

# Real-time gauge/gravity duality

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# Introduction

The main question I would like to address in this talk is:

- How do we set up the gravity/gauge theory duality in **real-time**?

One would like to set up a prescription as **general** as the Euclidean one. In particular, it should

- apply to **any  $n$ -point function**, including correlators in **non-trivial states**.
- apply to **all QFTs** with a holographic dual.
- the prescription should be **fully holographic**, i.e. only **boundary data** and **regularity** should suffice.
- Within the supergravity approximation, all information should be encoded in **classical bulk dynamics**.

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# Motivation

**Euclidean** techniques **suffice for many applications**. However, it is clear that there are many reasons to set up the holographic prescription directly in **Lorentzian signature**. To mention a few:

- 1 holography for **time-dependent backgrounds**,
- 2 holographic description of **non-equilibrium QFT**,
- 3 computation of **correlators in non-trivial states**,
- 4 **Holography vs causality**,
- 5 Understanding the physics of **black hole horizons**,
- 6 etc. etc.

The development of a real-time formalism is also becoming **urgent**, as actual application, for example the modeling of the **quark-gluon plasma in RHIC and LHC**, require real-time techniques. Actually some of the previous work on the subject was driven by such applications [[Son, Starinets](#)], [[Herzog, Son](#)](2002)

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- 2 Lorentzian prescription
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# References

The discussion here is based on

- [KS, Balt van Rees, 0805.0150](#) and [to appear](#)

Previous work includes

- Balasubramanian, Kraus, Lawrence, 1998
- Maldacena, 2001
- Son, Starinets, 2002
- Herzog, Son, 2002
- Marolf, 2005

# Basic Dictionary

Let us start by briefly reviewing the basics of holography. In the **low energy approximation**, where the bulk theory is approximated by **supergravity** the basic holographic dictionary is **[GKP,W (1998)]**:

- 1 There is 1-1 correspondence between **local gauge invariant operators**  $\mathcal{O}$  of the boundary QFT and **bulk supergravity modes**  $\Phi$ .
- 2 The fields  $\phi_{(0)}$  parametrizing the **boundary conditions** of the **bulk fields**  $\Phi$  are identified with the **sources of dual operators**.

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$$Z_{SUGRA}[\phi_{(0)}] = \int_{\Phi \sim \phi_{(0)}} \mathcal{D}\Phi \exp(-S[\Phi]) = \langle \exp(-\int_{\partial M} \phi_{(0)} \mathcal{O}) \rangle_{QFT}$$

To leading order

$$S_{on-shell}[\phi_{(0)}, \dots] = -W_{QFT}[\phi_{(0)}, \dots]$$

**on-shell SUGRA action = generating functional of QFT connected graphs**

Such a relation is however formal as both sides **diverge**. On the QFT side these are the usual **UV divergences**, dealt with by standard renormalization techniques. On the gravitational side, the infinities are due to the **infinite volume of the spacetime**. This issue is dealt with by the formalism of **holographic renormalization**, which is the precise gravitational analogue of QFT renormalization. [Henningson, KS (1998)], ...

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# Holographic renormalization

To understand holographic renormalization one needs to know some facts about **asymptotically (locally) AdS spacetimes**.

- These spacetimes solve the Einstein equations with a **negative cosmological constant** and have the following asymptotic (Fefferman-Graham) form

$$ds^2 = \frac{dr^2}{r^2} + \frac{1}{r^2} g_{ij}(x, r) dx^i dx^j$$

where

$$g_{ij}(x, r) = g_{(0)ij} + r^2 g_{(2)ij} + \dots + r^d (\log r^2 h_{(d)ij} + g_{(d)ij}) + \dots$$

This is an expansion in  $r$  (the conformal boundary of the spacetime is located at  $r = 0$ ).

- Matter fields**, e.g. scalar fields, have a similar asymptotic expansion

$$\Phi(x, r) = r^{d-\Delta} \left( \phi_{(0)} + r^2 \phi_{(2)} + \dots + r^{2\Delta-d} (\log r^2 \psi_{(2\Delta-d)} + \phi_{(2\Delta-d)}) + \dots \right)$$

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- The asymptotic solution is determined by solving the Einstein equations **perturbatively in  $r$** . This procedure does **not depend on the spacetime signature** and yields **algebraic** equations that can be solved to determine the asymptotic coefficients.
- The coefficients  $g_{(2n)}$  with  $2n < d$ ,  $\phi_{(2k)}$  with  $2k < 2\Delta - d$  and  $h_{(d)}$ ,  $\psi_{(2\Delta-d)}$  are determined **locally** in terms of  $g_{(0)}$ ,  $\phi_{(0)}$ .
- $g_{(d)}$  and  $\psi_{2\Delta-d}$  are only partly constrained by asymptotics.

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# Holographic Renormalization

Renormalized correlators can now be obtained as follows:[de Haro, KS, Solodukhin (2000)]

- 1 Regulate the divergences by restricting the radial coordinate to have a **finite range**.
  - 2 Evaluate the action on the **asymptotic solution**.
  - 3 Subtract the infinite terms by adding suitable **local covariant counterterms**.
  - 4 Compute the **holographic 1-point functions** in the **presence of sources**.
- This leads to a precise relation between correlation functions and asymptotics

$$\langle T_{ij} \rangle = \frac{2}{\sqrt{g(0)}} \frac{\delta S_{SUGRA}^{ren}}{\delta g_{(0)}^{ij}} = \frac{d}{16\pi G} [g_{(d)ij} + X_{ij}^{(d)}(g_{(0)})].$$

where  $X_{ij}^{(d)}(g_{(0)})$  are **local functions of  $g_{(0)}$** .

$$\langle O_{\Delta} \rangle = \frac{1}{\sqrt{g(0)}} \frac{\delta S_{SUGRA}^{ren}}{\delta \phi_{(0)}} = (2\Delta - d)\phi_{(2\Delta-d)}$$

→ Correlators satisfy all expected Ward identities,

$$\nabla^i \langle T_{ij} \rangle = \langle O_{\Delta} \rangle \partial_j \phi_{(0)}, \quad \langle T_i^i \rangle = -(d - \Delta)\phi_{(0)} \langle O_{\Delta} \rangle + \mathcal{A}$$

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where  $X_{ij}^{(d)}(g_{(0)})$  are **local functions of  $g_{(0)}$** .

$$\langle O_{\Delta} \rangle = \frac{1}{\sqrt{g(0)}} \frac{\delta S_{SUGRA}^{ren}}{\delta \phi_{(0)}} = (2\Delta - d) \phi_{(2\Delta-d)}$$

→ Correlators satisfy all expected Ward identities,

$$\nabla^i \langle T_{ij} \rangle = \langle O_{\Delta} \rangle \partial_j \phi_{(0)}, \quad \langle T_i^i \rangle = -(d - \Delta) \phi_{(0)} \langle O_{\Delta} \rangle + \mathcal{A}$$

# Holographic Renormalization: higher point functions

- 5 Since the first variation of the on-shell action was performed in **complete generality**, one may obtain **higher-point functions** by differentiating the 1-point functions w.r.t. sources and then set the sources to zero

$$\langle O_{\Delta}(x_1) O_{\Delta}(x_2) \cdots O_{\Delta}(x_n) \rangle \sim \left. \frac{\delta^{(n-1)} \phi_{(2\Delta-d)}(x_1)}{\delta \phi_{(0)}(x_2) \cdots \delta \phi_{(0)}(x_n)} \right|_{\phi_{(0)}=0}$$

- 6 Thus to **solve the theory** we need to know  $\phi_{(2\Delta-d)}, g_{(d)}$  as a function of  $\phi_{(0)}, g_{(0)}$ .
- In absence of more powerful techniques we proceed perturbatively: **2-point functions** are obtained by solving **linearized fluctuations**, **3-point functions** by solving **quadratic fluctuations** etc.
  - For this procedure to be well-posed these equations should have a **unique solution** given boundary data. This is indeed the case in the **Euclidean** set up, but not in the **Lorentzian** case. We will return to this issue later.

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# Radial Hamiltonian formalism

- The method of holographic renormalization used so far is **conceptually simple**, but **computationally inefficient** as it does not exploit the underlying conformal structure.
- For most explicit computations, it is better to use the **radial Hamiltonian formalism**, a Hamiltonian formulation in which the radius plays the role of time.
- One relates the regularized **holographic 1-point** of an operator  $\mathcal{O}_\Phi$  to the **radial canonical momentum**  $\pi_\Phi$  of the corresponding bulk field  $\Phi$  [de Boer, Verlinde<sup>2</sup>], [Papadimitriou, KS].

$$\delta S = \int dr \left( \frac{\partial L}{\partial \Phi} - \partial_r \frac{\partial L}{\partial (\partial_r \Phi)} \right) \delta \Phi + \left[ \frac{\partial L}{\partial (\partial_r \Phi)} \delta \Phi \right]_r, \quad L \equiv \int d^d x \sqrt{G} \mathcal{L}$$

$$\Rightarrow \frac{\delta S_{on-shell}}{\delta \Phi} = \frac{\partial L}{\partial (\partial_r \Phi)} \equiv \pi_\Phi$$

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## Radial Hamiltonian formalism: renormalization [Papadimitriou, KS (2004)]

One still has to renormalize ....

- A fundamental property of asymptotically locally AdS spacetimes is that scale transformations are part of the asymptotic symmetries and therefore every covariant quantity can be decomposed into a sum of terms each having a definite scaling.
- Thus the canonical momenta of a field dual to a dimension  $k$  operator are asymptotically expanded as

$$\pi^k = \pi_{(d-k)}^k + \dots + \pi_{(k)}^k + \tilde{\pi}_{(k)}^k \log r + \dots$$

with each coefficient  $\pi_{(n)}^k$  having weight  $n$ .

- Each coefficient can be expressed (non-linearly) in terms of the asymptotic expansions, but the holographic 1-point functions are more naturally expressed in terms of the coefficients of  $\pi^k$ ,

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## Lorentzian Issues

Let us summarize the special issues that arise in the **Lorentzian set up**:

- 1 In the Lorentzian case one has to specify initial and final conditions as well  $\phi_{\pm}$ . So the on-shell action,  $S_{onshell}[\phi_{(0)}, \phi_{\pm}]$ , depends not only  $\phi_{(0)}$  but also of  $\phi_{\pm}$ .
- 2 The variation of the on-shell supergravity action appears to pick up **additional contributions from  $t = \pm\infty$** ,

$$\delta S_{onshell} = [\pi_r \delta \Phi]_r + [\pi_t \delta \Phi]_{t=\infty} - [\pi_t \delta \Phi]_{t=-\infty}$$

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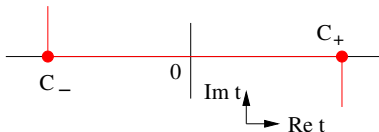
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# QFT interlude

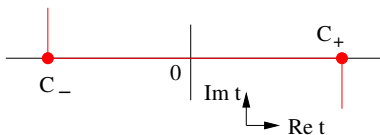
Consider the QFT path integral

$$\int_{\Psi(\vec{x}, t = \pm T) = \psi_{\pm}(\vec{x})} [\mathcal{D}\Psi] e^{iS[\Psi]}$$

This computes the transition amplitude  $\langle \psi_+(\vec{x}), T | \psi_-(\vec{x}), -T \rangle$ . To compute vacuum-to-vacuum amplitudes we multiply with the wavefunctions  $\langle \psi_-(\vec{x}), -T | 0 \rangle$ ,  $\langle 0 | \psi_+(\vec{x}), T \rangle$  and integrate over  $\psi_{\pm}$ . The insertions of these wavefunctions is equivalent to extending the fields in the path integral to live along the **red contour** in the **complex time plane**:



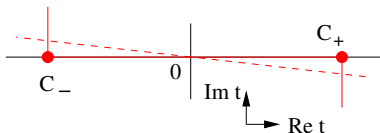
## Remarks



- The **infinite vertical segments** represent the wavefunctions  $\langle \psi_-(\vec{x}), -T|0\rangle$ ,  $\langle 0|\psi_+(\vec{x}), T\rangle$  as **Euclidean path integrals**,

$$\langle \psi_-(\vec{x}), -T|0\rangle = \lim_{\beta \rightarrow \infty} \langle \psi_-(\vec{x}), -T|e^{-\beta H}|\psi\rangle$$

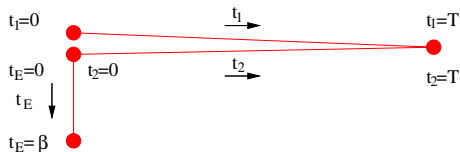
- These wavefunctions are ultimately lead to  $i\epsilon$  factors in the Feynman propagator.



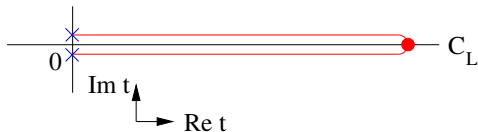
## Remarks

Correlators in **non-trivial states**, **thermal ensembles** etc. can be obtained by using different time contours. E.g.

- the real-time real contror is



- the in-in contour, used to calculate correlators  $\langle in|O \cdots O|in \rangle$ , is



# Lorentzian prescription

The holographic prescription is now to use "piece-wise" holography:

- Real segments are associated with Lorentzian solutions,
- Imaginary segments are associated with Euclidean solutions,
- Solutions are matched at the corners.

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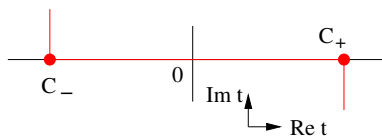
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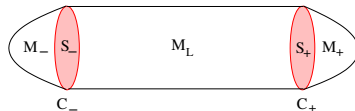
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## Vacuum-to-vacuum amplitudes

To illustrate the prescription, consider vacuum-to-vacuum amplitudes for  $CFT_d$ .  
 Corresponding to the time-contour

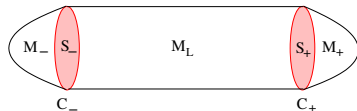


we consider the following solution:



Here  $M_L$  is Lorentzian  $AdS_{d+1}$  and the two caps  $M_{\pm}$  are half of Euclidean  $AdS_{d+1}$  spaces.

## Matching conditions



- Induced values of the bulk fields are **continuous** across  $S_{\pm}$ .
- The combined on-shell supergravity actions should be **stationary** w.r.t. variations with respect to  $\phi_{\pm}$ :

$$\frac{\delta}{\delta\phi_{\pm}} \left( iI_L[\phi(0), \phi_-, \phi_+] - I_E[\phi(0, -), \phi_-] - I_E[\phi(0, +), \phi_+] \right) = 0$$

- The matching conditions are equations for  $\phi_{\pm}$ .
- Using the Hamilton-Jacobi relation the last condition becomes the standard **Israel matching condition**

$$i\pi_t|_{S_-} = \pi_{\tau}|_{S_-}, \quad i\pi_t|_{S_+} = \pi_{\tau}|_{S_+}$$

## Fundamental bulk-boundary relation

The **fundamental relation** between bulk and boundary quantities reads

$$\langle \mathbf{0} | T \exp \left( i \int_{M_L} d^d x \sqrt{-g} \phi_{(0)} \mathcal{O} \right) | \mathbf{0} \rangle = \exp \left( i I_L[\phi_{(0)}, \phi_-, \phi_+] - I_E[\mathbf{0}, \phi_+] - I_E[\mathbf{0}, \phi_-] \right)$$

- In this expression  $\phi_{\pm}$  are the values determined via the **matching conditions**.
- We have set  $\phi_{(0,-)} = \phi_{(0,+)} = 0$  since we are interested in vacuum-to-vacuum correlators. One can consider non-trivial *in* and *out* states by turning on these sources.
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## Massive scalar field in $AdS_3$

As the simplest yet illustrative example we consider a **free massive scalar field** in  $AdS_3$ ,

$$S = \frac{1}{2} \int d^3x \sqrt{|G|} (-\partial_\mu \Phi \partial^\mu \Phi - m^2 \Phi^2).$$

The dimension of  $\mathcal{O}$  is  $\Delta = 1 + \sqrt{1 + m^2} = 1 + l$  with  $l \in \{0, 1, 2, \dots\}$ .

We want to solve

$$(\square - m^2)\Phi(t, \phi, r) = 0$$

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- **Normalizable** modes:  $\Phi(t, \phi, r) \sim e^{-i\omega_{nk}^\pm t + ik\phi} g(\omega_{nk}, |k|, r)$  with

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Thus the most general solution that is **regular in the interior** and whose leading asymptotics ( $\sim r^{l-1}$  as  $r \rightarrow \infty$ ) contain an arbitrary source  $\phi_{(0)}(t, \phi)$  for the dual operator is

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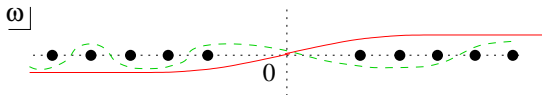
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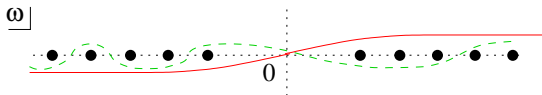
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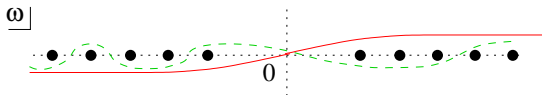
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## Euclidean solutions

We will now show that the matching conditions determine  $c_{nk}^{\pm}$ .

- Consider the solution on the 'initial cap', so on the space specified by the metric,

$$ds^2 = (r^2 + 1)d\tau^2 + \frac{dr^2}{r^2 + 1} + r^2 d\phi^2$$

with  $-\infty < \tau \leq 0$ , so that we have half of Euclidean AdS space.

- Had the bulk been the entire Euclidean AdS space, the Klein-Gordon equation would have a unique regular solution given boundary data. In particular, with zero sources the unique regular solution is identically equal to zero.
- In our case the sources are zero but we only consider half of the space, so solutions that would be excluded are now allowed because they are only singular at the other half of the space,

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$$\langle 0|T\mathcal{O}(t, \phi)\mathcal{O}(0, 0)|0\rangle = \frac{l+1}{4\pi^2 i} \sum_k \int_{\mathcal{C}} d\omega e^{-i\omega t + ik\phi} \alpha(\omega, |k|, l) \beta(\omega, |k|, l).$$

with the contour  $\mathcal{C}$  being the same as for the bulk solution, which was completely fixed by the matching to the caps. This is the standard **Feynman prescription** leading to **time-ordered correlators**.

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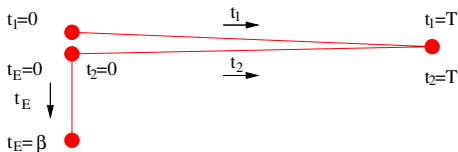
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## Thermal 2-point function from Thermal AdS

A fairly straightforward extension is the computation of the **thermal 2-point function** using a scalar field in **thermal AdS**. The relevant time contour is



and this implies the following matching conditions

$$\begin{aligned} \Phi_1(0, \phi, r) &= \Phi_E(\beta, \phi, r) \\ \partial_{t_1} \Phi_1(0, \phi, r) &= i \partial_{t_E} \Phi_E(\beta, \phi, r) \\ \Phi_1(T, \phi, r) &= \Phi_2(T, \phi, r) \\ \partial_{t_1} \Phi_1(T, \phi, r) &= \partial_{t_2} \Phi_2(T, \phi, r) \\ \Phi_2(0, \phi, r) &= \Phi_E(0, \phi, r) \\ \partial_{t_2} \Phi_2(0, \phi, r) &= i \partial_{t_E} \Phi_E(0, \phi, r) \end{aligned}$$

## Thermal 2-point function from thermal AdS

Carrying out the computation for both operators inserted in the **first real segment** leads to

$$\langle 0|T\mathcal{O}(t, \phi)\mathcal{O}(0, 0)|0\rangle_{\beta} = \sum_{n \in \mathbb{Z}} \frac{C_l}{[\cos(t + in\beta) - \cos(\phi)]^{\Delta}},$$

- This is a **sum over images in imaginary time** of the zero temperature result, as it should be, since thermal AdS is obtained by identification in the time direction of global AdS.
- It satisfies the **Kubo-Martin-Schwinger (KMS) condition**.
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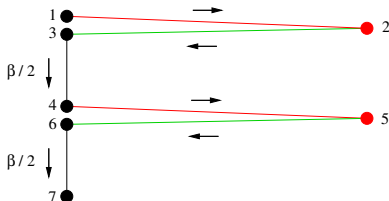
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## Thermal 2-point function from the BTZ black hole

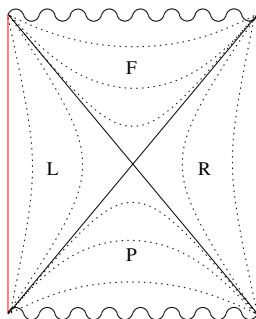
A more challenging example is the computation of the thermal propagator using a scalar field in the non-rotating massive **BTZ black hole**. It is more convenient to use the following **thermal contour**:

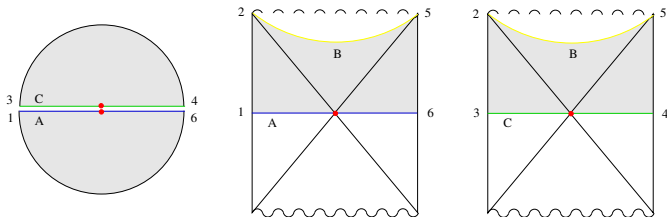


This is a more convenient choice because it is easier to solve the various matching conditions. To fill in this contour we need **two copies** of **half of the Lorentzian eternal BTZ** and **two copies** of **half of the Euclidean BTZ**.

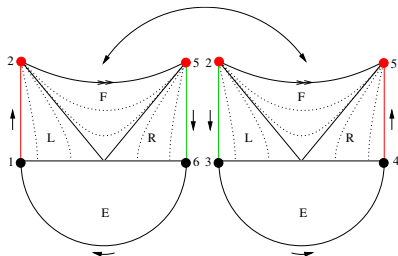
## Bulk solution corresponding to time contour

The Penrose diagram for the eternal BTZ black is

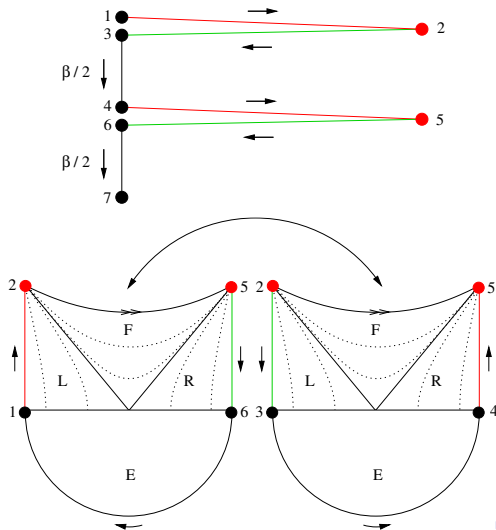




Left figure:  $\phi = 0$  slice:  $\tau = 0$  at points 1,3 and  $\tau = \beta/2$  at points 4,6.



## Bulk solution corresponding to time contour



## 2-point function

- The 2-point function is

$$\langle T\mathcal{O}(t, \phi)\mathcal{O}(0, 0) \rangle_{\beta} \sim \sum_{m \in \mathbb{Z}} \frac{1}{[\cosh(t) - \cosh(\phi + 2\pi\sqrt{M}m)]^{l+1}}$$

where  $M$  is the mass of the BTZ black hole.

- This is also a **sum over images** reflecting the fact that the BTZ is a **quotient** of  $AdS_3$ .
- The result agrees with results in the literature (obtained using the fact that BTZ is the quotient of  $AdS_3$ ) and obeys the **KMS condition**.
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where  $M$  is the mass of the BTZ black hole.

- This is also a **sum over images** reflecting the fact that the BTZ is a **quotient** of  $AdS_3$ .
- The result agrees with results in the literature (obtained using the fact that BTZ is the quotient of  $AdS_3$ ) and obeys the **KMS condition**.
- The matching conditions imply the **"natural boundary conditions" at the horizon**, namely positive frequency are in-going and negative frequency modes are out-going at the horizon in the R quadrant, which was the starting point in the analysis of [\[Herzog, Son\]\(2002\)](#).

## Concluding remarks

- We have present a general prescription for holographic computation in **real time**.
- The prescription amounts to "filling-in" the complex time contour with bulk solution: **real** segments with **Lorentzian** solutions and **imaginary** segments with **Euclidean** solutions.
- This prescription fulfils all requirements described earlier: it allows for computation of  $n$ -point functions in any holographic QFT and in non-trivial states. It is **fully holographic** and all information is encoded in **classical bulk dynamics**.
- This prescription also offers a new perspective on the holographic encoding of bulk spacetimes, since the **state or density matrix** corresponding to a given geometry is directly related to the **Euclidean parts** of the solution. This may allow us to understand how regions **beyond bulk horizons** are 'encoded' in the QFT data.

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