

# Bisimulation Invariance over Transitive Frames

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Logic&Algorithms

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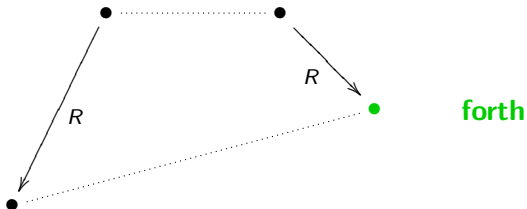
**joint work with Anuj Dawar**

## bisimulation

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- $\sim$  bisimulation equivalence  
infinitary back&forth game
- $\sim^l$  finite approximation to depth  $l$   
 $l$ -round back&forth game

**the** game equivalence  
modal Ehrenfeucht–Fraïssé



## expressive completeness results for modal logics

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van Benthem–Rosen

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$\text{FO}/\sim \equiv \text{ML}$  over the class of  $\left\{ \begin{array}{l} \text{all Kripke structures} \\ \text{all finite Kripke structures} \end{array} \right.$

Hafer–Thomas, Moller–Rabinovich

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$\text{MSO}^{\text{fp}}/\sim \equiv \text{CTL}^*$  over the class of all (unranked) trees

Janin–Walukiewicz

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$\text{MSO}/\sim \equiv \text{L}_{\mu}$  over the class of all Kripke structures

common thread: **upgradings** between game-based equivalences

**sensitivity to underlying class**

e.g.  $\text{FO}/\sim$

$\text{FO}/\sim \equiv \text{ML over } \mathcal{C} \not\Rightarrow \text{FO}/\sim \equiv \text{ML over } \mathcal{C}_0 \quad \text{for } \mathcal{C}_0 \subseteq \mathcal{C}$

unless  $\sim$  invariance over  $\mathcal{C}_0$  does imply  $\sim$  invariance over  $\mathcal{C}$

**crux: expressive completeness**

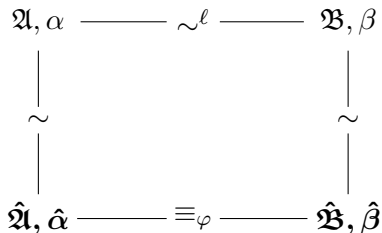
e.g.  $\text{FO}/\sim$

$\varphi$  invariant under  $\sim$  on  $\mathcal{C}$

$\Rightarrow \varphi$  invariant under  $\sim^\ell$  on  $\mathcal{C}$  for some  $\ell$

$\Rightarrow \varphi$  expressible in  $\text{ML}_\ell$  over  $\mathcal{C}$

**upgrading idea:**



## examples from D/O LICS 05:

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- **locality based**

upgrading  $\sim^\ell$  to some level of Gaifman equivalence

**FO/ $\sim \equiv \text{ML}[\forall]$  on (finite) rooted frames**

- **decomposition based**

upgrading  $\sim^\ell$  to  $\equiv_q$  through path decomposition & pumping

**FO/ $\sim \equiv \text{ML}$  on (finite) transitive  $\prec$ -trees**

## new decomposition & interpretation arguments:

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- **transitive frames**, allowing reflexivity
- **finiteness vs. well-foundedness**
- **results for MSO/ $\sim$**

## the point(s) of this talk

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- **FO path decomposition & pumping argument**  
on irreflexive transitive trees:  $\prec$ -trees
- **extension via interpretation & upgrading**  
to reflexive transitive trees:  $\preceq$ -trees  
and other transitive frames, finite and infinite
- **extension to cover MSO**  
over transitive frames with well-foundedness constraints,  
collapse of MSO/ $\sim$  to FO/ $\sim$  and ramifications of  
**de Jongh–Sambin–Smorynski**  
**Janin–Walukiewicz**

## finiteness vs. well-foundedness conditions

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distinguish in transitive frames:

no infinite paths ( $\Rightarrow$  no reflexive nodes)

$\Rightarrow$  no infinite irreflexive paths ( $\Rightarrow$  no cycles)

$\Rightarrow$  no infinite irreversible paths

finiteness  $\Rightarrow$  no infinite irreversible paths

$$\begin{array}{l} R \setminus R^\circ \\ R \setminus R^{-1} \end{array}$$

### path-finite transitive frames

no infinite strict/irreversible paths

no infinite nested chain of generated subframes

**a quasi-wellordering property**

## $\prec$ -trees: FO path decomposition & pumping argument

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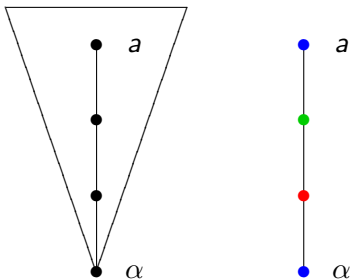
pass to wide companions  $s_q(\mathfrak{A}, \alpha) := \text{TC}((\mathfrak{A} \otimes \mathfrak{q})_{\alpha}^*)$

**finitary saturation**

boosted multiplicities

tree-unfolding and transitive closure

colour with  $\equiv_{q-1}$ -classes of subtrees



for pumping argument along paths from root to node  $a$

## pumping lemma/Ehrenfeucht–Fraïssé

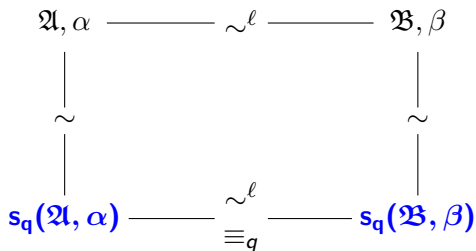
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bound on length of relevant words realised

+ sub-word closure property in  $s_q(\mathfrak{A}, \alpha)$  (!)

→ (non-elementary) bound on  $\ell$  for  $\sim^\ell$  that governs  $\equiv_q$

**the upgrading:**  $\varphi \in \mathbf{FO}_q/\sim \Rightarrow \varphi$  invariant under  $\sim^\ell$

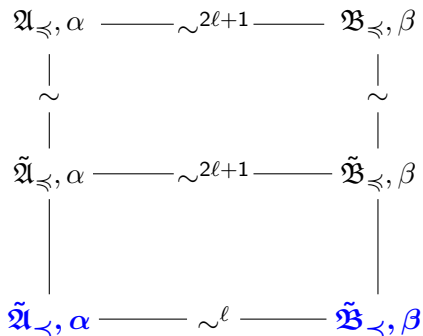


## the (harmless) extension to $\preccurlyeq$ -trees

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via the natural quantifier-free interpretation:  $\mathfrak{A}_{\preccurlyeq}, \alpha \vDash \mathfrak{A}_{\prec}, \alpha$

**the upgrading:**



stretching:  
insertion of copies  
of reflexive nodes

$$\Rightarrow \tilde{\mathfrak{A}}_{\preccurlyeq}, \alpha \equiv_{\mathfrak{q}} \tilde{\mathfrak{B}}_{\preccurlyeq}, \beta$$

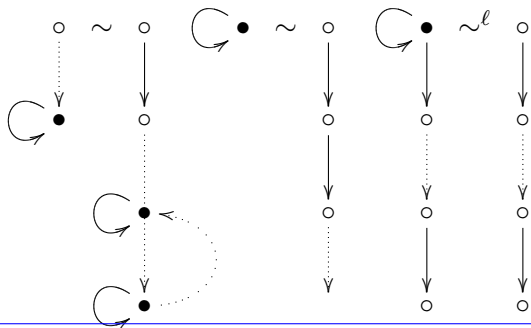
after finitary saturation

## if (ir)reflexivity is not prescribed:

*mistaken generalisation in D/O 05*

$$\varphi(x) = \exists y(\mathbf{E}xy \wedge \mathbf{E}yy)$$

- $\sim$  invariant over finite/path-finite transitive frames
- not  $\sim$  invariant over transitive frames with infinite paths
- not  $\sim^\ell$  invariant for any  $\ell$  over all finite transitive frames



$\Rightarrow \mathbf{FO}/\sim \not\equiv \mathbf{ML}$  over the class of all finite transitive frames

extension to transitive tree-like frames

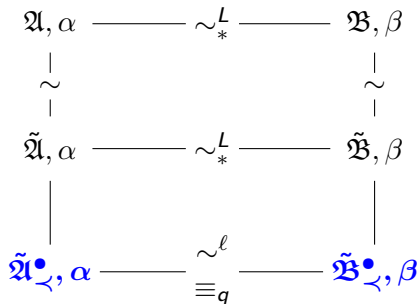
$\text{FO}/\sim \equiv \text{ML}[\diamond^*]$

$\diamond^* \varphi \equiv \exists y (E_{xy} \wedge E_{yy} \wedge \varphi(y))$

with associated  $\sim_*$  /  $\sim_*^\ell$

via the natural quantifier-free interpretation:  $\mathfrak{A}, \alpha \mapsto \mathfrak{A}_\prec^\bullet, \alpha$   
with marker predicate for reflexive nodes

the upgrading:



$L = \ell^2 + \ell + 1$   
non-trivial game analysis

extension to MSO/ $\sim$

(base case:  $\prec$ -trees)

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subtree decomposition rather than path decomposition

**upgrading**, for path-finite transitive  $\prec$ -trees  $\mathfrak{A}, \alpha, \mathfrak{B}, \beta$ :

$$\mathfrak{A}, \alpha \sim^L \mathfrak{B}, \beta \longrightarrow s_Q(\mathfrak{A}), \alpha \equiv_q^{\text{MSO}} s_Q(\mathfrak{B}), \beta$$

boosted multiplicities

tree unfolding and transitive closure

for suitable  $L = L(\mathfrak{q}), Q = Q(\mathfrak{q})$

**proof idea:** in  $\mathfrak{A}^* := \text{s}_Q(\mathfrak{A}) = \text{TC}(\mathfrak{A} \otimes \mathbf{Q})_\alpha^*$ :

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$\text{tp}_q^{\text{MSO}}(\mathfrak{A}_a^*)$  determined by  $\text{atp}(\mathbf{a})$  and ...

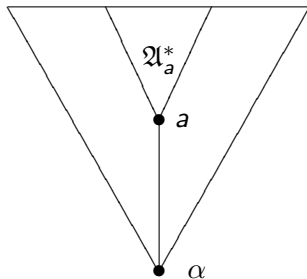
**in general:**

multiplicities of  $\text{tp}_q^{\text{MSO}}(\mathfrak{A}_b^*)$   
at direct  $\prec$ -successors  $b$  of  $a$

**here** (due to saturation/transitivity):

the set  $\{\text{tp}_q^{\text{MSO}}(\mathfrak{A}_b^*) : a \prec b\}$

**monotonicity**  $\Rightarrow$  **finiteness**



$$\text{in } \mathfrak{A}^* := s_{\mathbf{Q}}(\mathfrak{A}) = \text{TC}(\mathfrak{A} \otimes \mathbf{Q})_{\alpha}^*$$


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by induction on (finite) sets  $s$  of  $\text{MSO}_q$ -types

find  $\xi_s(x) \in \text{ML}_{|s|+1}$  s.t. **in path-finite trees:**

$$\xi_s(x) = \text{“} \{ \text{tp}_q^{\text{MSO}}(\mathfrak{A}_b^*) : x \prec b \} = s \text{”}$$

well-foundedness

the upgrading:

$$\begin{array}{ccc}
 \mathfrak{A}, \alpha & \xrightarrow{\sim^{L(q)}} & \mathfrak{B}, \beta \\
 \downarrow \sim & & \downarrow \sim \\
 s_{\mathbf{Q}(q)}(\mathfrak{A}) & \xrightarrow{\equiv_q^{\text{MSO}}} & s_{\mathbf{Q}(q)}(\mathfrak{B})
 \end{array}$$

$$L(q) = \# \text{ } q\text{-types} + 1$$

## results for $\text{MSO}/\sim$ over transitive frames

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on (path-)finite  $\prec$ -trees (Löb frames) and  
(path-)finite  $\preceq$ -trees (Grzegorzczuk frames):

$$\text{MSO}/\sim \equiv \text{FO}/\sim \equiv \text{ML}$$

on (path-)finite transitive frames:

$$\text{MSO}/\sim \equiv \text{FO}/\sim \equiv \text{ML}[\diamond^*]$$

translation transitive  $\longrightarrow$  transitive tree-like  $\longrightarrow$   $\prec$ -trees:  
via natural FO-interpretations as before

**collapse results**

**de Jongh–Sambin–Smorynski / Janin–Walukiewicz**

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ramifications and new proofs of

**de Jongh–Sambin–Smorynski:**

$L_\mu \equiv \text{ML}[\diamond^*]$   
on (path-)finite transitive frames

generalisation from Löb frames/new proof

**Janin-Walukiewicz:**

$\text{MSO}/\sim \equiv \text{ML}[\diamond^*] \subseteq L_\mu^1 \subseteq L_\mu$   
on (path-)finite transitive frames

special case of an FMT variant/new proof

cf. ten Cate–Fontaine–Litak: finite Löb frames

thanks to: Balder ten Cate & Johan van Benthem

→ see preliminary full paper D/O 2008