity directly since  $T$  need not contain long APs.

## Croot-Sisask 2010

- ▶  $A + B$  contains progressions of length at least

$$\frac{1}{2} \exp\left(c\left(\frac{\alpha \log N}{\log(4/\beta)}\right)^{1/4}\right).$$

- ▶ Better than Bourgain/Green if  $\beta$  very small.
- ▶ Can't use  $T$ -almost periodicity directly since  $T$  need not contain long APs.
- ▶ Use  $kT = T + \dots + T$  instead. Almost periodicity with periods in  $T$  implies almost periodicity with periods in  $kT$ , by iteration (with worse constants). But  $kT$  has more structure, in particular contains long APs.

## Croot-Łaba-Sisask 2011

- ▶ Exponent  $1/4$  improved to  $1/2$ :

$$\frac{1}{2} \exp \left( c \left( \frac{\alpha \log N}{\log^3(2/\beta)} \right)^{1/2} - \log \left( \beta^{-1} \log N \right) \right).$$

## Croot-Łaba-Sisask 2011

- ▶ Exponent  $1/4$  improved to  $1/2$ :

$$\frac{1}{2} \exp \left( c \left( \frac{\alpha \log N}{\log^3(2/\beta)} \right)^{1/2} - \log \left( \beta^{-1} \log N \right) \right).$$

- ▶ Uses an idea from Sanders's paper: almost periodicity with periods in  $kT - kT$  can in fact be bootstrapped to almost periodicity with period in a Bohr set. (The rank estimate comes from a theorem of Chang.)

# A new proof of Green's result

## Croot-Łaba-Sisask 2011

Revisit Bourgain's approach via almost periodicity, but with better estimates on the size of the Bohr set  $T$  of periods, therefore on the length of the AP contained in it. This recovers Green's result, with a much simpler proof.

# A new proof of Green's result

## Croot-Łaba-Sisask 2011

Revisit Bourgain's approach via almost periodicity, but with better estimates on the size of the Bohr set  $T$  of periods, therefore on the length of the AP contained in it. This recovers Green's result, with a much simpler proof.

## The almost periodicity result

Let  $f = \mathbf{1}_A * \mathbf{1}_B /$ . Then  $\exists$  a Bohr set  $T$  of rank  $d \leq Cp/\epsilon^2$ , radius  $\delta = c\epsilon$  such that for  $t \in T$ ,

$$\|f(x+t) - f(x)\|_{L^p(x)} \leq \epsilon \|\widehat{f}\|_1 = \epsilon \sqrt{\alpha\beta}.$$

# Proof of almost periodicity via Fourier sampling

Simple probabilistic proof uses random sampling in Fourier space.

# Proof of almost periodicity via Fourier sampling

Simple probabilistic proof uses random sampling in Fourier space.

$$\blacktriangleright f(x) = \sum_{\xi} \widehat{f}(\xi) e^{2\pi i \xi x / N}$$

# Proof of almost periodicity via Fourier sampling

Simple probabilistic proof uses random sampling in Fourier space.

- ▶  $f(x) = \sum_{\xi} \widehat{f}(\xi) e^{2\pi i \xi x / N}$
- ▶ Assume for simplicity that  $\widehat{f} \geq 0$ ,  $\|\widehat{f}\|_1 = 1$ .

# Proof of almost periodicity via Fourier sampling

Simple probabilistic proof uses random sampling in Fourier space.

- ▶  $f(x) = \sum_{\xi} \widehat{f}(\xi) e^{2\pi i \xi x / N}$
- ▶ Assume for simplicity that  $\widehat{f} \geq 0$ ,  $\|\widehat{f}\|_1 = 1$ .
- ▶ Let  $\gamma(x)$  random variable,  $\gamma(x) = e^{2\pi i \xi x / N}$  with probability  $\widehat{f}(\xi)$  (hence  $\mathbb{E}\gamma = f$ ).

# Proof of almost periodicity via Fourier sampling

Simple probabilistic proof uses random sampling in Fourier space.

- ▶  $f(x) = \sum_{\xi} \widehat{f}(\xi) e^{2\pi i \xi x / N}$
- ▶ Assume for simplicity that  $\widehat{f} \geq 0$ ,  $\|\widehat{f}\|_1 = 1$ .
- ▶ Let  $\gamma(x)$  random variable,  $\gamma(x) = e^{2\pi i \xi x / N}$  with probability  $\widehat{f}(\xi)$  (hence  $\mathbb{E}\gamma = f$ ).
- ▶  $g = (\gamma_1 + \dots + \gamma_k) / k$ ,  $\gamma_j$  are iid copies of  $\gamma$ .

## Marcinkiewicz-Zygmund inequality

$$\begin{aligned}\mathbb{E}|g(x) - f(x)|^p &\leq \frac{(Cp)^{p/2}}{k^{p/2}} \mathbb{E} \left( \frac{1}{k} \sum_j |\gamma_j(x) - f(x)|^2 \right)^{p/2} \\ &\leq \frac{(Cp)^{p/2}}{k^{p/2}} \mathbb{E} |\gamma(x) - f(x)|^p \\ &\leq \frac{(Cp)^{p/2} 2^p}{k^{p/2}} \\ &\leq C\epsilon^p \text{ if } k = \lfloor cp/\epsilon^2 \rfloor.\end{aligned}$$

Thank you!